

DEPARTMENT OF LABOR

EMPLOYMENT AND TRAINING ADMINISTRATION

Federal Funds

TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), the Second Chance Act of 2007, and the [Women in Apprenticeship and Non-Traditional Occupations Act of 1992 ("WANTO"), including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA] *Workforce Innovation Fund, as established by this Act, [\$3,195,383,000] \$3,231,812,000, plus reimbursements, shall be available. Of the amounts provided:*

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, [\$2,605,268,000] \$2,600,344,000 as follows:

(A) [\$770,922,000] \$769,465,000 for adult employment and training activities, of which [\$58,922,000] \$57,465,000 shall be available for the period July 1, [2012] 2013, through June 30, [2013] 2014, and of which \$712,000,000 shall be available for the period October 1, [2012] 2013 through June 30, [2013] 2014;

(B) [\$825,914,000] \$824,353,000 for youth activities, which shall be available for the period April 1, [2012] 2013 through June 30, [2013] 2014; and

(C) [\$1,008,432,000] \$1,006,526,000 for dislocated worker employment and training activities, of which [\$148,432,000] \$146,526,000 shall be available for the period July 1, [2012] 2013 through June 30, [2013] 2014, and of which \$860,000,000 shall be available for the period October 1, [2012] 2013 through June 30, [2013] 2014:

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor: *Provided further*, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: *Provided further*, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, [\$487,053,000] \$540,230,000 as follows:

(A) [\$224,112,000] \$223,688,000 for the dislocated workers assistance national reserve, of which [\$24,112,000] \$23,688,000 shall be available for the period July 1, [2012] 2013 through June 30, [2013] 2014, and of which \$200,000,000 shall be available for the period October 1, [2012] 2013 through June 30, [2013] 2014: *Provided*, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: *Provided further*, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: *Provided further*, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) [\$47,652,000] \$52,562,000 for Native American programs, which shall be available for the period July 1, [2012] 2013 through June 30, [2013] 2014;

(C) [\$84,451,000] \$84,291,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including [\$78,253,000] \$78,105,000 for formula grants (of which not less than 70 percent shall be for employment and training services), [\$5,689,000] \$5,678,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and [\$509,000] \$508,000 for other discretionary purposes, which shall be available

for the period July 1, [2012] 2013 through June 30, [2013] 2014: *Provided*, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) [\$998,000 for carrying out the WANTO, which shall be available for the period July 1, 2012 through June 30, 2013; and]

[(E) \$79,840,000] \$79,689,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, [2012] 2013 through June 30, [2013] 2014; and

[(F)] (E) [\$50,000,000] \$100,000,000 to be available to the Secretary of Labor (referred to in this title as "Secretary") for the Workforce Innovation Fund to carry out projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for beneficiaries, which shall be for the period July 1, [2012] 2013 through September 30, [2013] 2014: *Provided*, That amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the WIA, consortia of States, or partnerships, including regional partnerships: *Provided further*, That not more than 5 percent of the funds available for workforce innovation activities shall be for technical assistance and evaluations related to the projects carried out with these funds;

(3) for national activities, [\$103,062,000] \$91,238,000, as follows:

(A) [\$6,616,000, in addition to any amounts available under paragraph (2), for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2012 through June 30, 2013: *Provided*, That funds made available by Public Law 112-10 that were designated for grants to address the employment and training needs of young parents may be used for other pilots, demonstrations, and research activities and for implementation activities related to the VOW to Hire Heroes Act of 2011 and may be transferred to "State Unemployment Insurance and Employment Service Operations" to carry out such implementation activities;]

[(B) \$80,390,000] \$85,238,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, [2012] 2013 through June 30, [2013] 2014, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: *Provided*, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and

[(C) \$9,581,000 for Evaluation, which shall be available for the period July 1, 2012 through June 30, 2013; and]

[(D)] (B) [\$6,475,000] \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, [2012] 2013 through June 30, [2013] 2014, and which shall not be subject to the requirements of section 171(c)(4)(D). (*Department of Labor Appropriations Act, 2012.*)

Program and Financing (in millions of dollars)

Identification code 16-0174-0-1-504	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Adult Employment and Training Activities	766	774	769
0003 Dislocated Worker Employment and Training Activities	1,279	1,308	1,240
0005 Youth Activities	946	906	904
0008 Reintegration of Ex-Offenders	109	85	80
0010 Native Americans	52	54	53
0011 Migrant and Seasonal Farmworkers	85	85	84
0013 National programs	98	23
0015 H-1B Job Training Grants	19	283	125
0016 Green Jobs Initiative	40
0017 Data Quality Initiative	12	12	6
0028 Recovery Act - NEGs Health Insurance Assistance	7	3	3
0029 Workforce Innovation Fund	125	50

TRAINING AND EMPLOYMENT SERVICES—Continued
Program and Financing—Continued

Identification code 16-0174-0-1-504	2011 actual	2012 est.	2013 est.
0799 Total direct obligations	3,413	3,658	3,314
0801 Reimbursable program	11	11	11
0900 Total new obligations	3,424	3,669	3,325
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	706	656	312
1021 Recoveries of prior year unpaid obligations	19		
1050 Unobligated balance (total)	725	656	312
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,576	1,423	1,460
1130 Appropriations permanently reduced	-128		
1143 Approp permanently reduced (Sec 527, HR 2055)		-3	
1160 Appropriation, discretionary (total)	1,448	1,420	1,460
Advance appropriations, discretionary:			
1170 Advance appropriation	1,772	1,772	1,772
1173 Advance appropriations permanently reduced	-4		
1175 Adv approp permanently reduced (Sec 527, HR 2055)		-3	
1180 Advanced appropriation, discretionary (total)	1,768	1,769	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training)	131	125	125
1260 Appropriations, mandatory (total)	131	125	125
Spending authority from offsetting collections, discretionary:			
1700 Collected	11	11	11
1750 Spending auth from offsetting collections, disc (total)	11	11	11
1900 Budget authority (total)	3,358	3,325	3,368
1930 Total budgetary resources available	4,083	3,981	3,680
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	656	312	355
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	4,741	3,592	3,324
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3020 Obligated balance, start of year (net)	4,740	3,591	3,323
3030 Obligations incurred, unexpired accounts	3,424	3,669	3,325
3031 Obligations incurred, expired accounts	2		
3040 Outlays (gross)	-4,493	-3,937	-3,698
3080 Recoveries of prior year unpaid obligations, unexpired	-19		
3081 Recoveries of prior year unpaid obligations, expired	-63		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	3,592	3,324	2,951
3091 Uncollected pymts, Fed sources, end of year	-1	-1	-1
3100 Obligated balance, end of year (net)	3,591	3,323	2,950
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,227	3,200	3,243
Outlays, gross:			
4010 Outlays from new discretionary authority	1,128	1,171	1,171
4011 Outlays from discretionary balances	3,333	2,702	2,371
4020 Outlays, gross (total)	4,461	3,873	3,542
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-11	-11	-11
Mandatory:			
4090 Budget authority, gross	131	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4101 Outlays from mandatory balances	31	63	155
4110 Outlays, gross (total)	32	64	156
4180 Budget authority, net (total)	3,347	3,314	3,357
4190 Outlays, net (total)	4,482	3,926	3,687

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers

with skilled workers. Funds appropriated for this account generally are available on a July- to- June program year basis, and include substantial advance appropriation amounts. This account includes:

Adult employment and training activities.—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

Dislocated worker employment and training activities.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Youth activities.—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

Workforce Innovation Fund.—Provides \$100 million to support competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. The Administration intends to set aside at least \$10 million of the funds for programmatic innovations targeting disconnected youth, with a particular focus on youth under age 20. This effort to serve disconnected youth will be coordinated with funds from the Departments of Education and Health and Human Services. A portion of the Fund may also be used for Pay for Success financing to engage social investors, the Federal government, and a State or local community to collaboratively support effective interventions. The Departments of Labor and Education will coordinate the administration of the Fund, which also includes \$25 million in the Department of Education budget.

