

**Budget of the United States Government,  
Fiscal Year 2016 - Appendix**

**DEPARTMENT OF LABOR**

**EMPLOYMENT AND TRAINING ADMINISTRATION**

*Federal Funds*

TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Innovation and Opportunity Act (referred to in this Act as "WIOA"), the Second Chance Act of 2007, [and the Women in Apprenticeship and Non-Traditional Occupations Act of 1992 ("WANTO Act"), \$3,139,706,000] and the National Apprenticeship Act, \$3,402,431,000 plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, [\$2,624,108,000] \$2,709,832,000 as follows:

(A) [\$776,736,000] \$815,556,000 for adult employment and training activities, of which [\$64,736,000] \$103,556,000 shall be available for the period July 1, [2015] 2016, through June 30, [2016] 2017, and of which \$712,000,000 shall be available for the period October 1, [2015] 2016 through June 30, [2016] 2017;

(B) [\$831,842,000] \$873,416,000 for youth activities, which shall be available for the period April 1, [2015] 2016 through June 30, [2016] 2017; and

(C) [\$1,015,530,000] \$1,020,860,000 for dislocated worker employment and training activities, of which [\$155,530,000] \$160,860,000 shall be available for the period July 1, [2015] 2016 through June 30, [2016] 2017, and of which \$860,000,000 shall be available for the period October 1, [2015] 2016 through June 30, [2016] 2017: *Provided*, That notwithstanding section 128(a)(1) of the WIOA, the amount available to the Governor for statewide workforce investment activities shall not exceed 10 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs; and

(2) for [federally administered] national programs, [\$429,520,000] \$692,599,000 as follows:

(A) [\$220,859,000] \$240,859,000 for the dislocated workers assistance national reserve, of which [\$20,859,000] \$40,859,000 shall be available for the period July 1, [2015] 2016 through September 30, [2016] 2017, and of which \$200,000,000 shall be available for the period October 1, [2015] 2016 through September 30, [2016] 2017: *Provided*, That funds provided to carry out section 132(a)(2)(A) of the WIOA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: *Provided further*, That funds provided to carry out sections 168(b) and 169(c) of the WIOA may be used for technical assistance and demonstration projects, respectively, that provide assistance to new entrants in the workforce, *adults without unemployment who are not dislocated workers*, and incumbent workers [; *Provided further*, That notwithstanding section 168(b) of the WIOA and section 170(b) of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), of the funds provided under this subparagraph, and the funds available from the appropriation under this subparagraph under the authority of the WIA in Public Law 113-76, the Secretary of Labor (referred to in this title as "Secretary") may reserve not more than 10 percent of such funds to provide technical assistance and carry out additional activities related to the transition to the WIOA];

(B) [\$46,082,000] \$50,000,000 for Native American programs under section 166 of the WIOA, which shall be available for the period July 1, [2015] 2016 through June 30, [2016] 2017;

(C) \$81,896,000 for migrant and seasonal farmworker programs under section 167 of the WIOA, including \$75,885,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,517,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$494,000 for other discretionary purposes, which shall be available for the period July 1, [2015] 2016 through June 30, [2016] 2017: *Provided*, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

[(D) \$994,000 for carrying out the WANTO Act, which shall be available for the period July 1, 2015 through June 30, 2016; and]

[(E) \$79,689,000] (D) \$84,534,000 for YouthBuild activities as described in section 171 of the WIOA, which shall be available for the period April 1, [2015] 2016 through June 30, [2016] 2017;

(E) \$3,232,000 for technical assistance activities under section 168 of the WIOA, which shall be available for the period July 1, 2016 through June 30, 2017;

(F) \$95,078,000 for ex-offender activities, under the authority of section 169 of the WIOA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2016 through June 30, 2017: *Provided*, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas;

(G) \$37,000,000 for the Workforce Data Quality Initiative, under the authority of section 169 of the WIOA, which shall be available for the period July 1, 2016 through June 30, 2017; and

(H) \$100,000,000 to expand opportunities relating to apprenticeship programs registered under the National Apprenticeship Act, which shall be available for the period April 1, 2016 through June 30, 2017, to be available to the Secretary of Labor to carry out activities through grants, cooperative agreements, contracts and other arrangements, with States and other appropriate entities.

[(I) for national activities, \$86,078,000, as follows: (A) \$82,078,000 for ex-offender activities, under the authority of section 169 of the WIOA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2015 through June 30, 2016: *Provided*, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and (B) \$4,000,000 for the Workforce Data Quality Initiative, under the authority of section 169 of the WIOA, which shall be available for the period July 1, 2015 through June 30, 2016.] (*Department of Labor Appropriations Act, 2015.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0174-0-1-504	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 Adult Employment and Training Activities .....	766	777	815
0003 Dislocated Worker Employment and Training Activities .....	1,227	1,241	1,242
0005 Youth Activities .....	898	914	953
0008 Reintegration of Ex-Offenders .....	76	80	82
0010 Native Americans .....	47	46	50
0011 Migrant and Seasonal Farmworkers .....	82	82	82
0013 National programs .....	17	.....	1
0015 H-1B Job Training Grants .....	117	275	227
0017 Data Quality Initiative .....	6	6	4
0029 Workforce Innovation Fund .....	51	40	.....
0799 Total direct obligations .....	3,287	3,461	3,456
0801 Training and Employment Services (Reimbursable) .....	11	10	.....
0900 Total new obligations .....	3,298	3,471	3,456
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	488	516	323
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	163	145	.....
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....
1050 Unobligated balance (total) .....	494	516	323
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,377	1,368	1,630
1160 Appropriation, discretionary (total) .....	1,377	1,368	1,630
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,772	1,772	1,772
1180 Advanced appropriation, discretionary (total) .....	1,772	1,772	1,772
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training) .....	165	125	125
1203 Appropriation (previously unavailable) .....	8	12	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-12	-9	.....
1260 Appropriations, mandatory (total) .....	161	128	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	10	.....
1900 Budget authority (total) .....	3,320	3,278	3,527
1930 Total budgetary resources available .....	3,814	3,794	3,850
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	516	323	394

