

## DEPARTMENT OF LABOR

### EMPLOYMENT AND TRAINING ADMINISTRATION

#### Federal Funds

##### TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER AUTHORITY)

For necessary expenses of the Workforce Investment Act of 1998 ("WIA"), the [Denali Commission Act of 1998] *Second Chance Act of 2007*, and the Women in Apprenticeship and Non-Traditional Occupations Act of 1992, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA; [\$3,626,448,000] \$3,833,563,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,969,449,000 as follows:

(A) \$861,540,000 for adult employment and training activities, of which \$149,540,000 shall be available for the period July 1, [2009] 2010, through June 30, [2010] 2011, and of which \$712,000,000 shall be available for the period October 1, [2009] 2010 through June 30, [2010] 2011;

(B) \$924,069,000 for youth activities, which shall be available for the period April 1, [2009] 2010 through June 30, [2010] 2011; and

(C) \$1,183,840,000 for dislocated worker employment and training activities, of which \$335,840,000 shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011, and of which \$848,000,000 shall be available for the period October 1, [2009] 2010 through June 30, [2010] 2011:

*Provided*, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor;

(2) for federally administered programs, [\$489,429,000] \$480,014,000 as follows:

(A) [\$283,051,000] \$229,160,000 for the dislocated workers assistance national reserve, of which [\$71,051,000] \$17,160,000 shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011, and of which \$212,000,000 shall be available for the period October 1, [2009] 2010 through June 30, [2010] 2011: *Provided*, That up to \$125,000,000 may be made available for Community-Based Job Training grants from funds reserved under section 132(a)(2)(A) of the WIA and shall be used to carry out such grants under section 171(d) of such Act, except that the 10 percent limitation otherwise applicable to the amount of funds that may be used to carry out section 171(d) shall not be applicable to funds used for Community-Based Job Training grants: *Provided further*, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for State-wide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: *Provided further*, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers] 2011;

(B) \$52,758,000 for Native American programs, which shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011;

(C) \$82,620,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$76,710,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,400,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$510,000 for other discretionary purposes, which shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011: *Provided*, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants re-

ceiving related assistance services or discouraging grantees from providing such services;

(D) \$1,000,000 for carrying out the Women in Apprenticeship and Nontraditional Occupations Act, which shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011; and

(E) [\$70,000,000] \$114,476,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, [2009] 2010 through June 30, [2010] 2011: *Provided*, That for program years [2008] 2010 and [2009] 2011, the YouthBuild program may serve an individual who has dropped out of high school and re-enrolled in an alternative school, if that re-enrollment is part of a sequential service strategy;

(3) for national activities, [\$167,570,000] \$384,100,000, as follows:

(A) [\$48,781,000] \$57,500,000 for Pilots, Demonstrations, and Research, which shall be available for the period [April] July 1, [2009] 2010 through June 30, [2010] 2011, of which [\$5,000,000] \$50,000,000 shall be for [competitive grants to address the employment and training needs of young parents (notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA), and of which \$41,324,000 shall be used for the projects, and in the amounts, specified under the heading "Training and Employment Services" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)] *Transitional Jobs projects, and shall not be subject to the requirements of section 171(b)(2)(B) or 171(c)(4)(D): Provided, That all or some of these funds may be transferred to The Department of Health and Human Services and/or the Department of Justice, for purposes of conducting transitional jobs projects*: *Provided*, That funding provided to carry out such projects shall not be subject to the requirements of sections 171(b)(2)(B) and 171(c)(4)(D) of the WIA, the joint funding requirements of sections 171(b)(2)(A) and 171(c)(4)(A) of the WIA, or any time limit requirements of sections 171(b)(2)(C) and 171(c)(4)(B) of the WIA];

(B) [\$108,493,000] \$115,000,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the *Second Chance Act of 2007*, which shall be available for the period [April] July 1, [2009] 2010 through June 30, [2010] 2011, [notwithstanding] and which shall not be subject to the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of WIA]: *Provided*, That not less than \$88,500,000 shall be for youthful offender activities, of which \$35,000,000 shall be for a program of competitive grants to local educational agencies or community-based organizations to develop and implement mentoring strategies that integrate educational and employment interventions designed to prevent youth violence in schools identified as persistently dangerous under section 9532 of the *Elementary and Secondary Education Act*];

(C) [\$6,918,000] \$11,600,000 for Evaluation, which shall be available for the period July 1, [2009] 2010 through June 30, [2010] 2011, and which may be transferred to any other account within the Department to carry out evaluation activities; [and]

(D) [\$3,378,000] for the Denali Commission, which shall be available for the period July 1, 2009 through June 30, 2010] \$50,000,000 for activities that prepare workers for careers in energy efficiency and renewable energy as described in section 171(e)(1)(B) of the WIA, under the authority of section 171 of the WIA, which shall be available for the period July 1, 2010 through June 30, 2011, and which shall not be subject to the requirements of section 171(b)(2)(B) or 171(c)(4)(D);

(E) \$135,000,000 for Career Pathways Innovation Fund, under the authority of section 171 of the WIA, which shall be available for the period July 1, 2010 through June 30, 2011, and which shall not be subject to the requirements of section 171(b)(2)(B) or 171(c)(4)(D);

(F) \$15,000,000 for the Workforce Data Quality Initiative, under the authority of 171(c)(2) of the WIA, which shall be available for the period July 1, 2010 through June 30, 2011, and which shall not be subject to the requirements of section 171(c)(4)(D).

(Department of Labor Appropriations Act, 2009.)