Green Jobs.—These funds support research, labor exchange, and job training projects that help prepare workers for careers related to renewable energy and energy efficiency.

Reintegration of Ex-Offenders.—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Department of Labor will coordinate closely with the Department of Justice in carrying out this program.

Native Americans.—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

Migrant and Seasonal Farmworkers.—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

National programs.—Provides evaluation and demonstration resources for WIA activities. In 2013, evaluation and related research activities will be funded via a set-aside of program funds provided by Sec. 107 of the Labor General Provisions.

Workforce Data Quality Initiative.—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

Object Classification (in millions of dollars)

Identification code 16-0174-0-1-504	2011 actual	2012 est.	2013 est.
Direct obligations:			
25.1 Advisory and assistance services	34	51	9
25.7 Operation and maintenance of equipment	2	3	
41.0 Grants, subsidies, and contributions	3,377	3,604	3,305

99.0	Direct obligations	3,413	3,658	3,314
99.0	Reimbursable obligations	11	11	11
99.9	Total new obligations	3,424	3,669	3,325

DISLOCATED WORKER PROGRAM
(Legislative proposal, subject to PAYGO)

The 2013 Budget proposes legislation that would establish a new dislocated worker program beginning in 2014.

OFFICE OF [JOBS] JOB CORPS

To carry out subtitle C of title I of the WIA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, **[\$1,706,171,000] \$1,650,004,000**, plus reimbursements, as follows:

(1) **[\$1,572,049,000] \$1,545,872,000** for Job Corps Operations, which shall be available for the period July 1, **[2012] 2013** through June 30, **[2013] 2014**;

(2) **[\$104,990,000] \$75,000,000** for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, **[2012] 2013** through June 30, **[2015] 2016**: *Provided*, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: *Provided further*, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, **[2013] 2014**; and

(3) **\$29,132,000** for necessary expenses of the Office of Job Corps, which shall be available for obligation for the period October 1, **[2011] 2012** through September 30, **[2012] 2013**:

Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers. (*Department of Labor Appropriations Act, 2012.*)

Program and Financing (in millions of dollars)

Identification code 16-0181-0-1-504	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Operations	1,637	1,649	1,762
0002 Construction, Rehabilitation, and Acquisition (CRA)	110	104	76
0003 Administration	29	29	29
0799 Total direct obligations	1,776	1,782	1,867
0801 Reimbursable program activity	1	1	1
0900 Total new obligations	1,777	1,783	1,868
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	748	611	1,222
1020 Adjustment of unobligated bal brought forward, Oct 1	11		
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	764	611	1,222
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,017	1,707	1,650
1130 Appropriations permanently reduced	-77		
1143 Approp permanently reduced (Sec 527, HR 2055)		-4	
1160 Appropriation, discretionary (total)	940	1,703	1,650
Advance appropriations, discretionary:			
1170 Advance appropriation	691	691	
1173 Advance appropriations permanently reduced	-1		
1175 Adv approp permanently reduced (Sec 527, HR 2055)		-1	
1180 Advanced appropriation, discretionary (total)	690	690	
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	1,631	2,394	1,651
1930 Total budgetary resources available	2,395	3,005	2,873
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7		

1941	Unexpired unobligated balance, end of year	611	1,222	1,005
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Change in obligated balance:

Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	428	518	512
3001	Adjustments to unpaid obligations, brought forward, Oct 1	14		
3020	Obligated balance, start of year (net)	442	518	512
3030	Obligations incurred, unexpired accounts	1,777	1,783	1,868
3031	Obligations incurred, expired accounts	16		
3040	Outlays (gross)	-1,660	-1,789	-1,855
3080	Recoveries of prior year unpaid obligations, unexpired	-5		
3081	Recoveries of prior year unpaid obligations, expired	-52		
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	518	512	525
3100	Obligated balance, end of year (net)	518	512	525

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,631	2,394	1,651
Outlays, gross:				
4010	Outlays from new discretionary authority	804	931	358
4011	Outlays from discretionary balances	856	858	1,497
4020	Outlays, gross (total)	1,660	1,789	1,855
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-1	-1
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total) ...	-1	-1	-1
4070	Budget authority, net (discretionary)	1,630	2,393	1,650
4080	Outlays, net (discretionary)	1,659	1,788	1,854
4180	Budget authority, net (total)	1,630	2,393	1,650
4190	Outlays, net (total)	1,659	1,788	1,854

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105-220, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth, ages 16-24. With 125 centers currently in 48 states, Puerto Rico, and the District of Columbia, Job Corps provides economically disadvantaged youth with academic, career technical and employability skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Serving approximately 60,000 participants each year, Job Corps emphasizes the attainment of academic credentials, including a High School Diploma (HSD) and/or General Educational Development (GED), and career technical credentials, including industry-recognized certifications, state licensures, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. They ensure that program graduates have gained the skills and knowledge necessary to compete in today's workforce.

Large and small businesses, nonprofit organizations, and American Indian tribes manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2013 Budget introduces bold reforms to strengthen Job Corps and improve its outcomes and cost-effectiveness. Specifically, the Administration intends to move toward having Job Corps

OFFICE OF JOB CORPS—Continued

centers in every state, but close by program year 2013 chronically low-performing centers, selected using criteria that will be published in advance. The Administration will also shift the program's focus and approach based on evaluation findings, strengthen the performance measurement system, and provide information to the public about each Job Corps center's performance in a more transparent way.