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing—Continued

Identification code 016-0174-0-1-504	2014 actual	2015 est.	2016 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,969	3,082	3,056
3010 Obligations incurred, unexpired accounts .....	3,298	3,471	3,456
3011 Obligations incurred, expired accounts .....	4		
3020 Outlays (gross) .....	-3,113	-3,497	-3,444
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041 Recoveries of prior year unpaid obligations, expired .....	-70		
3050 Unpaid obligations, end of year .....	3,082	3,056	3,068
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,968	3,081	3,055
3200 Obligated balance, end of year .....	3,081	3,055	3,067
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,159	3,150	3,402
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,136	1,241	1,262
4011 Outlays from discretionary balances .....	1,883	2,138	2,006
4020 Outlays, gross (total) .....	3,019	3,379	3,268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-10	
Mandatory:			
4090 Budget authority, gross .....	161	128	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	94	117	175
4110 Outlays, gross (total) .....	94	118	176
4180 Budget authority, net (total) .....	3,310	3,268	3,527
4190 Outlays, net (total) .....	3,103	3,487	3,444

Enacted in 2014, the Workforce Innovation and Opportunity Act (WIOA) is the primary authorization for this appropriation account. The Act is intended to provide job seekers and workers with the labor market information, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

**Adult employment and training activities.**—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

**Youth activities.**—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

**Dislocated worker employment and training activities.**—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment. The Budget increases funding for the National Dislocated Worker Grants by \$20 million to support workers affected by the national transition from carbon-intensive to lower carbon energy sources. Along with funding already provided through the National Dislocated Worker Grants, this additional money will allow States and local areas to provide reemployment, training, and supportive services to transitioning coal workers to help them get back to work in good jobs and careers.

**Native Americans.**—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

**Migrant and Seasonal Farmworkers.**—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

**Reintegration of Ex-Offenders.**—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. Using the authority of section 169 of the WIOA, the Department also provides competitive grants for a range of young ex-offenders and school dropouts, particularly those in high-poverty, high-crime areas with similar services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The 2016 Budget includes additional resources to pilot a program for at-risk youth to explore careers in law enforcement. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out the Ex-Offender program.

**Apprenticeship Grants.**—Activities that support Registered Apprenticeship programs at the state and local levels through a range of activities, such as state-specific outreach strategies, partnerships, economic development strategies, and expanded access to apprenticeship opportunities for under-represented populations through pre-apprenticeships and career pathways.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of integrated and longitudinal data systems that integrate education and workforce data to provide timely and accessible information, including integrated performance information, to consumers, policymakers, and others.

**YouthBuild.**—Grants that impart education and occupational skills to program participants by providing them with academic training and occupational skills training, providing a clear path into a chosen career field.

**Technical Assistance.**—Technical assistance activities to support WIOA implementation.

Object Classification (in millions of dollars)

Identification code 016-0174-0-1-504	2014 actual	2015 est.	2016 est.
Direct obligations:			
25.1 Advisory and assistance services .....	14		
25.2 Other services from non-Federal sources .....	18	5	2
25.3 Other goods and services from Federal sources .....	1		
25.7 Operation and maintenance of equipment .....	1		
41.0 Grants, subsidies, and contributions .....	3,253	3,456	3,454
99.0 Direct obligations .....	3,287	3,461	3,456
99.0 Reimbursable obligations .....	11	10	
99.9 Total new obligations .....	3,298	3,471	3,456

JOB CORPS

(INCLUDING TRANSFER OF FUNDS)

To carry out subtitle C of title I of the WIOA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIOA, **[\$1,688,155,000] \$1,715,944,000**, plus reimbursements, as follows:

(1) **[\$1,580,825,000] \$1,597,825,000** for Job Corps Operations, which shall be available for the period July 1, **[2015] 2016** through June 30, **[2016] 2017**;

(2) **\$75,000,000** for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, **[2015] 2016** through June 30, **[2018] 2019**, and which may include the acquisition, maintenance, and repair of major items of equipment: *Provided*, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: *Provided further*, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, **[2016] 2017**: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer; and

(3) **[\$32,330,000] \$43,119,000** for necessary expenses of Job Corps, **[including expenses under the authority of the WIA,]** which shall be available for obligation for the period October 1, **[2014] 2015** through September 30, **[2015] 2016**: *Provided*, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers: *Provided further*, That an entity operating a Job Corps center that is ranked among the top 5 percent of all Job Corps

centers based on the Outcome Measurement System for program year 2013 shall be eligible to compete in any selection process to operate such center that is carried out during the period beginning on October 1, 2014 and ending on June 30, 2015. (Department of Labor Appropriations Act, 2015.)