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing (in millions of dollars)

Identification code 16-0174-0-1-504	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 Adult Employment and Training Activities .....	827	862	862
00.03 Dislocated Worker Employment and Training Activities .....	1,319	1,185	1,380
00.05 Youth Activities .....	984	936	1,018
00.08 Reintegration of Ex-Offenders .....	63	74	112
00.10 Native Americans .....	53	52	53
00.11 Migrant and Seasonal Farmworkers .....	80	83	83
00.13 National programs .....	27	54	65
00.14 Career Pathways/Community College in FY 2010 (formerly CBJTG) .....	123	125	74
00.15 High Growth Job Training Initiative .....	134	125	125
00.16 Green Jobs Initiative .....			28
00.17 Data Quality Initiative .....			8
00.18 Job Corps .....	521		
00.21 Recovery Act - Adult Employment and Training Activities .....		495	
00.22 Recovery Act - Dislocated Worker Employment and Training Activities .....		1,435	
00.23 Recovery Act - Youth Employment and Training Activities .....		1,188	
00.24 Recovery Act - YouthBuild .....		50	
00.25 Recovery Act - Green Job Training .....		495	
00.26 Recovery Act - High Growth/Emerging Industries .....		248	
00.27 Recovery Act - Program Administration .....		13	26
00.28 Recovery Act - NEGs Health Insurance Assistance .....		15	100
09.01 Reimbursable program .....	14	10	10
10.00 Total new obligations .....	4,145	7,445	3,944
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	908	376	723
21.45 Adjustments to unobligated balance carried forward, start of year .....	-7		
22.00 New budget authority (gross) .....	3,575	7,861	3,969
22.10 Resources available from recoveries of prior year obligations ...	46		
22.21 Unobligated balance transferred to other accounts .....		-69	
23.90 Total budgetary resources available for obligation .....	4,522	8,168	4,692
23.95 Total new obligations .....	-4,145	-7,445	-3,944
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance carried forward, end of year .....	376	723	748
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1,836	1,854	2,062
40.01 Appropriation, Recovery Act .....		3,950	
40.35 Appropriation permanently reduced .....	-32		
40.36 Unobligated balance permanently reduced .....	-39		
43.00 Appropriation (total discretionary) .....	1,765	5,804	2,062
55.00 Advance appropriation .....	1,772	1,772	1,772
55.35 Advance appropriation permanently reduced .....	-31		
55.35 Advance appropriation permanently reduced .....	-75		
55.90 Advance appropriation (total discretionary) .....	1,666	1,772	1,772
58.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	13	10	10
Mandatory:			
60.00 Appropriation .....		150	
60.20 Appropriation (H-1B Skills Training) .....	131	125	125
62.50 Appropriation (total mandatory) .....	131	275	125
70.00 Total new budget authority (gross) .....	3,575	7,861	3,969
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3,622	3,182	5,835
73.10 Total new obligations .....	4,145	7,445	3,944
73.20 Total outlays (gross) .....	-4,322	-4,667	-5,674
73.31 Obligated balance transferred to other accounts .....		-125	
73.40 Adjustments in expired accounts (net) .....	-217		
73.45 Recoveries of prior year obligations .....	-46		
74.40 Obligated balance, end of year .....	3,182	5,835	4,105
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1,252	2,120	1,309
86.93 Outlays from discretionary balances .....	2,965	2,419	4,254
86.97 Outlays from new mandatory authority .....		4	1
86.98 Outlays from mandatory balances .....	105	124	110
87.00 Total outlays (gross) .....	4,322	4,667	5,674

<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-12	-10	-10
88.40 Non-Federal sources .....	-3		
88.90 Total, offsetting collections (cash) .....	-15	-10	-10
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,562	7,851	3,959
90.00 Outlays .....	4,307	4,657	5,664

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA authorization expired on September 30, 2003. The Act is intended to revitalize the Nation's job training system to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and substantial advance appropriation amounts are provided.

*Adult employment and training activities.*—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

*Dislocated worker employment and training activities.*—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

*Youth activities.*—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer jobs. The program links academic and occupational learning with youth development activities.

*Green Jobs.*—These funds will support research, labor exchange, and job training projects that help prepare workers for careers related to renewable energy and energy efficiency.

*Reintegration of Ex-Offenders.*—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Department of Labor will coordinate closely with the Department of Justice in carrying out this program.

*Native Americans.*—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

*Migrant and Seasonal Farmworkers.*—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

*National programs.*—Provides program support for WIA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market. National activities conducted under the Pilots, Demonstrations, and Research authority will include projects to demonstrate and evaluate transitional job models, which combine short-term subsidized or supported employment with intensive case management services to help populations with barriers to employment succeed in the labor market.

*Career Pathways Innovation Fund.*—A competitive grant program for community college-led partnerships to develop or expand career pathway programs. These programs will have clear sequences of coursework and credentials, each leading to a better job in a high-demand or emerging industry.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

**Object Classification** (in millions of dollars)

Identification code 16-0174-0-1-504	2008 actual	2009 est.	2010 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....		1	
21.0 Travel and transportation of persons .....		2	
25.1 Advisory and assistance services .....		6	17
25.2 Other services .....	485	3	26
25.3 Other purchases of goods and services from Government accounts .....	8		
25.7 Operation and maintenance of equipment .....		4	
41.0 Grants, subsidies, and contributions .....	3,639	7,419	3,891
99.0 Direct obligations .....	4,132	7,435	3,934
99.0 Reimbursable obligations .....	13	10	10
99.9 Total new obligations .....	4,145	7,445	3,944

**COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS**

To carry out title V of the Older Americans Act of 1965, **[\$571,925,000]** \$575,425,000, which shall be available for the period July 1, **[2009]** 2010 through June 30, **[2010: Provided, That funds made available under this heading in this Act may, in accordance with section 517(c) of the Older Americans Act of 1965, be recaptured and reobligated]** 2011. (Department of Labor Appropriations Act, 2009.)