Object Classification (in millions of dollars)

Identification code 16-0181-0-1-504	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	16	17	17
12.1 Civilian personnel benefits	8	5	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others		8	8
25.2 Other services from non-Federal sources	1,433	1,460	1,573
25.3 Other goods and services from Federal sources	10	9	10
25.4 Operation and maintenance of facilities	25	29	29
25.7 Operation and maintenance of equipment	11	2	2
31.0 Equipment	11	6	6
32.0 Land and structures	63	49	20
99.0 Direct obligations	1,580	1,588	1,673
99.0 Reimbursable obligations	1	1	1
Allocation Account - direct:			
Personnel compensation:			
11.1 Full-time permanent	70	80	80
11.3 Other than full-time permanent	3	2	2
11.5 Other personnel compensation	6	7	7
11.9 Total personnel compensation	79	89	89
12.1 Civilian personnel benefits	28	32	32
21.0 Travel and transportation of persons	4	3	3
22.0 Transportation of things	1	2	2
23.1 Rental payments to GSA		1	1
23.3 Communications, utilities, and miscellaneous charges	8	9	9
25.2 Other services from non-Federal sources	29	11	11
25.3 Other goods and services from Federal sources	4	5	5
25.4 Operation and maintenance of facilities	3	1	1
25.6 Medical care	1	2	2
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	36	35	35
31.0 Equipment	2	3	3
99.0 Allocation account - direct	196	194	194
99.9 Total new obligations	1,777	1,783	1,868

Employment Summary

Identification code 16-0181-0-1-504	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	155	166	166

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

【To carry out title V of the Older Americans Act of 1965 (referred to in this Act as "OAA"), \$449,100,000, which shall be available for the period July 1, 2012 through June 30, 2013, and may be recaptured and reobligated in accordance with section 517(c) of the OAA.】 (Department of Labor Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 National programs	357	351	
0002 State programs	97	97	
0900 Total new obligations (object class 41.0)	454	448	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5		

Budget authority:

Appropriations, discretionary:			
1100 Appropriation	450	449	
1130 Appropriations permanently reduced	-1		
1143 Approp permanently reduced (Sec 527, HR 2055)		-1	
1160 Appropriation, discretionary (total)	449	448	
1900 Budget authority (total)	449	448	
1930 Total budgetary resources available	454	448	

Change in obligated balance:

Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	679	422	398
3030 Obligations incurred, unexpired accounts	454	448	
3031 Obligations incurred, expired accounts	1		
3040 Outlays (gross)	-705	-472	-362
3081 Recoveries of prior year unpaid obligations, expired	-7		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	422	398	36
3100 Obligated balance, end of year (net)	422	398	36

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	449	448	
Outlays, gross:			
4010 Outlays from new discretionary authority	66	85	
4011 Outlays from discretionary balances	639	387	362
4020 Outlays, gross (total)	705	472	362
4180 Budget authority, net (total)	449	448	
4190 Outlays, net (total)	705	472	362

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2013 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration on Aging and to help the program better fulfill its dual goals of fostering individual economic self-sufficiency and promoting useful opportunities in community service.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 16-0187-0-1-504	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	500	500	500
0100 Direct program activities, subtotal	500	500	500
0900 Total new obligations (object class 41.0)	500	500	500

Budgetary Resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	500	500	500
1260 Appropriations, mandatory (total)	500	500	500
1930 Total budgetary resources available	500	500	500

Change in obligated balance:

Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		500	807
3030 Obligations incurred, unexpired accounts	500	500	500
3040 Outlays (gross)		-193	-632
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	500	807	675
3100 Obligated balance, end of year (net)	500	807	675

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	500	500
Outlays, gross:			
4100	Outlays from new mandatory authority	25	25
4101	Outlays from mandatory balances	168	607
4110	Outlays, gross (total)	193	632
4180	Budget authority, net (total)	500	500
4190	Outlays, net (total)	193	632

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provides \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year [2012] 2013 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, [\$1,100,100,000] \$1,421,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, [2012] 2013. (Department of Labor Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 16–0326–0–1–999	2011 actual	2012 est.	2013 est.	
Obligations by program activity:				
0001	Trade Adjustment Assistance benefits	234	553	796
0002	Trade Adjustment Assistance training	426	575	575
0005	Wage Insurance Payments	44	43	50
0799	Total direct obligations	704	1,171	1,421
0801	Disaster Unemployment Assistance	8	40	40
0900	Total new obligations	712	1,211	1,461
Budgetary Resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	704	1,100	1,421
1260	Appropriations, mandatory (total)	704	1,100	1,421
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (DUA)	8	40	40
1800	Offsetting collections (Advances)		71	
1850	Spending auth from offsetting collections, mand (total)	8	111	40
1900	Budget authority (total)	712	1,211	1,461
1930	Total budgetary resources available	712	1,211	1,461

Change in obligated balance:				
Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,224	1,030	997
3011	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	3		
3020	Obligated balance, start of year (net)	1,227	1,030	997
3030	Obligations incurred, unexpired accounts	712	1,211	1,461
3031	Obligations incurred, expired accounts	12		
3040	Outlays (gross)	-778	-1,244	-1,677
3051	Change in uncollected pymts, Fed sources, expired	-3		
3081	Recoveries of prior year unpaid obligations, expired	-140		
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	1,030	997	781
3100	Obligated balance, end of year (net)	1,030	997	781

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	712	1,211	1,461
Outlays, gross:				
4100	Outlays from new mandatory authority	297	721	969
4101	Outlays from mandatory balances	481	523	708
4110	Outlays, gross (total)	778	1,244	1,677
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-8	-111	-40
4180	Budget authority, net (total)	704	1,100	1,421
4190	Outlays, net (total)	770	1,133	1,637

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	704	1,100	1,421
Outlays	770	1,133	1,637
Legislative proposal, subject to PAYGO:			
Budget Authority		3	-323
Outlays		3	-323
Total:			
Budget Authority	704	1,103	1,098
Outlays	770	1,136	1,314

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) extended through February 12, 2011 under the Omnibus Trade Act of 2010, and extended again through December 31, 2013 under the Trade Adjustment Assistance Extension Act (TAAEA) of 2011. Under these laws, workers covered by petitions for the TAA program filed between May 18, 2009 and February 13, 2011, were considered under expanded group eligibility provisions (e.g., workers in the service sector were eligible), and the covered workers could be eligible for enhanced services and benefits, including additional weeks of cash benefits, while in training and for the RTAA program. Applications filed between February 13, 2011 and October 21, 2011, were administered under prior law, as if the amendments made under the TGAAA and the Omnibus Trade Act of 2010 had never been enacted. Applications filed on or after October 21, 2011 will once again be administered under expanded eligibility provisions of the TAAEA of 2011.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	2011 actual	2012 est.	2013 est.
41.0 Direct obligations: Grants, subsidies, and contributions	702	1,171	1,421
99.0 Reimbursable obligations	10	40	40
99.9 Total new obligations	712	1,211	1,461

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0326-4-1-999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Trade Adjustment Assistance benefits		-229	-212
0900 Total new obligations (object class 41.0)		-229	-212
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			232
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		-229	-212
1200 Appropriation		232	-111
1260 Appropriations, mandatory (total)		3	-323
1930 Total budgetary resources available		3	-91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		232	121
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			-232
3030 Obligations incurred, unexpired accounts		-229	-212
3040 Outlays (gross)		-3	323
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		-232	-121
3100 Obligated balance, end of year (net)		-232	-121
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		3	-323
Outlays, gross:			
4100 Outlays from new mandatory authority		3	-323
4180 Budget authority, net (total)		3	-323
4190 Outlays, net (total)		3	-323