**Program and Financing** (in millions of dollars)

Identification code 016-0181-0-1-504	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	1,862	1,514	1,539
0002 Construction, Rehabilitation, and Acquisition (CRA) .....	92	98	88
0003 Administration .....	30	32	43
0900 Total new obligations .....	1,984	1,644	1,670
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,197	897	941
1010 Unobligated balance transfer to other accts [016-0181] .....	-95		
1011 Unobligated balance transfer from other acct [016-0181] .....	95		
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	1,204	897	941
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,688	1,688	1,716
1120 Appropriations transferred to other accts [016-0181] .....	-117		
1121 Appropriations transferred from other acct [016-0181] .....	117		
1160 Appropriation, discretionary (total) .....	1,688	1,688	1,716
1900 Budget authority (total) .....	1,688	1,688	1,716
1930 Total budgetary resources available .....	2,892	2,585	2,657
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11		
1941 Unexpired unobligated balance, end of year .....	897	941	987
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	581	950	955
3010 Obligations incurred, unexpired accounts .....	1,984	1,644	1,670
3011 Obligations incurred, expired accounts .....	9		
3020 Outlays (gross) .....	-1,597	-1,639	-1,668
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	950	955	957
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	581	950	955
3200 Obligated balance, end of year .....	950	955	957
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,688	1,688	1,716
Outlays, gross:			
4010 Outlays from new discretionary authority .....	196	190	202
4011 Outlays from discretionary balances .....	1,401	1,449	1,466
4020 Outlays, gross (total) .....	1,597	1,639	1,668
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	1,688	1,688	1,716
4080 Outlays, net (discretionary) .....	1,596	1,639	1,668
4180 Budget authority, net (total) .....	1,688	1,688	1,716
4190 Outlays, net (total) .....	1,596	1,639	1,668

Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Innovation and Opportunity Act of 2014 (P.L. 113-128, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth. By 2016, Job Corps will be operating centers in all 50 states, Puerto Rico, and the District of Columbia. Job Corps provides economically disadvantaged youth with academic, career technical and marketable skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Job Corps serves and trains approximately 50,000 participants each year while emphasizing the attainment of academic credentials which include: a High School Diploma (HSD) or General Educational Development (GED) and career technical credentials, industry-recognized certifications, state

licensure, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. Furthermore, these credentials ensure that program graduates have gained the skills and knowledge necessary to effectively compete in today's workforce.

Large and small businesses, nonprofit organizations, and Native American tribes manage and operate the majority of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining centers are operated through an interagency agreement with the U.S. Department of Agriculture. In 2015, Job Corps will open and fully enroll two new centers in New Hampshire and Wyoming, the last two States without Job Corps centers. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2016 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program to improve students' outcomes. These reforms include identifying and replicating the practices of high-performing centers; adopting cost saving reforms; and providing information to the public about each Job Corps center's performance in a transparent way. The Budget proposes funds to pilot a different model to serve younger students, a population for whom the program has not been as effective. In addition, the Budget builds on the resources provided in the 2015 Omnibus for financial and contractual oversight. We remain committed to strengthening the oversight of the program. These changes will allow the program to continue to provide high-quality services to disadvantaged youth while maintaining strong internal controls and ensuring that contracts are procured at the lowest risk and the best value to the Federal Government.

**Object Classification** (in millions of dollars)

Identification code 016-0181-0-1-504	2014 actual	2015 est.	2016 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	17	20
12.1 Civilian personnel benefits .....	4	5	7
13.0 Benefits for former personnel .....		4	4
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
23.2 Rental payments to others .....	3	8	8
25.1 Advisory and assistance services .....	5	3	3
25.2 Other services from non-Federal sources .....	1,693	1,358	1,381
25.3 Other goods and services from Federal sources .....	17	10	13
25.4 Operation and maintenance of facilities .....	29	31	29
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	2	3	2
31.0 Equipment .....	2	2	4
32.0 Land and structures .....	39	40	36
99.0 Direct obligations .....	1,814	1,486	1,512
99.0 Reimbursable obligations .....	1		
<b>Allocation Account - direct:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	68	70	70
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	5	2	2
11.9 Total personnel compensation .....	74	72	72
12.1 Civilian personnel benefits .....	28	30	30
21.0 Travel and transportation of persons .....	3	2	2
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	8	8
25.2 Other services from non-Federal sources .....	12	11	11
25.3 Other goods and services from Federal sources .....	3	2	2
25.4 Operation and maintenance of facilities .....	2	4	4
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	32	25	25
31.0 Equipment .....	2	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Allocation account - direct .....	169	158	158
99.9 Total new obligations .....	1,984	1,644	1,670

JOB CORPS—Continued  
Employment Summary

Identification code 016-0181-0-1-504	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment .....	149	160	213

PAID LEAVE PARTNERSHIP INITIATIVE

*Paid Leave Partnership Initiative.*—The Budget will include a mandatory proposal to support as many as five States that wish to launch paid leave programs, following the example of California, New Jersey, and Rhode Island. States would be able to apply for competitive grants to cover start-up and ongoing administrative costs as well as 50 percent of benefit costs for three years. The grants could be used to cover family, parental, and medical leave programs that provide up to 12 weeks of benefits.

PAID LEAVE PARTNERSHIP INITIATIVE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0189-4-1-609	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 Paid Leave Partnership Grants .....			2,213
0900 Total new obligations (object class 41.0) .....			2,213
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			2,213
1260 Appropriations, mandatory (total) .....			2,213
1930 Total budgetary resources available .....			2,213
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			2,213
3020 Outlays (gross) .....			-221
3050 Unpaid obligations, end of year .....			1,992
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,992
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2,213
Outlays, gross:			
4100 Outlays from new mandatory authority .....			221
4180 Budget authority, net (total) .....			2,213
4190 Outlays, net (total) .....			221