**Program and Financing** (in millions of dollars)

Identification code 16-0175-0-1-504	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 National programs .....	390	440	443
00.02 State programs .....	114	132	132
10.00 Total new obligations .....	504	572	575
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		18	138
22.00 New budget authority (gross) .....	522	692	575
23.90 Total budgetary resources available for obligation .....	522	710	713
23.95 Total new obligations .....	-504	-572	-575
24.40 Unobligated balance carried forward, end of year .....	18	138	138
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	531	572	575
40.01 Appropriation, Recovery Act .....		120	
40.35 Appropriation permanently reduced .....	-9		
43.00 Appropriation (total discretionary) .....	522	692	575
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	433	441	458
73.10 Total new obligations .....	504	572	575
73.20 Total outlays (gross) .....	-483	-555	-664
73.40 Adjustments in expired accounts (net) .....	-13		
74.40 Obligated balance, end of year .....	441	458	369
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	85	133	109
86.93 Outlays from discretionary balances .....	398	422	555
87.00 Total outlays (gross) .....	483	555	664
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	522	692	575
90.00 Outlays .....	483	555	664

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in

2006 (P.L. 109-365), is a federally-sponsored community service employment and training program that targets unemployed low-income older individuals who want to enter or re-enter the workforce. Participants must be 55 years of age or older with incomes no more than 125 percent of the Federal poverty level. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The dual goals of the program are to foster individual economic self-sufficiency and to provide useful opportunities in community service activities.

**Object Classification** (in millions of dollars)

Identification code 16-0175-0-1-504	2008 actual	2009 est.	2010 est.
<b>Direct obligations:</b>			
25.2 Other services .....	1	1	
41.0 Grants, subsidies, and contributions .....	503	571	575
99.9 Total new obligations .....	504	572	575

**FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES**

For payments during fiscal year **[2009]** 2010 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, and related State administration provided pursuant to paragraphs (1) and (2) of section 1891(b) of the Trade and Globalization Adjustment Assistance Act of 2009 (Public Law 111-5), **[\$958,800,000]** \$1,818,400,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, **[2009]** 2010. (Department of Labor Appropriations Act, 2009.)

**Program and Financing** (in millions of dollars)

Identification code 16-0326-0-1-999	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Trade Adjustment Assistance benefits .....	628	212	1,067
00.02 Trade Adjustment Assistance training .....	260	516	686
00.05 Wage insurance demonstration .....	28	28	65
09.01 Disaster Unemployment Assistance .....	18	40	40
10.00 Total new obligations .....	934	796	1,858
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	948	999	1,858
23.95 Total new obligations .....	-934	-796	-1,858
23.98 Unobligated balance expiring or withdrawn .....	-14	-203	
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.00 Appropriation .....	889	959	1,818
69.00 Offsetting collections (DUA) .....	18	40	40
69.00 Offsetting collections (Advances funds) .....	41		
69.90 Spending authority from offsetting collections (total mandatory) .....	59	40	40
70.00 Total new budget authority (gross) .....	948	999	1,858
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	457	554	744
73.10 Total new obligations .....	934	796	1,858
73.20 Total outlays (gross) .....	-799	-606	-1,633
73.40 Adjustments in expired accounts (net) .....	-38		
74.40 Obligated balance, end of year .....	554	744	969

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued  
Program and Financing —Continued

Identification code 16-0326-0-1-999		2008 actual	2009 est.	2010 est.
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....	626	438	1,459
86.98	Outlays from mandatory balances .....	173	168	174
87.00	Total outlays (gross) .....	799	606	1,633
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-56	-40	-40
Against gross budget authority only:				
88.96	Portion of offsetting collections (cash) credited to expired accounts .....	-3		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	889	959	1,818
90.00	Outlays .....	743	566	1,593

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized and substantively amended through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009, which was enacted as part of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). Under the amendments, workers covered by petitions for the TAA program filed before May 18, 2009, will continue to receive the benefits and services, including ATAA, in accordance with the TAA provisions that were in effect prior to these amendments (see Section 1891(b) of the Trade and Globalization Adjustment Assistance Act of 2009). Petitions filed on or after May 18, 2009 are considered under expanded group eligibility provisions (e.g., workers in the service sector will be eligible) and the covered workers may be eligible for enhanced services and benefits, including additional weeks of cash benefits while in training and for the RTAA program.

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999		2008 actual	2009 est.	2010 est.
41.0	Direct obligations: Grants, subsidies, and contributions .....	916	756	1,818
99.0	Reimbursable obligations: reimbursable obligations .....	18	40	40
99.9	Total new obligations .....	934	796	1,858

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES  
(Legislative proposal, subject to PAYGO)

The Administration will propose legislation for later transmittal to reauthorize and extend the Trade Adjustment Assistance (TAA) for Workers program. The American Recovery and Reinvestment Act of 2009 (Public Law 111-5) amended TAA to expand eligibility to service workers, increase the funds available for training, and make other program changes, but it sunset this expanded coverage for petitions filed after January 1, 2011.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, **[\$91,698,000]** *\$74,403,000*, together with not to exceed **[\$3,563,167,000]** *\$3,977,153* which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) **[\$2,782,145,000]** *\$3,195,645,000* from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including \$10,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501-8523, and the administration of trade readjustment allowances, *reemployment trade adjustment assistance*, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 1891(b) of the Trade and Globalization Adjustment Assistance Act of 2009, and shall be available for obligation by the States through December 31, **[2009]** *2010*, except that funds used for automation acquisitions shall be available for obligation by the States through September 30, **[2011]** *2012*, and funds used for unemployment insurance workloads experienced by the States through September 30, **[2009]** *2010* shall be available for Federal obligation through December 31, **[2009]** *2010*;

(2) \$11,310,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$680,893,000 from the Trust Fund, together with \$22,683,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, **[2009]** *2010* through June 30, **[2010]** *2011*;