The Budget includes a legislative proposal to extend the Emergency Unemployment Compensation program and 100 percent Federal funding of Extended Benefits for 10 months. Please see the narrative for the "Unemployment Trust Fund," Legislative proposal, subject to PAYGO, for additional information. The extension of these unemployment insurance programs has interaction effects with this account. Specifically, Trade Adjustment Assistance (TAA) beneficiaries must exhaust all of their unemployment benefits prior to collecting Trade Readjustment Allowances. As a result of the unemployment insurance legislation, spending on TAA benefits is projected to decline.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, **[\$86,231,000]** \$138,358,000, together with not to exceed **[\$3,958,441,000]** \$3,795,882,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) **[\$3,181,154,000]** \$2,989,912,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than **[\$10,000,000]** \$60,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews as specified for purposes of Section 251(b)(2) of the

Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and \$10,000,000 for activities to address the misclassification of workers), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501-8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, **[2012]** 2013, except that funds used for automation acquisitions or competitive grants awarded to States for improved operations, **[or]** reemployment and eligibility assessments and improper payments, or activities to address misclassification of workers shall be available for obligation by the States through September 30, **[2014]** 2015, and funds used for unemployment insurance workloads experienced by the States through September 30, **[2012]** 2013 shall be available for Federal obligation through December 31, **[2012]** 2013;

(2) **[\$11,287,000]** \$11,297,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) **[\$679,531,000]** \$708,204,000 from the Trust Fund, together with \$22,638,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, of which not less than \$30,000,000 shall be used to provide reemployment services to beneficiaries of unemployment insurance, and shall be available for Federal obligation for the period July 1, **[2012]** 2013 through June 30, **[2013]** 2014;

(4) \$20,952,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,228,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,517,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,418,000 shall be available for the Federal administration of such activities, and \$15,099,000 shall be available for grants to States for the administration of such activities; and

(6) **[\$63,593,000]** \$115,720,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171 (e)(2)(C) of the WIA and shall be available for Federal obligation for the period July 1, **[2012]** 2013 through June 30, **[2013]** 2014:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year **[2012]** 2013 is projected by the Department of Labor to exceed **[4,832,000]** 3,908,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: *Provided further*, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: *Provided further*, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A-87: *Provided further*, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted

to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: *Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, 2014, for such purposes.*

In addition, **[\$50,000,000]** \$15,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available **[to conduct]** for the amount of the additional appropriation for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of Labor Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 16-0179-0-1-999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 State UI admin	4,002	3,493	2,990
0002 UI national activities	11	11	11
0010 ES grants to States	703	701	708
0011 ES national activities	21	21	21
0012 One-stop career centers	63	63	116
0014 Foreign labor certification	66	66	66
0015 H-1B fees	12	13	13
0799 Total direct obligations	4,878	4,368	3,925
0801 Reimbursable program DUA administration	10	10	10
0803 Reimbursable program NAWs surveys			1
0899 Total reimbursable obligations	10	10	11
0900 Total new obligations	4,888	4,378	3,936
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	131	65	198
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	138	65	198
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	86	87	139
1160 Appropriation, discretionary (total)	86	87	139
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	13	13	13
1260 Appropriations, mandatory (total)	13	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,712	4,022	3,833
1701 Change in uncollected payments, Federal sources	316		
1750 Spending auth from offsetting collections, disc (total)	4,028	4,022	3,833
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (EUCO8)	675	389	
1801 Change in uncollected payments, Federal sources	13		
1850 Spending auth from offsetting collections, mand (total)	688	389	
1900 Budget authority (total)	4,815	4,511	3,985
1930 Total budgetary resources available	4,953	4,576	4,183
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	65	198	247
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2,320	2,337	2,173
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,866	-2,021	-2,021
3020 Obligated balance, start of year (net)	454	316	152
3030 Obligations incurred, unexpired accounts	4,888	4,378	3,936
3031 Obligations incurred, expired accounts	21		
3040 Outlays (gross)	-4,857	-4,542	-4,288
3050 Change in uncollected pymts, Fed sources, unexpired	-329		
3051 Change in uncollected pymts, Fed sources, expired	174		
3080 Recoveries of prior year unpaid obligations, unexpired	-7		
3081 Recoveries of prior year unpaid obligations, expired	-28		

Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2,337	2,173	1,821
3091 Uncollected pymts, Fed sources, end of year	-2,021	-2,021	-2,021
3100 Obligated balance, end of year (net)	316	152	-200
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,114	4,109	3,972
Outlays, gross:			
4010 Outlays from new discretionary authority	2,545	2,991	2,810
4011 Outlays from discretionary balances	1,593	1,143	1,465
4020 Outlays, gross (total)	4,138	4,134	4,275
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3,882	-4,022	-3,832
4033 Non-Federal sources			-1
4040 Offsets against gross budget authority and outlays (total)	-3,882	-4,022	-3,833
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-316		
4052 Offsetting collections credited to expired accounts	170		
4060 Additional offsets against budget authority only (total)	-146		
4070 Budget authority, net (discretionary)	86	87	139
4080 Outlays, net (discretionary)	256	112	442
Mandatory:			
4090 Budget authority, gross	701	402	13
Outlays, gross:			
4100 Outlays from new mandatory authority	415	402	13
4101 Outlays from mandatory balances	304	6	
4110 Outlays, gross (total)	719	408	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-675	-389	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-13		
4160 Budget authority, net (mandatory)	13	13	13
4170 Outlays, net (mandatory)	44	19	13
4180 Budget authority, net (total)	99	100	152
4190 Outlays, net (total)	300	131	455

Unemployment compensation.—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identity theft,

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE
OPERATIONS—Continued

and provide referral to reemployment assistance to those who need additional help. The \$75 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$285 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through cap adjustments, a mechanism that has been used by past Administrations and Congresses. Cap adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$60 million and if the use of the funds was clearly restricted to the specified purpose. The 2013 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, to adjust the discretionary spending limits in the Act for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services for Medicare and Medicaid. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2010 actual	2011 actual	2012 est.	2013 est.
Staff years	43,398	44,229	37,744	38,007
Basic workload (in thousands):				
Employer tax accounts	7,571	7,540	7,558	7,604
Employee wage items recorded	582,775	602,185	610,573	620,525
Initial claims taken	25,353	22,098	22,222	23,130
Weeks claimed	255,051	202,666	200,414	200,356
Nonmonetary determinations	9,444	8,507	8,703	8,586
Appeals	2,138	1,985	1,900	1,865
Covered employment	125,552	126,376	127,735	129,276

Employment service.—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

EMPLOYMENT SERVICE PROGRAM STATISTICS

	2010 actual	2011 actual	2012 est.	2013 est.
Total participants (thousands)	21,882	17,074	16,546	16,732
Entered employment (thousands)	7,286	5,686	5,510	5,572
Cost per participant	\$41.12	\$42.35	\$43.62	\$44.93

Years are program years running from July 1 of the year indicated through June 30 of the following year.