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

To carry out title V of the Older Americans Act of 1965 (referred to in this Act as "OAA"), \$434,371,000, which shall be available for the period July 1, [2015] 2016 through June 30, [2016] 2017, and may be recaptured and reobligated in accordance with section 517(c) of the OAA: *Provided, That for new participants during such period in lieu of the requirements contained in section 518(a)(3)(A) of the OAA, an eligible individual shall be an individual age 55 or older whose income is not more than 133 percent of the poverty line (excluding earned income described in section 1612(b)(3)(B) of the Social Security Act) or who is receiving supplemental security income benefits under title XVI of the Social Security Act, supplemental nutrition assistance program benefits under the Food and Nutrition Act of 2008, or benefits under the Veterans pension benefit programs administered by the Department of Veterans Affairs: Provided further, That section 506(a)(1) of the OAA shall be applied by substituting "10 percent" for "1.5 percent": Provided further, That subclause (I) of section 502(c)(6)(C)(i) of the OAA shall be applied by substituting "50 percent" for "65 percent" and subclause (III) of such section shall be applied by substituting "25 percent" for "10 percent": Provided further, That notwithstanding section 513(d)(3)(B)(iii) of the OAA, the funds distributed under section 506(e) of the OAA shall be awarded on a competitive basis by a State among State agencies*

and other public and nonprofit private agency organizations if the Secretary of Labor determines the State is a low-performing State, and the Secretary of Labor shall provide technical assistance to the State related to the competition and to subsequent service delivery. (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016-0175-0-1-504	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 National programs .....	345	339	339
0002 State programs .....	95	95	95
0900 Total new obligations (object class 41.0) .....	440	434	434
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	4	4
1012 Unobligated balance transfers between expired and unexpired accounts .....	3		
1050 Unobligated balance (total) .....	10	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	434	434	434
1160 Appropriation, discretionary (total) .....	434	434	434
1900 Budget authority (total) .....	434	434	434
1930 Total budgetary resources available .....	444	438	438
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	351	368	384
3010 Obligations incurred, unexpired accounts .....	440	434	434
3020 Outlays (gross) .....	-420	-418	-434
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	368	384	384
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	351	368	384
3200 Obligated balance, end of year .....	368	384	384
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	434	434	434
Outlays, gross:			
4010 Outlays from new discretionary authority .....	79	82	82
4011 Outlays from discretionary balances .....	341	336	352
4020 Outlays, gross (total) .....	420	418	434
4180 Budget authority, net (total) .....	434	434	434
4190 Outlays, net (total) .....	420	418	434

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2016 CSEOA budget reforms SCSEP by awarding more competitive grants, adjusting income eligibility requirements to serve those most in need, and promoting on-the-job training (OJT) models, including OJT at for-profit entities, through demonstration grants and flexibility for existing grantees in spending training funds on OJT activities.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 016-0187-0-1-504	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 TAA Community College and Career Training Grant Fund (Direct) .....	464		

0100	Direct program activities, subtotal .....	464		
0900	Total new obligations (object class 41.0) .....	464		
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	500		
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-36		
1260	Appropriations, mandatory (total) .....	464		
1930	Total budgetary resources available .....	464		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,230	1,338	865
3010	Obligations incurred, unexpired accounts .....	464		
3020	Outlays (gross) .....	-356	-473	-390
3050	Unpaid obligations, end of year .....	1,338	865	475
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,230	1,338	865
3200	Obligated balance, end of year .....	1,338	865	475
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	464		
Outlays, gross:				
4101	Outlays from mandatory balances .....	356	473	390
4180	Budget authority, net (total) .....	464		
4190	Outlays, net (total) .....	356	473	390

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provided \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding allows for expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year [2015] 2016 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, and including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) and section 233(b) of the Trade Adjustment Assistance Extension Act of 2011, [\$710,600,000] \$664,200,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, [2015] 2016. (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016–0326–0–1–999	2014 actual	2015 est.	2016 est.	
<b>Obligations by program activity:</b>				
0001	Trade Adjustment Assistance benefits .....	260	399	357
0002	Trade Adjustment Assistance training and other activities .....	306	236	283
0005	Wage Insurance Payments .....	38	24	24
0799	Total direct obligations .....	604	659	664
0801	Disaster Unemployment Assistance .....	1	40	40

0900	Total new obligations .....	605	699	704
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	642	711	664
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-47	-52	
1260	Appropriations, mandatory (total) .....	595	659	664
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (DUA) .....	11	40	40
1850	Spending auth from offsetting collections, mand (total) .....	11	40	40
1900	Budget authority (total) .....	606	699	704
1930	Total budgetary resources available .....	606	700	705
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,329	1,220	942
3010	Obligations incurred, unexpired accounts .....	605	699	704
3011	Obligations incurred, expired accounts .....	5		
3020	Outlays (gross) .....	-541	-737	-698
3041	Recoveries of prior year unpaid obligations, expired .....	-178	-240	-446
3050	Unpaid obligations, end of year .....	1,220	942	502
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11		
3071	Change in uncollected pymts, Fed sources, expired .....	11		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,318	1,220	942
3200	Obligated balance, end of year .....	1,220	942	502
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	606	699	704
Outlays, gross:				
4100	Outlays from new mandatory authority .....	266	640	633
4101	Outlays from mandatory balances .....	275	97	65
4110	Outlays, gross (total) .....	541	737	698
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-11	-40	-40
4180	Budget authority, net (total) .....	595	659	664
4190	Outlays, net (total) .....	530	697	658

Identification code 016–0326–0–1–999	2014 actual	2015 est.	2016 est.	
41.0	Direct obligations: Grants, subsidies, and contributions .....	604	659	664
99.0	Reimbursable obligations .....	1	40	40
99.9	Total new obligations .....	605	699	704

The Federal Unemployment Benefits and Allowances (FUBA) account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides income support through Trade Readjustment Allowances (TRA); Training and Other Activities, which includes funding for the Trade Adjustment Assistance in three categories: 1) Training and Other Activities; 2) Trade Readjustment Allowances (TRA); and, Alternative Trade Adjustment Assurances (ATAA) (jointly called the TAA program). \$664,200,000 is sufficient to continue the TAA program under the Reversion 2014 in 2016.