(4) \$20,869,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,228,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) **[\$67,950,000]** *\$68,436,000* from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which **[\$52,821,000]** *\$53,307,000* shall be available for the Federal administration of such activities, and \$15,129,000 shall be available for grants to States for the administration of such activities; and

(6) \$51,720,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171 (e)(2)(C) of the Workforce Investment Act of 1998 and shall be available for Federal obligation for the period July 1, **[2009]** *2010* through June 30, **[2010]**; and **[2011]**

**[(7) \$17,295,000 from the General Fund is to provide for work incentive grants to the States and shall be available for the period July 1, 2009 through June 30, 2010]:**

*Provided*, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year **[2009]** *2010* is projected by the Department of Labor to exceed **[\$3,487,000]** *5,059,000*, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: *Provided further*, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: *Provided further*, That the Secretary of Labor may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized

under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A-87: *Provided further*, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request.

In addition, **[\$40,000,000]** \$50,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews: *Provided*, That not later than June 30, 2010, the Secretary shall submit an interim report to the Congress that includes available information on expenditures, number of individuals assessed, and outcomes from the assessments: *Provided further*, That not later than June 30, 2011, the Secretary of Labor shall submit to the Congress a final report containing comprehensive information on the estimated savings that result from the assessments of claimants and identification of best practices]. (*Department of Labor Appropriations Act, 2009.*)

**Program and Financing** (in millions of dollars)

Identification code 16-0179-0-1-999	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 State UI administration .....	2,630	3,858	3,246
00.02 UI national activities .....	10	11	11
00.10 ES grants to States .....	703	704	704
00.11 ES national activities .....	32	21	21
00.12 One-stop career centers .....	68	57	52
00.13 Work Incentive Grants .....	18	17	.....
00.14 Foreign labor certification .....	.....	68	68
00.15 Recovery Act: ES grants to States .....	.....	400	.....
09.01 Reimbursable program .....	2	10	10
10.00 Total new obligations .....	3,463	5,146	4,112
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	82	69	153
21.45 Adjustments to unobligated balance carried forward, start of year .....	.....	76	.....
22.00 New budget authority (gross) .....	3,445	5,154	4,359
22.10 Resources available from recoveries of prior year obligations .....	5	.....	.....
23.90 Total budgetary resources available for obligation .....	3,532	5,299	4,512
23.95 Total new obligations .....	-3,463	-5,146	-4,112
24.40 Unobligated balance carried forward, end of year .....	69	153	400
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	91	92	75
40.35 Appropriation permanently reduced .....	-2	.....	.....
43.00 Appropriation (total discretionary) .....	89	92	75
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) .....	2,914	4,505	4,037
58.10 Change in uncollected customer payments from Federal sources (unexpired) .....	375	.....	.....
58.90 Spending authority from offsetting collections (total discretionary) .....	3,289	4,505	4,037
Mandatory:			
60.20 Appropriation (special fund) .....	.....	13	13
69.00 Offsetting collections (cash) .....	23	352	.....
69.00 Offsetting collections (cash) .....	.....	192	234
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....	44	.....	.....
69.90 Spending authority from offsetting collections (total mandatory) .....	67	544	234
70.00 Total new budget authority (gross) .....	3,445	5,154	4,359
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	84	93	32
72.45 Adjustment to obligated balance, start of year .....	.....	-76	.....
73.10 Total new obligations .....	3,463	5,146	4,112
73.20 Total outlays (gross) .....	-3,510	-5,131	-4,421
73.40 Adjustments in expired accounts (net) .....	-5	.....	.....
73.45 Recoveries of prior year obligations .....	-5	.....	.....

74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-419	.....	.....
74.10 Change in uncollected customer payments from Federal sources (expired) .....	485	.....	.....
74.40 Obligated balance, end of year .....	93	32	-277
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2,381	3,468	3,010
86.93 Outlays from discretionary balances .....	1,102	1,106	1,164
86.97 Outlays from new mandatory authority .....	27	557	247
87.00 Total outlays (gross) .....	3,510	5,131	4,421
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-2	-10	-10
88.00 Trust Fund sources .....	-3,417	-5,039	-4,261
88.90 Total, offsetting collections (cash) .....	-3,419	-5,049	-4,271
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-419	.....	.....
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	482	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	89	105	88
90.00 Outlays .....	91	82	150

*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identity theft, and provide referral to reemployment assistance to those who need additional help. The \$50 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$204 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through allocation adjustments, a mechanism that has been used by past administrations

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

and Congresses. Allocation adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$10 million and if the use of the funds was clearly restricted to the specified purpose. The maximum allowable adjustment to the ) allocation for this program would be \$50 million for 2010 (see explanation on pages 40-41 of the budget document, *A New Era of Responsibility*).

## UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2007 actual	2008 actual	2009 est.	2010 est.
Staff years .....	32,281	32,630	37,449	37,369
Basic workload (in thousands):				
Employer tax accounts .....	7,586	7,680	7,706	7,745
Employee wage items recorded .....	627,692	631,487	602,600	605,659
Initial claims taken .....	16,890	19,661	30,884	26,850
Weeks claimed .....	133,809	157,911	270,010	259,131
Nonmonetary determinations .....	7,467	8,140	10,504	10,150
Appeals .....	1,308	1,416	1,763	1,905
Covered employment .....	133,372	133,683	131,000	131,665

**Employment service.**—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by State grants distributed under a demographically formula based funding formula in large part on unemployment data. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

## EMPLOYMENT SERVICE PROGRAM STATISTICS

	2007 actual	2008 est.	2009 est.	2010 est.
Total participants (thousands) .....	15,812,491	16,049,678	16,290,424	16,290,424
Entered employment (thousands) .....	5,628,745	5,713,176	5,798,873	5,798,873
Cost per participant .....	\$45	\$44	\$43	\$43

Years are program years running from July 1 of the year indicated through June 30 of the following year.