Foreign Labor Certification.—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-

agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

Federal Administration.—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

State grants.—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

One-stop career centers.—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

National Agricultural Workers Survey fee.—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews. The Administration proposes to charge non-Federal entities on a case-by-case basis the cost of conducting specifically requested data collection or analysis. For example, State and local governments, educational institutions, or non-profit organizations may pay a fee to fund the addition of a question to the standard survey.

Object Classification (in millions of dollars)

Identification code 16-0179-0-1-999	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17	17	17
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	18	17	17
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	3	2	2
25.1 Advisory and assistance services	18	26	26
25.2 Other services from non-Federal sources	1	2	2
25.3 Other goods and services from Federal sources	4	4	4
25.7 Operation and maintenance of equipment	4	5	5
41.0 Grants, subsidies, and contributions	4,825	4,307	3,864
99.0 Direct obligations	4,878	4,368	3,925
99.0 Reimbursable obligations	10	10	11
99.9 Total new obligations	4,888	4,378	3,936

Employment Summary

Identification code 16-0179-0-1-999	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	175	181	181

1001 Direct civilian full-time equivalent employment 27 28 28

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0179-4-1-999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 State UI admin		257	223
0900 Total new obligations (object class 41.0)		257	223
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (EUC08)		257	223
1850 Spending auth from offsetting collections, mand (total)		257	223
1930 Total budgetary resources available		257	223
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts		257	223
3040 Outlays (gross)		-257	-223
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		257	223
Outlays, gross:			
4100 Outlays from new mandatory authority		257	223
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-257	-223

The Budget includes a legislative proposal to extend the Emergency Unemployment Compensation program and 100 percent Federal funding of Extended Benefits for 10 months. This account includes the State administrative costs of the proposal. Please see the narrative for the "Unemployment Trust Fund," Legislative proposal, subject to PAYGO, for additional information.

AMERICAN JOBS ACT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0171-4-1-504	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Reemployment NOW		4,000
0002 Pathways Back to Work Fund - Youth Employment		2,500
0003 Pathways Back to Work Fund - Subs. Jobs/Training		10,000
0005 Community College Initiative		1,334	1,333
0900 Total new obligations (object class 41.0)		17,834	1,333
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		17,834	1,333
1260 Appropriations, mandatory (total)		17,834	1,333
1930 Total budgetary resources available		17,834	1,333
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			12,772
3030 Obligations incurred, unexpired accounts		17,834	1,333
3040 Outlays (gross)		-5,062	-12,147
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		12,772	1,958
3100 Obligated balance, end of year (net)		12,772	1,958
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		17,834	1,333

Outlays, gross:			
4100 Outlays from new mandatory authority		5,062	267
4101 Outlays from mandatory balances			11,880
4110 Outlays, gross (total)		5,062	12,147
4180 Budget authority, net (total)		17,834	1,333
4190 Outlays, net (total)		5,062	12,147

The 2013 Budget proposes legislation to build on the American Jobs Act by funding initiatives that aggressively address long-term unemployment and provide new opportunities to put Americans back to work. This proposal includes:

Reemployment NOW.—Provides \$4 billion for the Reemployment NOW fund, which gives the States flexibility to institute innovative approaches to better connect Emergency Unemployment Compensation (EUC) claimants with job opportunities. With Reemployment NOW, States will be able to implement Bridge to Work programs to give EUC claimants valuable on-the-job experience and will also be able to offer claimants wage insurance and other intensive reemployment services. This fund is paired with the Administration's support for extending federally funded benefits through December 2012.

Pathways Back to Work.—Invests in subsidized employment and work-based training programs targeting long-term unemployed and low-income Americans.

Community College Initiative.—Provides \$8 billion in the Departments of Education and Labor to support State and community college partnerships with businesses to build the skills of American workers.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0010 Payments to EUCA	49,503	26,479
0012 Payments to ESAA	681	389
0900 Total new obligations (object class 41.0)	50,184	26,868
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	194
1020 Adjustment of unobligated bal brought forward, Oct 1	-194
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	50,184	26,868
1260 Appropriations, mandatory (total)	50,184	26,868
1930 Total budgetary resources available	50,184	26,868
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	50,184	26,868
3040 Outlays (gross)	-50,184	-26,868
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50,184	26,868
Outlays, gross:			
4100 Outlays from new mandatory authority	50,184	26,868
4180 Budget authority, net (total)	50,184	26,868
4190 Outlays, net (total)	50,184	26,868

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	50,184	26,868
Outlays	50,184	26,868
Legislative proposal, subject to PAYGO:			
Budget Authority		20,734	19,351
Outlays		20,734	19,351
Total:			
Budget Authority	50,184	47,602	19,351

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND—Continued
Summary of Budget Authority and Outlays—Continued

	2011 actual	2012 est.	2013 est.
Outlays	50,184	47,602	19,351

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 112-78). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0178-4-1-603	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0010 Payments to EUCA		20,477	19,128
0012 Payments to ESAA		257	223
0900 Total new obligations (object class 41.0)		20,734	19,351
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		20,734	19,351
1260 Appropriations, mandatory (total)		20,734	19,351
1930 Total budgetary resources available		20,734	19,351
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts		20,734	19,351
3040 Outlays (gross)		-20,734	-19,351
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		20,734	19,351
Outlays, gross:			
4100 Outlays from new mandatory authority		20,734	19,351
4180 Budget authority, net (total)		20,734	19,351
4190 Outlays, net (total)		20,734	19,351

The Budget includes legislative proposals to extend the Emergency Unemployment Compensation (EUC) program and 100 percent Federal funding for the Extended Benefits program and to require the States to provide reemployment and eligibility assessments and reemployment services to certain EUC claimants. Please see the narrative for the "Unemployment Trust Fund," Legislative proposal, subject to PAYGO, for additional information. The proposal will include funding from general revenue for EUC and associated administrative expenses, including reemployment services. This account provides general funds for transfer to the Unemployment Trust Fund, out of which the benefits and administrative expenses will be paid.

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY
Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	1,426		
0900 Total new obligations (object class 42.0)	1,426		
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	614	1	1
1020 Adjustment of unobligated bal brought forward, Oct 1	-614		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,426		
1260 Appropriations, mandatory (total)	1,426		
1930 Total budgetary resources available	1,427	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	258	19	
3030 Obligations incurred, unexpired accounts	1,426		
3040 Outlays (gross)	-1,664	-19	
3080 Recoveries of prior year unpaid obligations, unexpired	-1		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	19		
3100 Obligated balance, end of year (net)	19		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,426		
Outlays, gross:			
4100 Outlays from new mandatory authority	1,426		
4101 Outlays from mandatory balances	238	19	
4110 Outlays, gross (total)	1,664	19	
4180 Budget authority, net (total)	1,426		
4190 Outlays, net (total)	1,664	19	

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, [2013] 2014. (Department of Labor Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0010 Trade Adjustment Assistance		71	
0040 FECA Costs	260	100	
0900 Total new obligations (object class 41.0)	260	171	

Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	260	171
1260	Appropriations, mandatory (total)	260	171
1930	Total budgetary resources available	260	171
Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	260	171
3040	Outlays (gross)	-260	-171
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	260	171
Outlays, gross:			
4100	Outlays from new mandatory authority		171
4101	Outlays from mandatory balances	260
4110	Outlays, gross (total)	260	171
4180	Budget authority, net (total)	260	171
4190	Outlays, net (total)	260	171

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2011, and the need is expected to continue. Detail on the nonrepayable advances to FECA is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable, advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2013 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$97,320,000]** \$97,571,000, together with not to exceed \$50,040,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2012.*)

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504		2011 actual	2012 est.	2013 est.
Obligations by program activity:				
0001	Adult services	55	55	56
0002	Youth services	12	12	12
0003	Workforce security	43	43	43
0004	Apprenticeship training, employer and labor services	28	28	28
0005	Executive direction	9	9	9
0799	Total direct obligations	147	147	148
0803	Reimbursable programs (DUA & E-grants)	1	1	1
0900	Total new obligations	148	148	149
Budgetary Resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	98	97	98
1160	Appropriation, discretionary (total)	98	97	98
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (UTF)	51	51	51
1750	Spending auth from offsetting collections, disc (total)	51	51	51
1900	Budget authority (total)	149	148	149
1930	Total budgetary resources available	149	148	149
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1
Change in obligated balance:				
Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	65	46	25
3030	Obligations incurred, unexpired accounts	148	148	149
3031	Obligations incurred, expired accounts	4
3040	Outlays (gross)	-163	-169	-153
3081	Recoveries of prior year unpaid obligations, expired	-8
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	46	25	21
3100	Obligated balance, end of year (net)	46	25	21
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	149	148	149
Outlays, gross:				
4010	Outlays from new discretionary authority	123	129	130
4011	Outlays from discretionary balances	40	40	23
4020	Outlays, gross (total)	163	169	153
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-51	-51	-51
4180	Budget authority, net (total)	98	97	98
4190	Outlays, net (total)	112	118	102

This account provides for the Federal administration of Employment and Training Administration programs.

Adult services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

Youth services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

Workforce security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

PROGRAM ADMINISTRATION—Continued

Office of Apprenticeship.—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	74	77	77
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	76	78	78
12.1 Civilian personnel benefits	21	22	22
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	9	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	6	5	5
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	17	17	17
25.7 Operation and maintenance of equipment	12	10	10
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	147	147	148
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	148	148	149

Employment Summary

Identification code 16-0172-0-1-504	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	760	784	784
2001 Reimbursable civilian full-time equivalent employment	4	4	4

WORKERS COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806	2011 actual	2012 est.	2013 est.
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	37	32	17
3040 Outlays (gross)	-5	-15	-10
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	32	17	7
3100 Obligated balance, end of year (net)	32	17	7
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	5	15	10
4190 Outlays, net (total)	5	15	10

Workers Compensation Programs.—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

STATES PAID LEAVE FUND

For grants and contracts to assist in the start-up of new paid leave programs in the States, \$5,000,000.

Program and Financing (in millions of dollars)

Identification code 16-0185-0-1-505	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 States paid leave fund			5
0900 Total new obligations (object class 41.0)			5
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5
1160 Appropriation, discretionary (total)			5
1930 Total budgetary resources available			5
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			5
3040 Outlays (gross)			-1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			4
3100 Obligated balance, end of year (net)			4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5
Outlays, gross:			
4010 Outlays from new discretionary authority			1
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			1

The 2013 Budget requests \$5 million for the State paid leave fund in the Department of Labor to assist States in setting up paid leave programs by providing technical assistance and other support.

FOREIGN LABOR CERTIFICATION PROCESSING

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16-5507-0-2-505	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0260 Foreign Labor Certification Processing Fee			2
0261 Foreign Labor Certification Processing Fee			1
0299 Total receipts and collections			3
0400 Total: Balances and collections			3
Appropriations:			
0500 Foreign Labor Certification Processing			-3
0799 Balance, end of year			

FOREIGN LABOR CERTIFICATION PROCESSING

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-5507-4-2-505	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			3
1260 Appropriations, mandatory (total)			3
1930 Total budgetary resources available			3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			3
Change in obligated balance:			
3040 Outlays (gross)			-3

Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		-3
3100	Obligated balance, end of year (net)		-3
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		3
Outlays, gross:			
4100	Outlays from new mandatory authority		3
4180	Budget authority, net (total)		3
4190	Outlays, net (total)		3

The 2013 Budget proposes legislation to establish fees for new applications under the permanent and H-2B temporary foreign labor certification programs. The Budget also proposes legislation to allow the Department to retain fees for certified applications under the H-2A temporary labor certification program and modify the fee to cover program costs. The fees would partially offset the State and Federal costs of administering these programs and once fully implemented would greatly reduce the need for appropriations for this purpose. Upon enactment of the fees, requests for funding in the Foreign Labor Certification administration account would be reviewed and adjusted.

Employment Summary

Identification code 16-5507-4-2-505	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment			2

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16-8042-0-7-999	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	9,252	9,757	11,211
Adjustments:			
0190 Adjustment - to reconcile to agency FACTSII reporting	-1,215		
0191 Adjustment - prior year FACTS II adjustment made in 2011	2,963		
0199 Balance, start of year	11,000	9,757	11,211
Receipts:			
0200 General Taxes, FUTA, Unemployment Trust Fund	6,799	6,866	8,768
0201 General Taxes, FUTA, Unemployment Trust Fund		-19	6
0202 General Taxes, FUTA, Unemployment Trust Fund			1,217
0203 General Taxes, FUTA, Unemployment Trust Fund			-3,738
0204 Unemployment Trust Fund, State Accounts, Deposits by States	49,358	50,183	51,471
0205 Unemployment Trust Fund, State Accounts, Deposits by States	-89	-100	-26
0206 Unemployment Trust Fund, State Accounts, Deposits by States		-2	-7
0207 Unemployment Trust Fund, State Accounts, Deposits by States			58
0208 Unemployment Trust Fund, State Accounts, Deposits by States		1	1
0209 Unemployment Trust Fund, Deposits by Railroad Retirement Board	173	209	111
0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1,237	1,345	955
0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund		-1,329	-968
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	1,409	1,278	1,160
0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund	260	171	
0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	50,184	26,868	
0243 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund		20,734	19,351
0244 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	693	472	431
0299 Total receipts and collections	110,024	106,677	78,790
0400 Total: Balances and collections	121,024	116,434	90,001

Appropriations:				
0500	Unemployment Trust Fund	-4,359	-4,344	-4,155
0501	Unemployment Trust Fund		8	
0502	Unemployment Trust Fund	-105,491	-91,609	-58,603
0503	Unemployment Trust Fund	-1,226		
0504	Unemployment Trust Fund		12,126	1,976
0505	Unemployment Trust Fund			22
0506	Unemployment Trust Fund		-21,295	-19,295
0507	Railroad Unemployment Insurance Trust Fund	-17	-25	-25
0508	Railroad Unemployment Insurance Trust Fund		10	10
0509	Railroad Unemployment Insurance Trust Fund	-158	-187	-90
0510	Railroad Unemployment Insurance Trust Fund	-28	-12	-105
0511	Railroad Unemployment Insurance Trust Fund	12	105	96
0599	Total appropriations	-111,267	-105,223	-80,169
0799	Balance, end of year	9,757	11,211	9,832