Object Classification (in millions of dollars)

Identification code 016–0326–0–1–999	2014 actual	2015 est.	2016 est.	
41.0	Direct obligations: Grants, subsidies, and contributions .....	604	659	664
99.0	Reimbursable obligations .....	1	40	40
99.9	Total new obligations .....	605	699	704

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016–0326–4–1–999	2014 actual	2015 est.	2016 est.	
<b>Obligations by program activity:</b>				
0001	Trade Adjustment Assistance benefits .....			27
0002	Trade Adjustment Assistance training and other activities .....			292
0005	Wage Insurance Payments .....			3
0900	Total new obligations (object class 41.0) .....			322

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued  
Program and Financing—Continued

Identification code 016-0326-4-1-999	2014 actual	2015 est.	2016 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		322
1260	Appropriations, mandatory (total) .....		322
1900	Budget authority (total) .....		322
1930	Total budgetary resources available .....		322
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	Obligations incurred, unexpired accounts .....		322
3020	Outlays (gross) .....		-105
3050	Unpaid obligations, end of year .....		217
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		217
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		322
Outlays, gross:			
4100	Outlays from new mandatory authority .....		105
4180	Budget authority, net (total) .....		322
4190	Outlays, net (total) .....		105

The Budget includes a legislative proposal to reauthorize the TAA program under legislation similar to the 2011 Program with features of the 2009 Program. It assumes that new reauthorization legislation will be effective in 2016. In addition to the legislative proposal, the Budget includes baseline funding for the Reversion 2014 version of the TAA program in 2016 in the event legislation reauthorizing the program is not enacted. \$986,000,000 is sufficient to fund the legislative proposal in 2016 under reauthorization.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$81,566,000 \$101,566,000, together with not to exceed \$3,495,584,000 \$4,006,457,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$2,757,793,000 \$2,853,450,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than \$60,000,000 \$150,900,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, and to provide reemployment services and referrals to training, as appropriate, for all claimants of unemployment insurance for ex-service members under 5 U.S.C. 8521 et. seq. and for the claimants of regular unemployment compensation who are profiled as most likely to exhaust their benefits in each State, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, \$10,000,000 for activities to address the misclassification of workers, and \$3,000,000 for continued support of the Unemployment Insurance Integrity Center of Excellence), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501-8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under sections 231(a) and 233(b) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, 2015 2016, except that funds used for automation acquisitions shall be available for Federal obligation through December 31, 2015 2016, and for State obligation through September 30, 2017 2018, or, if the automation acquisition is being carried out through consortia of States, for State obligation through September 30, 2020 2021, and for expenditure through September 30, 2021 2022, and funds for competitive grants awarded to States for improved operations, to conduct in-person assessments and reviews and provide reemployment services and referrals, and to address misclassification of workers shall be available for Federal obligation through December 31, 2015 2016 and for obligation by the States through September 30, 2017 2018, and funds used for unemployment insurance workloads experienced by the States through September 30, 2015 2016 shall be available for Federal obligation through December 31, 2015 2016: Provided,

That funds provided under this heading for fiscal year 2011 through fiscal year 2014 for automation acquisitions that are being carried out by consortia of States shall be available for expenditure by the States for six fiscal years after the fiscal year in which the funds were obligated to the States;

(2) \$12,892,000 \$14,547,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$642,771,000 \$1,042,771,000 from the Trust Fund, together with \$21,413,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, 2015 2016 through June 30, 2016 2017; of which \$400,000,000 shall be available for supplemental grants to the States to provide intensive staff-assisted career counseling and other reemployment services to displaced workers through enhanced service strategies pursuant to an application approved by the Secretary of Labor, addressing criteria established by the Secretary, in amounts determined based on the formula described in such section 6, including the reallocation of such funds if States do not apply for or obtain approval of an application for supplemental funds to other States receiving supplemental funds;

(4) \$19,818,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act;

(5) \$62,310,000 \$75,871,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$48,028,000 \$61,589,000 shall be available for the Federal administration of such activities, and \$14,282,000 shall be available for grants to States for the administration of such activities; and

(6) \$60,153,000 \$80,153,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act, including \$15,000,000 for grants relating to occupational licensing, and shall be available for Federal obligation for the period July 1, 2015 2016 through June 30, 2016 2017: Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2015 2016 is projected by the Department of Labor to exceed \$2,957,000 \$2,507,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States to the entity operating the State Information Data Exchange System: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance, employment service, or immigration programs, may be obligated in contracts, grants, or agreements with States and non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States under such grants, subject to the conditions applicable to the grants: Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A-87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey

infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, [2016] 2017, for such purposes.

In addition, [\$20,000,000] \$30,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews and to provide reemployment services and referrals to training, as appropriate, for the claimants of regular unemployment compensation who are profited as most likely to exhaust their benefits in each State, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, which shall be available for Federal obligations through December 31, [2015] 2016, and for State obligation through September 30, [2017] 2018. (Department of Labor Appropriations Act, 2015.)