**Foreign Labor Certification.**—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and state activities.

**Federal Administration.**—Formerly funded in the Employment and Training Administration's Program Administration account, Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

**State grants.**—Provides grants to state labor agencies in 54 states and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for

prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, state safety inspection of housing provided by employers to workers, and state development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area

**One-stop career centers.**—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services.

## Object Classification (in millions of dollars)

Identification code 16-0179-0-1-999	2008 actual	2009 est.	2010 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....		11	11
11.5 Other personnel compensation .....		1	1
11.9 Total personnel compensation .....		12	12
12.1 Civilian personnel benefits .....		3	3
23.1 Rental payments to GSA .....		2	2
23.3 Communications, utilities, and miscellaneous charges .....	114	1	
25.1 Advisory and assistance services .....		19	19
25.2 Other services .....		15	15
25.3 Other purchases of goods and services from Government accounts .....		4	4
25.7 Operation and maintenance of equipment .....		11	6
41.0 Grants, subsidies, and contributions .....	3,347	5,069	4,041
99.0 Direct obligations .....	3,461	5,136	4,102
99.0 Reimbursable obligations .....	2	10	10
99.9 Total new obligations .....	3,463	5,146	4,112

## Employment Summary

Identification code 16-0179-0-1-999	2008 actual	2009 est.	2010 est.
<b>Direct:</b>			
1001 Civilian full-time equivalent employment .....		131	131
1001 Civilian full-time equivalent employment .....		31	31

## PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

## Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.10 Payments to EUCA .....		1,455	
00.12 Payments to ESAA .....		24	44
00.15 Payments for EUC Benefits, Recovery Act .....		11,037	12,664
00.20 Payments for EUC Admin, Recovery Act .....		192	234
10.00 Total new obligations (object class 41.0) .....		12,708	12,942
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		12,708	12,942
23.95 Total new obligations .....		-12,708	-12,942
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.00 Appropriation .....		12,708	12,942
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		12,708	12,942
73.20 Total outlays (gross) .....		-12,708	-12,942
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....		12,708	12,942
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		12,708	12,942

90.00 Outlays ..... 12,708 12,942

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in P.L. 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252, expanded in P.L. 110-449, and extended in P.L. 111-5). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 Direct program activity .....		5,067	3,615
10.00 Total new obligations (object class 42.0) .....		5,067	3,615
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		5,067	3,615
23.95 Total new obligations .....		-5,067	-3,615
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....		5,067	3,615
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		5,067	3,615
73.20 Total outlays (gross) .....		-5,067	-3,615
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....		5,067	3,615
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		5,067	3,615
90.00 Outlays .....		5,067	3,615

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act (Public Law 111-5). This program pays a supplement of \$25 on every week of unemployment compensation through December 31, 2009, with phaseout.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of [1954] 1986; and for nonrepayable advances to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal unemployment benefits and allowances" account, [to remain available through September 30, 2010, \$422,000,000] such sums as may be necessary.

[In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, 2009, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary.] (Department of Labor Appropriations Act, 2009.)

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 Trade Adjustment Assistance .....	41		
00.40 FECA Costs .....			120
10.00 Total new obligations (object class 41.0) .....	41		120
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	113	83	83
22.00 New budget authority (gross) .....	11		120
23.90 Total budgetary resources available for obligation .....	124	83	203
23.95 Total new obligations .....	-41		-120
24.40 Unobligated balance carried forward, end of year .....	83	83	83
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	11		120
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	41		120
73.20 Total outlays (gross) .....	-41		-120
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			120
86.98 Outlays from mandatory balances .....	41		
87.00 Total outlays (gross) .....	41		120
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11		120
90.00 Outlays .....	41		120

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account provides repayable advances to the Black Lung Disability Trust Fund (BLDTF) whenever its balances prove insufficient to make payments from that account. These repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for Federal employees, and to the Federal Unemployment and Benefits Account (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. Advances were needed for the FUBA account in fiscal 2008, and advances to FECA are anticipated in fiscal 2010 to cover the unemployment benefit costs of temporary workers on the decennial Census.

In light of economic conditions, there could be a very significant increase in the need for advances to the EUCA and FUA during fiscal year 2010 to pay for unemployment benefits. In addition to the economic conditions, legislation has recently been enacted to significantly expand both eligibility for TAA and the benefits and services under that program, which could also increase the need for advances to FUBA. The BLDTF is not expected to need advances in fiscal 2010 because its debt was restructured as part of the Energy Improvement and Extension Act of 2008.

To address the potential need for significant advances to certain accounts, the previous appropriations language for the Advances account has been amended to appropriate such sums as needed for advances to all of the accounts for the entire fiscal year. Cur-

## ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS—Continued

rently, the "such sums" language is only applicable to the BLDTF after September 15 of the fiscal year.

## PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$85,323,000]** \$97,766,000, together with not to exceed **[\$45,140,000]** \$50,140,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2009.*)

## Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2008 actual	2009 est.	2010 est.
<b>Obligations by program activity:</b>			
00.01 Adult services .....	50	51	56
00.02 Youth services .....	10	11	12
00.03 Workforce security .....	95	39	43
00.04 Apprenticeship training, employer and labor services .....	22	21	28
00.05 Executive direction .....	8	8	9
00.06 Recovery Act- Administration .....		13	31
00.07 Recovery Act- Program Support .....		3	7
09.00 Reimbursable program .....		1	1
10.00 Total new obligations .....	185	147	187
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	7	8	46
22.00 New budget authority (gross) .....	186	185	148
23.90 Total budgetary resources available for obligation .....	193	193	194
23.95 Total new obligations .....	-185	-147	-187
24.40 Unobligated balance carried forward, end of year .....	8	46	7
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	88	85	98
40.35 Appropriation permanently reduced .....	-1		
42.00 Transferred from other accounts .....		10	
43.00 Appropriation (total discretionary) .....	87	95	98
Spending authority from offsetting collections:			
58.00 Offsetting collections (UTF) .....	86	45	50
58.00 Offsetting collections (TES - Recovery Act) .....		40	
58.00 Offsetting collections (CSEOA - Recovery Act) .....		1	
58.00 Offsetting collections (SUIESO - Recovery Act) .....		4	
58.90 Spending authority from offsetting collections (total discretionary) .....	86	90	50
Mandatory:			
60.20 Appropriation (special fund) .....	13		
70.00 Total new budget authority (gross) .....	186	185	148
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	52	52	58
73.10 Total new obligations .....	185	147	187
73.20 Total outlays (gross) .....	-184	-141	-184
73.40 Adjustments in expired accounts (net) .....	-1		
74.40 Obligated balance, end of year .....	52	58	61
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	136	137	138
86.93 Outlays from discretionary balances .....	37	4	46
86.97 Outlays from new mandatory authority .....	11		
87.00 Total outlays (gross) .....	184	141	184
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Trust fund sources .....	-86	-45	-50
88.00 Federal Sources - TES - Recovery Act .....		-40	
88.00 Federal sources - CSEOA - Recovery Act .....		-1	
88.00 Federal sources - SUIESO - Recovery Act .....		-4	
88.90 Total, offsetting collections (cash) .....	-86	-90	-50

Net budget authority and outlays:			
89.00 Budget authority .....	100	95	98
90.00 Outlays .....	98	51	134

This account provides for the Federal administration of Employment and Training Administration programs.

**Adult services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

**Youth services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

**Workforce security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities. Funding for administration of the foreign labor certification programs, formerly provided in this account, is now appropriated in the State Unemployment Insurance and Employment Service Operations account.

**Apprenticeship training, employer and labor services.**—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure. Employer and labor services will facilitate the understanding and responsiveness of workforce investment systems to the training needs of employers and the interest of labor organizations in training programs.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

## Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504	2008 actual	2009 est.	2010 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	82	69	76
11.3 Other than full-time permanent .....	2	1	24
11.5 Other personnel compensation .....	4	3	4
11.9 Total personnel compensation .....	88	73	104
12.1 Civilian personnel benefits .....	22	20	25
21.0 Travel and transportation of persons .....	3	3	4
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....			1
25.1 Advisory and assistance services .....	26	10	9
25.2 Other services .....	2	1	1
25.3 Other purchases of goods and services from Government accounts .....	16	17	17
25.7 Operation and maintenance of equipment .....	16	10	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	4
99.0 Direct obligations .....	185	146	186
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations .....	185	147	187

## Employment Summary

Identification code 16-0172-0-1-504	2008 actual	2009 est.	2010 est.
Direct:			

1001	Civilian full-time equivalent employment .....	923	831	1,060
	Reimbursable:			
2001	Civilian full-time equivalent employment .....	5	4	4

**WORKERS COMPENSATION PROGRAMS**  
**Program and Financing** (in millions of dollars)

Identification code 16-0170-0-1-806	2008 actual	2009 est.	2010 est.	
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	47	44	42
73.20	Total outlays (gross) .....	-3	-2	-2
74.40	Obligated balance, end of year .....	44	42	40
<b>Outlays (gross), detail:</b>				
86.93	Outlays from discretionary balances .....	3	2	2
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	3	2	2

*Workers Compensation Programs.*—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

**Trust Funds**

**UNEMPLOYMENT TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 20-8042-0-7-999	2008 actual	2009 est.	2010 est.	
01.00	Balance, start of year .....	73,882	70,901	27,113
01.99	Balance, start of year .....	73,882	70,901	27,113
<b>Receipts:</b>				
02.00	General Taxes, FUTA, Unemployment Trust Fund .....	7,216	7,217	6,068
02.01	General Taxes, FUTA, Unemployment Trust Fund - legislative proposal subject to PAYGO .....			1,296
02.02	Unemployment Trust Fund, State Accounts, Deposits by States .....	32,217	36,678	44,819
02.03	Unemployment Trust Fund, State Accounts, Deposits by States .....		43	78
02.04	Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	94	92	103
02.20	CMIA Interest, Unemployment Trust Fund .....	4	3	3
02.21	Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	11	174	911
02.22	Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		-174	-911
02.40	Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	722	1,023	1,092
02.41	Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....			120
02.42	Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....		1,479	44
02.43	Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....		11,229	12,898
02.44	Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	3,645	2,256	947
02.45	Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....		4	45
02.99	Total receipts and collections .....	43,909	60,024	67,513
04.00	Total: Balances and collections .....	117,791	130,925	94,626
<b>Appropriations:</b>				
05.00	Unemployment Trust Fund .....	-3,790	-4,430	-4,370
05.01	Unemployment Trust Fund .....		-400	
05.02	Unemployment Trust Fund .....	63		
05.03	Unemployment Trust Fund .....	-40,023	-98,853	-69,379

05.04	Unemployment Trust Fund .....	-3,040		
05.05	Unemployment Trust Fund - legislative proposal not subject to PAYGO .....			102
05.06	Railroad Unemployment Insurance Trust Fund .....	-18	-24	-24
05.07	Railroad Unemployment Insurance Trust Fund .....	2	7	7
05.08	Railroad Unemployment Insurance Trust Fund .....	-83	-71	-81
05.09	Railroad Unemployment Insurance Trust Fund .....	-100	-99	-58
05.10	Railroad Unemployment Insurance Trust Fund .....	99	58	28
05.99	Total appropriations .....	-46,890	-103,812	-73,775
07.99	Balance, end of year .....	70,901	27,113	20,851