Program and Financing (in millions of dollars)

Identification code 16-8042-0-7-999	2011 actual	2012 est.	2013 est.	
Obligations by program activity:				
0001	Benefit payments by States	111,174	79,243	50,125
0002	Federal employees' unemployment compensation	1,577	1,273	1,133
0003	State administrative expenses	4,017	4,390	3,811
0007	UI Mod Benefits/Administration	1,106	797	766
0010	Direct expenses	124	123	123
0011	Reimbursements to the Department of the Treasury	99	90	92
0020	Veterans employment and training	210	212	221
0021	Interest on FUTA refunds	1	1	1
0022	Interest on General Fund Advances	1,430	1,390	1,310
0900	Total new obligations	119,738	87,519	57,582

Budgetary Resources:

Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund)	4,359	4,344	4,155
1144	Approp temporarily reduced (Sec 527, HR 2055)		-8	
1160	Appropriation, discretionary (total)	4,359	4,336	4,155
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	105,491	91,609	58,603
1203	Appropriation (previously unavailable)	1,226		
1235	Appropriations precluded from obligation		-12,126	-1,976
1236	Appropriations applied to repay debt	-13,052	-7,000	-6,900
1260	Appropriations, mandatory (total)	93,665	72,483	49,727
Borrowing authority, mandatory:				
1400	Borrowing authority	21,900	10,700	3,700
1421	Borrowing authority applied to repay debt	-186		
1440	Borrowing authority, mandatory (total)	21,714	10,700	3,700
1900	Budget authority (total)	119,738	87,519	57,582
1930	Total budgetary resources available	119,738	87,519	57,582

Change in obligated balance:

Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	10,749	6,226	4,324
3001	Adjustments to unpaid obligations, brought forward, Oct 1	-2,963		
3020	Obligated balance, start of year (net)	7,786	6,226	4,324
3030	Obligations incurred, unexpired accounts	119,738	87,519	57,582
3040	Outlays (gross)	-121,298	-89,421	-59,402
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	6,226	4,324	2,504
3100	Obligated balance, end of year (net)	6,226	4,324	2,504

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	4,359	4,336	4,155
Outlays, gross:				
4010	Outlays from new discretionary authority	3,967	3,274	3,103
4011	Outlays from discretionary balances	865	1,714	1,064
4020	Outlays, gross (total)	4,832	4,988	4,167
Mandatory:				
4090	Budget authority, gross	115,379	83,183	53,427
Outlays, gross:				
4100	Outlays from new mandatory authority	115,008	83,183	53,427
4101	Outlays from mandatory balances	1,458	1,250	1,808
4110	Outlays, gross (total)	116,466	84,433	55,235
4180	Budget authority, net (total)	119,738	87,519	57,582
4190	Outlays, net (total)	121,298	89,421	59,402

UNEMPLOYMENT TRUST FUND—Continued
Program and Financing—Continued

Identification code 16-8042-0-7-999	2011 actual	2012 est.	2013 est.
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	18,703	16,030	16,409
5001 Total investments, EOY: Federal securities: Par value	16,030	16,409	16,579

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	119,738	87,519	57,582
Outlays	121,298	89,421	59,402
Legislative proposal, not subject to PAYGO:			
Budget Authority			-22
Outlays			-22
Legislative proposal, subject to PAYGO:			
Budget Authority		21,295	19,295
Outlays		21,295	19,295
Total:			
Budget Authority	119,738	108,814	76,855
Outlays	121,298	110,716	78,675

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States or to pay the Federal share of extended unemployment benefits.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

Legislative proposal to strengthen the unemployment insurance safety net.—The economic downturn has severely tested the adequacy of States' unemployment insurance (UI) systems, forcing the majority of States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2013 Budget will propose a suspension of interest on State UI borrowing in 2012 and 2013 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 starting in 2015, to index it, and to reduce the FUTA tax rate. States with lower wage bases will need to adjust their UI tax structures. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers. The impact of this proposal is on several receipt accounts that feed into the UTF, including FUTA deposits, deposits of State unemployment taxes into the UTF, and interest on loans.

Status of Funds (in millions of dollars)

Identification code 16-8042-0-7-999	2011 actual	2012 est.	2013 est.
Unexpended balance, start of year:			
0100 Balance, start of year	20,012	-26,748	-27,197
0111 Unemployment Trust Fund [012-05-8042-0]	-2,963		
Adjustments:			
0191 Adjustment - to reconcile to agency FWBT reporting	1,742		
0192 Adjustment - outstanding debt	-34,111		
0199 Total balance, start of year	-15,320	-26,748	-27,197
Cash income during the year:			
Current law:			
Receipts:			
1200 General Taxes, FUTA, Unemployment Trust Fund	6,799	6,866	8,768
1201 General Taxes, FUTA, Unemployment Trust Fund		-19	6
1204 Unemployment Trust Fund, State Accounts, Deposits by States	49,358	50,183	51,471
1205 Unemployment Trust Fund, State Accounts, Deposits by States	-89	-100	-26
1209 Unemployment Trust Fund, Deposits by Railroad Retirement Board	173	209	111
Offsetting receipts (proprietary):			
1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1,237	1,345	955
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	1,409	1,278	1,160
1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund	260	171	
1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	50,184	26,868	
1244 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	693	472	431
Offsetting collections:			
1280 Railroad Unemployment Insurance Trust Fund	1		
1281 Railroad Unemployment Insurance Trust Fund	22	19	20
1299 Income under present law	110,047	87,292	62,896
Proposed legislation:			
Receipts:			
2202 General Taxes, FUTA, Unemployment Trust Fund			1,217
2203 General Taxes, FUTA, Unemployment Trust Fund			-3,738
2206 Unemployment Trust Fund, State Accounts, Deposits by States		-2	-7
2207 Unemployment Trust Fund, State Accounts, Deposits by States			58
2208 Unemployment Trust Fund, State Accounts, Deposits by States		1	1
Offsetting receipts (proprietary receipts):			
2221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund		-1,329	-968
Offsetting receipts (intragovernmental):			
2243 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund		20,734	19,351