**Program and Financing** (in millions of dollars)

Identification code 016–0179–0–1–999	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 State UI administration .....	3,080	2,778	2,883
0002 UI national activities .....	11	13	15
0010 ES grants to States .....	664	664	1,064
0011 ES national activities .....	20	20	20
0012 American Job Centers .....	40	60	80
0014 Foreign labor certification .....	62	62	76
0015 H-1B fees .....	10	16	16
0799 Total direct obligations .....	3,887	3,613	4,154
0801 Reimbursable program DJA administration .....	1	10	10
0803 Reimbursable program NAWs surveys .....	1	1	1
0899 Total reimbursable obligations .....	2	11	11
0900 Total new obligations .....	3,889	3,624	4,165
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	98	90	128
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	98		
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	105	90	128
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	82	82	102
1160 Appropriation, discretionary (total): .....	82	82	102
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	17	13	13
1203 Appropriation (previously unavailable) .....	1	1	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total): .....	17	13	13
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3,198	3,527	4,041
1701 Change in uncollected payments, Federal sources .....	441		
1750 Spending auth from offsetting collections, disc (total): .....	3,639	3,527	4,041
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting collections (EUC08) .....	210	40	
1801 Change in uncollected payments, Federal sources .....	-74		
1850 Spending auth from offsetting collections, mand (total): .....	136	40	
1900 Budget authority (total) .....	3,874	3,662	4,156
1930 Total budgetary resources available .....	3,979	3,752	4,284
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	90	128	119
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,367	2,167	1,158
3010 Obligations incurred, unexpired accounts .....	3,889	3,624	4,165
3011 Obligations incurred, expired accounts .....	15		
3020 Outlays (gross) .....	-4,081	-4,633	-3,803
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3041 Recoveries of prior year unpaid obligations, expired .....	-16		
3050 Unpaid obligations, end of year .....	2,167	1,158	1,520
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,924	-1,985	-1,985
3070 Change in uncollected pymts, Fed sources, unexpired .....	-367		
3071 Change in uncollected pymts, Fed sources, expired .....	306		
3090 Uncollected pymts, Fed sources, end of year .....	-1,985	-1,985	-1,985
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	443	182	-827

3200 Obligated balance, end of year .....	182	-827	-465
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,721	3,609	4,143
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,395	2,600	2,779
4011 Outlays from discretionary balances .....	1,226	1,665	1,011
4020 Outlays, gross (total) .....	3,621	4,265	3,790
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-643	-643	-1,043
4030 Federal sources .....	-21	-20	-20
4030 Federal sources .....	-48	-48	-61
4030 Federal sources .....	-14	-14	-14
4030 Federal sources .....	-2,688	-2,711	-2,712
4030 Federal sources .....	-60	-60	-151
4030 Federal sources .....	-20	-20	-30
4030 Federal sources .....		-10	-10
4030 Federal sources .....	-1	-1	
4040 Offsets against gross budget authority and outlays (total) .....	-3,495	-3,527	-4,041
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired: .....	-441		
4052 Offsetting collections credited to expired accounts .....	297		
4060 Additional offsets against budget authority only (total) .....	-144		
4070 Budget authority, net (discretionary) .....	82	82	102
4080 Outlays, net (discretionary) .....	126	738	-251
<b>Mandatory:</b>			
4090 Budget authority, gross .....	153	53	13
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	136	53	13
4101 Outlays from mandatory balances .....	324	315	
4110 Outlays, gross (total) .....	460	368	13
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-210	-40	
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired: .....	74		
4160 Budget authority, net (mandatory) .....	17	13	13
4170 Outlays, net (mandatory) .....	250	328	13
4180 Budget authority, net (total) .....	99	95	115
4190 Outlays, net (total) .....	376	1,066	-238

**Unemployment compensation.**—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-servicemembers as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and implement activities designed to reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administering the program resulting from increases in the number of unemployment claims filed and paid. The appropriation automatically provides additional funds whenever unemployment claims workloads increase above levels specified in the appropriations language.

**UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS**

	2013 actual	2014 est.	2015 est.	2016 est.
Staff years .....	36,743	35,645	35,035	34,976
<b>Basic workload (in thousands):</b>				
Employer tax accounts .....	7,685	7,635	7,751	7,843
Employee wage items recorded .....	623,821	620,778	632,019	641,326
Initial claims taken .....	18,559	17,119	16,922	17,673
Weeks claimed .....	161,929	143,124	134,910	129,849
Nonmonetary determinations .....	7,648	8,099	7,671	7,238
Appeals .....	1,639	1,514	1,427	1,278
Covered employment .....	130,645	132,929	135,336	137,329

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

**Employment service.**—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

**EMPLOYMENT SERVICE PROGRAM STATISTICS**

	2013 actual	2014 est.	2015 est.	2016 est.
Total participants (thousands) .....	16,619	18,217	18,217	20,217
Entered employment rate .....	56.0%	53.6%	54.2%	TBD
Cost per participant .....	39.96	36.46	36.46	52.64

Years are program years running from July 1 of the year indicated through June 30 of the following year.

**Foreign Labor Certification.**—This activity provides for the administration and operation of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural, and H-1B temporary highly skilled worker visas. The account is divided into Federal and State activities.