**Program and Financing** (in millions of dollars)

Identification code 20-8042-0-7-999	2008 actual	2009 est.	2010 est.	
<b>Obligations by program activity:</b>				
00.01	Benefit payments by States .....	42,198	96,880	82,715
00.02	Federal employees' unemployment compensation .....	720	1,149	1,176
00.03	State administrative expenses .....	3,369	5,056	4,261
00.07	UI Mod Benefits/Administration .....		100	286
00.10	Direct expenses .....	161	129	134
00.11	Reimbursements to the Department of the Treasury .....	142	160	165
00.20	Veterans employment and training .....	197	206	210
00.21	Interest on FUTA refunds .....	3	3	3
00.22	Interest on General Fund Advances .....			300
10.00	Total new obligations .....	46,790	103,683	89,250
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	46,790	103,683	89,249
23.95	Total new obligations .....	-46,790	-103,683	-89,250

**New budget authority (gross), detail:**

<b>Discretionary:</b>				
40.26	Appropriation (trust fund) .....	3,790	4,430	4,370
40.26	Appropriation (trust fund) Recovery Act .....		400	
40.37	Appropriation temporarily reduced .....	-63		
43.00	Appropriation (total discretionary) .....	3,727	4,830	4,370
<b>Mandatory:</b>				
60.26	Appropriation (trust fund) .....	40,023	98,853	69,379
60.28	Appropriation (previously unavailable) .....	3,040		
60.47	Portion applied to repay debt .....			-300
62.50	Appropriation (total mandatory) .....	43,063	98,853	69,079
67.10	Authority to borrow .....			15,800
70.00	Total new budget authority (gross) .....	46,790	103,683	89,249

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	1,244	2,603	2,708
72.45	Adjustment to obligated balance, start of year .....	1,187	76	
73.10	Total new obligations .....	46,790	103,683	89,250
73.20	Total outlays (gross) .....	-46,618	-103,654	-89,186
74.40	Obligated balance, end of year .....	2,603	2,708	2,772

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	2,763	3,826	3,302
86.93	Outlays from discretionary balances .....	1,016	975	1,005
86.97	Outlays from new mandatory authority .....	42,642	98,853	84,879
86.98	Outlays from mandatory balances .....	197		
87.00	Total outlays (gross) .....	46,618	103,654	89,186

**Net budget authority and outlays:**

89.00	Budget authority .....	46,790	103,683	89,249
90.00	Outlays .....	46,618	103,654	89,186

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities: Par value .....	74,923	72,432	28,000
92.02	Total investments, end of year: Federal securities: Par value .....	72,432	28,000	22,000

**UNEMPLOYMENT TRUST FUND—Continued**  
**Summary of Budget Authority and Outlays** (in millions of dollars)

	2008 actual	2009 est.	2010 est.
Enacted/requested:			
Budget Authority .....	46,790	103,683	89,249
Outlays .....	46,618	103,654	89,186
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-102
Outlays .....			-102
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	46,790	103,683	89,147
Outlays .....	46,618	103,654	89,084

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the Trust Fund and invested in Government securities until needed for benefit payments or administrative expenses. The portion of the Trust Fund funded with Federal taxes provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. The Trust Fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended unemployment benefits.

State payroll taxes pay for all regular State unemployment benefits. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the Federal unemployment payroll tax, which are also paid out of the Trust Fund. The American Recovery and Reinvestment Act (Public Law 111-5) has temporarily made EB 100 percent federally financed. In addition, the Federal unemployment tax pays the costs of Federal and State administration of the unemployment insurance system, veterans employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service.

The Federal Employees Compensation Account (FECA) provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. Any additional resources necessary to assure that the FECA account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

**Status of Funds** (in millions of dollars)

Identification code 20-8042-0-7-999	2008 actual	2009 est.	2010 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	75,390	73,511	29,828
0125 Unemployment Trust Fund [012-05-8042-0] .....	1,187	76	
Adjustments:			
0191 Adjustment for Labor's obligated balance .....	-258		
0199 Total balance, start of year .....	76,319	73,587	29,828
Cash income during the year:			
Current law:			
Receipts:			
1200 General Taxes, FUTA, Unemployment Trust Fund .....	7,216	7,217	6,068
1202 Unemployment Trust Fund, State Accounts, Deposits by States .....	32,217	36,678	44,819

1203 Unemployment Trust Fund, State Accounts, Deposits by States .....	43	78	
1204 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	94	92	103
Offsetting receipts (proprietary):			
1220 CMA Interest, Unemployment Trust Fund .....	4	3	3
1221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	11	174	911
1222 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....		-174	-911
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	722	1,023	1,092
1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....			120
1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....		1,479	44
1243 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....		11,229	12,898
1244 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	3,645	2,256	947
1245 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....		4	45
Offsetting collections:			
1280 Railroad Unemployment Insurance Trust Fund .....	24	21	21
1299 Income under present law .....	43,933	60,045	66,238
Proposed legislation:			
Receipts:			
2201 General Taxes, FUTA, Unemployment Trust Fund .....			1,296
2299 Income under proposed legislation .....			1,296
3299 Total cash income .....	43,933	60,045	67,534
Cash outgo during year:			
Current law:			
4500 Unemployment Trust Fund .....	-46,618	-103,654	-89,186
4501 Railroad Unemployment Insurance Trust Fund .....	-123	-150	-149
4599 Outgo under current law (-) .....	-46,741	-103,804	-89,335
Proposed legislation:			
5500 Unemployment Trust Fund .....			102
5599 Outgo under proposed legislation (-) .....			102
6599 Total cash outgo (-) .....	-46,741	-103,804	-89,233
7650 Other adjustments, net .....			-300
Manual Adjustments:			
7690 Adjustment to reflect cash borrowed .....			15,800
7699 Total adjustments .....			15,500
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	1,079	1,828	1,629
8701 Unemployment Trust Fund .....	72,432	28,000	22,000
8799 Total balance, end of year .....	73,511	29,828	23,629