2299	Income under proposed legislation	19,404	15,914
3299	Total cash income	110,047	106,696
	Cash outgo during year:		
	Current law:		
4500	Unemployment Trust Fund	-121,298	-89,421
4500	Railroad Unemployment Insurance Trust Fund	-130	-129
4599	Outgo under current law (-)	-121,428	-89,550
	Proposed legislation:		
5500	Unemployment Trust Fund	-21,295	-19,295
5500	Unemployment Trust Fund		22
5599	Outgo under proposed legislation (-)	-21,295	-19,273
6599	Total cash outgo (-)	-121,428	-110,845
7645	Railroad Unemployment Insurance Trust Fund	-47	
7650	Unemployment Trust Fund	-13,052	-7,000
7650	Unemployment Trust Fund	-186	
	Manual Adjustments:		
7690	Adjustment - actual borrowings	21,900	10,700
7691	Adjustment - borrowings versus repayment reconciliation	-8,662	
7699	Total adjustments	-47	3,700
	Unexpended balance, end of year:		
8700	Uninvested balance (net), end of year	-42,778	-43,606
8701	Unemployment Trust Fund	16,030	16,409
8799	Total balance, end of year	-26,748	-30,397

Object Classification (in millions of dollars)

Identification code 16-8042-0-7-999	2011 actual	2012 est.	2013 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury	94	90	92
42.0 FECA (Federal Employee) Benefits	1,499	1,273	1,133
42.0 State unemployment benefits	110,742	79,243	50,125
43.0 Interest and dividends	1,550	1,391	1,311
94.0 ETA-PA, BLS, FLC	197	182	183
94.0 Veterans employment and training	210	212	221
94.0 Payments to States for administrative expenses	4,760	4,325	3,745
94.0 Departmental management	6	6	6
94.0 UI Mod Benefits/Admin	680	797	766
99.9 Total new obligations	119,738	87,519	57,582

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-2-7-999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Benefit payments by States			-22
0900 Total new obligations (object class 42.0)			-22
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-22
1260 Appropriations, mandatory (total)			-22
1930 Total budgetary resources available			-22
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			-22
3040 Outlays (gross)			22
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-22
Outlays, gross:			
4100 Outlays from new mandatory authority			-22
4180 Budget authority, net (total)			-22
4190 Outlays, net (total)			-22

Please see the narrative in the "State Unemployment Insurance and Employment Service Operations" account for a description

of the program integrity proposal whose savings are reflected here.

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-4-7-999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Benefit payments by States		20,446	18,903
0003 State administrative expenses for EUC and EB		257	223
0005 Reemployment services and eligibility assessments		592	131
0006 Work sharing			38
0900 Total new obligations (object class 42.0)		21,295	19,295
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		21,295	19,295
1260 Appropriations, mandatory (total)		21,295	19,295
1930 Total budgetary resources available		21,295	19,295
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts		21,295	19,295
3040 Outlays (gross)		-21,295	-19,295
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		21,295	19,295
Outlays, gross:			
4100 Outlays from new mandatory authority		21,295	19,295
4180 Budget authority, net (total)		21,295	19,295
4190 Outlays, net (total)		21,295	19,295

The Budget includes three legislative proposals for later transmittal that affect spending from the Unemployment Trust Fund.

The first proposal will extend the Emergency Unemployment Compensation (EUC) program along with 100 percent Federal funding of the Extended Benefits program. These important safety nets for the unemployed were most recently extended in the *Temporary Payroll Tax Cut Continuation Act of 2011*. Under this Act, EUC expires on March 6, 2012, and the Extended Benefits provisions expire March 7, 2012; both programs have phase-out provisions. The Budget will propose a ten-month extension of these programs.

The second proposal will fund States to conduct Reemployment and Eligibility Assessments and provide Reemployment Services to unemployed workers when they first enter the EUC or move from one benefit tier to the next. The goal of this proposal is to provide EUC claimants with the assistance they need to return to work.

The third proposal will create incentives for States to expand use of the Short-Term Compensation (STC) program. The STC program, also known as work sharing, promotes job retention and prevents workers from being laid off. Work sharing is a voluntary employer program designed to help employers maintain their staff by reducing the weekly hours of their employees, instead of laying them off, when the employer is faced with a temporary slowdown in business. Workers with reduced hours under an approved STC plan receive a partial unemployment check to supplement the reduced paycheck. The Administration's proposal will provide temporary Federal financing of STC benefits for those States that have an STC law that meets certain guidelines. It will also create a temporary Federal program that will be available in other States and provide implementation funds for

UNEMPLOYMENT TRUST FUND—Continued

States to operate the program and conduct outreach to employers to expand use of STC.

EMPLOYEE BENEFITS SECURITY ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, **[\$183,500,000] \$183,153,000.** (Department of Labor Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 16-1700-0-1-601	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Enforcement and participant assistance	131	142	146
0002 Policy and compliance assistance	21	34	30
0003 Executive leadership, program oversight and administration ...	7	7	7
0799 Total direct obligations	159	183	183
0801 Reimbursable program	6	9	9
0900 Total new obligations	165	192	192
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	155	183	183
1121 Appropriations transferred from other accts [16-0165] ...	5		
1160 Appropriation, discretionary (total)	160	183	183
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	9	9
1750 Spending auth from offsetting collections, disc (total)	6	9	9
1900 Budget authority (total)	166	192	192
1930 Total budgetary resources available	166	193	193
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	47	42	48
3030 Obligations incurred, unexpired accounts	165	192	192
3031 Obligations incurred, expired accounts	2		
3040 Outlays (gross)	168	186	192
3081 Recoveries of prior year unpaid obligations, expired	4		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	42	48	48
3100 Obligated balance, end of year (net)	42	48	48
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	166	192	192
Outlays, gross:			
4010 Outlays from new discretionary authority	129	145	145
4011 Outlays from discretionary balances	39	41	47
4020 Outlays, gross (total)	168	186	192
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	6	9	9
4180 Budget authority, net (total)	160	183	183
4190 Outlays, net (total)	162	177	183

Enforcement and participant assistance.—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance

to benefit plan professionals and participants and to the general public.

Policy and compliance assistance.—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

	2011 actual	2012 est.	2013 est.
Exemptions, determinations, interpretations, and regulations issued	3,853	3,983	4,877 ¹
Average days to process exemption requests	394	400	400

¹ Includes regulatory activities for the Mental Health Parity and Addiction Equity Act (MHPAEA) and Multiple Employer Welfare Arrangements (MEWA).

Executive leadership, program oversight, and administration.—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.

Object Classification (in millions of dollars)

Identification code 16-1700-0-1-601	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	81	92	93
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	84	95	96
12.1 Civilian personnel benefits	23	26	26
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	9	11	11
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2	4	4
25.3 Other goods and services from Federal sources	16	16	16
25.5 Research and development contracts	4	10	10
25.7 Operation and maintenance of equipment	13	14	14
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	159	183	184
99.0 Reimbursable obligations	6	9	8
99.9 Total new obligations	165	192	192

Employment Summary

Identification code 16-1700-0-1-601	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	912	1,003	1,003

PENSION BENEFIT GUARANTY CORPORATION

Federal Funds

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, **[2012] 2013**, for the Corporation: *Provided*, That none of the funds available to the Corporation for fiscal year **[2012] 2013** shall be available for obligations for administrative expenses in excess of **[\$476,901,000] \$479,013,000**: *Provided further*, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year **[2012] 2013**, an amount not to exceed an additional **\$9,200,000** shall be available through September 30, **[2013] 2014**, for