**Federal Administration.**—Federal Administration provides leadership, policy, budget, program operations including staffing (Federal and contractors), information technology, three national processing center facilities, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

**State grants.**—Provides grants to State workforce agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

**American Job Centers.**—These funds are used to support the joint Federal-State efforts to improve the comprehensive American Job Center system authorized under WIOA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

**National Agricultural Workers Survey fee.**—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews.

**Object Classification** (in millions of dollars)

Identification code 016-0179-0-1-999	2014 actual	2015 est.	2016 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	21	22
12.1 Civilian personnel benefits .....	5	6	7
23.1 Rental payments to GSA .....	2	3	3

25.1	Advisory and assistance services .....	26	21	31
25.2	Other services from non-Federal sources .....	2		2
25.3	Other goods and services from Federal sources .....	4	6	7
25.7	Operation and maintenance of equipment .....	5	6	6
31.0	Equipment .....		1	
41.0	Grants, subsidies, and contributions .....	3,827	3,549	4,076
99.0	Direct obligations .....	3,888	3,613	4,154
99.0	Reimbursable obligations .....	1	11	11
99.9	Total new obligations .....	3,889	3,624	4,165

**Employment Summary**

Identification code 016-0179-0-1-999	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment .....	183	177	189
1001 Direct civilian full-time equivalent employment .....	23	29	29

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-0179-4-1-999	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0015 FLC fees .....			38
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			38
1260 Appropriations, mandatory (total): .....			38
1930 Total budgetary resources available .....			38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			38
3020 Outlays (gross) .....			-38
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			38
Outlays, gross:			
4100 Outlays from new mandatory authority .....			38
4180 Budget authority, net (total) .....			38
4190 Outlays, net (total) .....			38

The funding request for in-person reemployment services and eligibility assessments (REA/RES) for unemployment compensation claimants builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. This proposal is designed to reduce long-term unemployment by providing reemployment services and eligibility assessments to the top one-third of regular UI claimants identified as most likely to exhaust their unemployment insurance (UI) benefits. Because most unemployment claims are now filed by telephone or on the internet, in-person assessments conducted in American Job Centers can help determine a claimant's continued eligibility for benefits and the adequacy of his/her work search, verify the identity of beneficiaries where there is suspicion of possible identity theft, and provide in-person reemployment services designed to help claimants return to work more quickly. These reemployment services may include, but are not limited to: the provision of labor market and career information; the development of reemployment and work search plans; orientation to services available through American Job Centers; and the provision of staff-assisted reemployment services, including skills assessments, career counseling, job matching and referrals, job search assistance workshops and referrals to training as appropriate. The \$180.9 million requested for REA/RES is estimated to provide benefit savings of \$287 million.

The proposal would also provide REA/RES services to unemployed veterans recently separated from the military and receiving unemployment benefits under the Unemployment Compensation for Ex-servicemembers (UCX) program. An estimated \$7.5 million of the total requested will provide REA/RES services to 100 percent of UCX claimants and identify the factors impeding their reemployment; this UCX focus is estimated to provide benefit

savings of \$34 million. To ensure full funding of reemployment services and eligibility assessments, the Administration proposes to protect a portion of the funding requested for these activities in the appropriations process through a cap adjustment, a mechanism that has been used by past Administrations and Congresses. Under a cap adjustment appropriations for a specific program can exceed discretionary budget caps if savings can be demonstrated. A similar reemployment and eligibility assessment (REA) program was partially funded under an allocation adjustment in fiscal years 2009, 2010 and 2011 in recognition of its potential for savings. Given the demonstrated savings that an integrated approach to reemployment services and UI eligibility assessments can produce, the 2016 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985 to adjust the discretionary spending limits for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services Centers for Medicare and Medicaid Services. See additional discussion in the "Budget Process" chapter in the *Analytical Perspectives* volume.

**Object Classification** (in millions of dollars)

Identification code 016-0179-4-1-999	2014 actual	2015 est.	2016 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			3
12.1 Civilian personnel benefits .....			1
25.1 Advisory and assistance services .....			25
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			2
25.7 Operation and maintenance of equipment .....			4
31.0 Equipment .....			1
41.0 Grants, subsidies, and contributions .....			1
99.9 Total new obligations .....			38

**Employment Summary**

Identification code 016-0179-4-1-999	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment .....			32

**JOB DRIVEN TRAINING PROPOSALS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-0171-4-1-999	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 High growth sector training .....			1,920
0002 Apprenticeship training fund .....			500
0003 Connecting for opportunity .....			1,125
0900 Total new obligations (object class 41.0) .....			3,545
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			21,000
1260 Appropriations, mandatory (total) .....			21,000
1930 Total budgetary resources available .....			21,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			17,455
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			3,545
3020 Outlays (gross) .....			-3,545
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			21,000
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3,545
4180 Budget authority, net (total) .....			21,000
4190 Outlays, net (total) .....			3,545

The Job-Driven Training Proposals support initiatives that address the problem of long-term unemployment, provide new employment opportunities for low-income and unemployed workers, and build the skills of American workers. These proposals include:

**High-Growth Sector Training & Credentialing Grants.**—An infusion of \$16 billion over 10 years to fund the regional partnerships necessary to create strong training programs aligned to in-demand jobs and provide funding to give more people access to counseling and training. This proposal is paired with a \$400 million discretionary increase for the Employment Service, which will provide access to high-quality reemployment services to 2 million unemployed workers and ensure that training meets employers' skill needs. The grants also provide more resources for training, which due to resource limitations is currently provided to only a small share of people who come into American Job Centers.

**Apprenticeship Training Fund.**—Provides \$2 billion over 4 years to help more employers provide high-quality on-the-job training through apprenticeship; to equip states and regions with the expertise and resources to assist employers in creating or expanding apprenticeships; and to link apprenticeships with pathways to higher education. Through this one time investment, the President calls on Congress to expand quality apprenticeships with the goal of doubling Registered Apprenticeships across the country over the next five years.

**Connecting for Opportunity.**—A two-pronged, one-time \$3 billion investment over four years to invest in year-round job opportunities for youth and to help reengage disconnected youth. \$1.5 billion will be provided by formula to support summer and year-round jobs and an additional \$1.5 billion will be used to initiate a competitive grant program to municipalities to reengage disconnected youth and create educational and workforce pathways for them.