**Object Classification** (in millions of dollars)

Identification code 20-8042-0-7-999	2008 actual	2009 est.	2010 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury .....	112	160	165
42.0 FECA (Federal Employee) Benefits .....	796	1,149	1,176
42.0 State unemployment benefits .....	42,876	94,716	82,230
43.0 Interest and dividends .....	3	3	3
94.0 ETA-PA, BLS, FLC .....	161	191	144
94.0 Veterans employment and training .....	197	206	210
94.0 Payments to States for administrative expenses .....	2,639	5,030	3,246
94.0 Departmental management .....	6	6	6
94.0 Recovery Benefit Interaction (w/EUC08,FAC,COBRA) .....		2,122	1,784
94.0 UI Mod Benefits/Admin .....		100	286
99.0 Direct obligations .....	46,790	103,683	89,250
99.9 Total new obligations .....	46,790	103,683	89,250

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20-8042-2-7-999	2008 actual	2009 est.	2010 est.
00.01 Obligations by program activity:			
Benefit payments by States .....			-102

10.00	Total new obligations (object class 42.0)	-102
<b>Budgetary resources available for obligation:</b>		
22.00	New budget authority (gross)	-102
23.95	Total new obligations	102
<b>New budget authority (gross), detail:</b>		
Mandatory:		
60.26	Appropriation (trust fund)	-102
<b>Change in obligated balances:</b>		
73.10	Total new obligations	-102
73.20	Total outlays (gross)	102
<b>Outlays (gross), detail:</b>		
86.97	Outlays from new mandatory authority	-102
<b>Net budget authority and outlays:</b>		
89.00	Budget authority	-102
90.00	Outlays	-102

Please see the narrative in the "State Unemployment Insurance and Employment Service Operations" account for a description of the program integrity proposal whose savings are reflected here.

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

The Administration will propose legislation for later transmittal to make the Unemployment Insurance (UI) program a more responsive and effective social safety net and economic stabilizer. While the regular State-funded UI programs respond readily to rising unemployment, the same cannot be said of the permanent Extended Benefits (EB) program, which provides additional weeks of benefits when unemployment in a State is high and rising. The Budget proposes to make the EB program more responsive to changing economic conditions. These program changes will make benefits available more quickly to long-term unemployed workers and avoid the delays associated with enactment of legislation to create special, temporary unemployment programs.

**EMPLOYEE BENEFITS SECURITY ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses for the Employee Benefits Security Administration, **[\$143,419,000] \$156,060,000.** (Department of Labor Appropriations Act, 2009.)

**Program and Financing** (in millions of dollars)

Identification code 16-1700-0-1-601	2008 actual	2009 est.	2010 est.	
<b>Obligations by program activity:</b>				
00.01	Enforcement and participant assistance	131	120	130
00.02	Policy and compliance assistance	17	18	19
00.03	Executive leadership, program oversight and administration	5	5	7
00.04	Recovery Act	6	6	4
09.01	Reimbursable program	12	13	13
10.00	Total new obligations	165	162	173
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year	14	1	5
22.00	New budget authority (gross)	152	166	169
23.90	Total budgetary resources available for obligation	166	167	174
23.95	Total new obligations	-165	-162	-173
24.40	Unobligated balance carried forward, end of year	1	5	1
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation	142	143	156

40.35	Appropriation permanently reduced	-2		
42.00	Transferred from other accounts		10	
43.00	Appropriation (total discretionary)	140	153	156
58.00	Spending authority from offsetting collections: Offsetting collections (cash)	12	13	13
70.00	Total new budget authority (gross)	152	166	169
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year	40	50	40
73.10	Total new obligations	165	162	173
73.20	Total outlays (gross)	-154	-172	-172
73.40	Adjustments in expired accounts (net)	-1		
74.40	Obligated balance, end of year	50	40	41
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority	119	123	129
86.93	Outlays from discretionary balances	35	49	43
87.00	Total outlays (gross)	154	172	172
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources collected	-12	-13	-13
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	140	153	156
90.00	Outlays	142	159	159

**Enforcement and participant assistance.**—Conducts criminal and civil investigations and performs reviews to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act and the Federal Employees' Retirement System Act. Provides information and assistance to benefit plan participants and to the general public. Assures compliance with applicable reporting requirements, as well as accounting, auditing and actuarial standards. Supplies required reports to the public.

	2008 actual	2009 estimate	2010 estimate
Plan reviews conducted	3,868	4,000	4,000
Investigations conducted	3,782	3,931	4,524
Investigations closed that restored or protected assets	2,897	2,602	3,128
Benefit recoveries from customer assistance	\$162,496,000	\$125,000,000	\$125,000,000
Inquiries received	174,978	171,000	171,000

**Policy and compliance assistance.**—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance especially to employers and plan officials. Writes regulations and interpretations. Issues individual and class exemptions from regulations.

	2008 actual	2009 estimate	2010 estimate
Exemptions, determinations, interpretations, and regulations issued	2,771	2,928	3,029
Average days to process exemption requests	291	220	220

**Executive leadership, program oversight, and administration.**—Provides leadership, policy direction, strategic planning, and administrative guidance in the management of employee benefit programs. Provides analytical and administrative support for financial and human capital management and other administrative functions related to coordination and implementation of government-wide management initiatives. Manages the technical program training for the agency's enforcement, policy, legislative and regulatory functions.

**Object Classification** (in millions of dollars)

Identification code 16-1700-0-1-601	2008 actual	2009 est.	2010 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent	69	75	81
11.3	Other than full-time permanent	1		
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	73	78	84
12.1	Civilian personnel benefits	20	20	22