**PAYMENTS TO THE UNEMPLOYMENT TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 016-0178-0-1-603	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0010 Payments to EUCA .....	4,770		
0012 Payments to ESAA .....	145		
0900 Total new obligations (object class 41.0) .....	4,915		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	4,915		
1260 Appropriations, mandatory (total) .....	4,915		
1930 Total budgetary resources available .....	4,915		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....	4,915		
3020 Outlays (gross) .....	-4,915		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,915		
Outlays, gross:			
4101 Outlays from mandatory balances .....	4,915		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-32		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts .....	32		
4160 Budget authority, net (mandatory) .....	4,915		
4170 Outlays, net (mandatory) .....	4,883		
4180 Budget authority, net (total) .....	4,915		
4190 Outlays, net (total) .....	4,883		

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. It is also the mechanism used to make general fund reimbursements for some or all of the benefits and administrative costs incurred for temporary Federal programs. These funds are

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND—Continued

transferred from the Payments to the Unemployment Trust Fund account to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 016-0168-0-1-603	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 Grants	100		
0002 Benefits	89	78	
0003 Federal Administration			2
0900 Total new obligations (object class 41.0)	189	78	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	101	5	5
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	103	84	2
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-7	-6	
1260 Appropriations, mandatory (total)	96	78	2
1930 Total budgetary resources available	197	83	7
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	16	25
3010 Obligations incurred, unexpired accounts	189	78	2
3020 Outlays (gross)	-179	-69	-2
3050 Unpaid obligations, end of year	16	25	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	16	25
3200 Obligated balance, end of year	16	25	25
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	96	78	2
Outlays, gross:			
4100 Outlays from new mandatory authority		54	2
4101 Outlays from mandatory balances	179	15	
4110 Outlays, gross (total)	179	69	2
4180 Budget authority, net (total)	96	78	2
4190 Outlays, net (total)	179	69	2

Short Time Compensation (STC), also known as work sharing, is a layoff aversion strategy that enables workers to remain employed and employers to retain their trained staff during times of reduced business activity. The Middle Class Tax Relief and Job Creation Act of 2012 codified and expanded the definition of STC. Under the STC program, workers receive a percentage of the unemployment benefits they would have received if totally unemployed based upon the percentage of reduction in their hours of work. States that had been operating an STC program before enactment of the Act had two and a half years to amend their laws to conform to the new definition (the deadline for conformity was August 2014). As an incentive for states to enact state STC programs and promote the use of STC, the Act provides for 100 percent reimbursement of STC benefit costs paid under state law for up to 156 weeks, or three years (reimbursement is subject to sequestration). Grant funding was also available to states whose permanent STC laws meet the new Federal definition (the application deadline was December 31, 2014).

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 016-1800-0-1-603	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 Federal Additional Unemployment Compensation Program, Recovery (Direct)	3		
0900 Total new obligations (object class 42.0)	3		
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations		3	3
1029 Other balances withdrawn	-12	-3	-3
1050 Unobligated balance (total)	-12		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	15		
1850 Spending auth from offsetting collections, mand (total)	15		
1900 Budget authority (total)	15		
1930 Total budgetary resources available	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	29	26
3010 Obligations incurred, unexpired accounts	3		
3020 Outlays (gross)	-1		
3040 Recoveries of prior year unpaid obligations, unexpired		-3	-3
3050 Unpaid obligations, end of year	29	26	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	29	26
3200 Obligated balance, end of year	29	26	23
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	15		
Outlays, gross:			
4101 Outlays from mandatory balances	1		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-15		
4190 Outlays, net (total)	-14		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, [2016] 2017. (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016-0327-0-1-600	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0011 Advance to ESAA revolving fund	10		
0900 Total new obligations (object class 41.0)	10		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	10		
1260 Appropriations, mandatory (total)	10		

1930	Total budgetary resources available .....	10	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	Obligations incurred, unexpired accounts .....	10	.....	.....
3020	Outlays (gross) .....	-10	.....	.....
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	10	.....	.....
Outlays, gross:				
4101	Outlays from mandatory balances .....	10	.....	.....
4180	Budget authority, net (total) .....	10	.....	.....
4190	Outlays, net (total) .....	10	.....	.....

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this included new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA and EUCA accounts in fiscal year 2014, and the Department estimates that no advances will be necessary in 2015 and 2016. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, the Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2016 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$104,577,000]** \$122,760,000, together with not to exceed **[\$49,982,000]** \$53,804,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016-0172-0-1-504	2014 actual	2015 est.	2016 est.	
<b>Obligations by program activity:</b>				
0003	Workforce security .....	43	43	45
0004	Apprenticeship training, employer and labor services .....	30	34	37
0005	Executive direction .....	9	9	11
0006	Training & Employment Services .....	68	69	84
0799	Total direct obligations .....	150	155	177
0803	Reimbursable programs (DUA/E-grants/VOPAR/VRAP) .....	2	2	2

0900	Total new obligations .....	152	157	179
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	101	105	123
1160	Appropriation, discretionary (total) .....	101	105	123
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (UTF) .....	50	50	54
1700	Collected [DUA/EGrants/VOPAR/VRAP] .....	2	2	2
1750	Spending auth from offsetting collections, disc (total) .....	52	52	56
1900	Budget authority (total) .....	153	157	179
1930	Total budgetary resources available .....	153	157	179
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	26	30	21
3010	Obligations incurred, unexpired accounts .....	152	157	179
3011	Obligations incurred, expired accounts .....	2	.....	.....
3020	Outlays (gross) .....	-146	-166	-176
3041	Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050	Unpaid obligations, end of year .....	30	21	24
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	29	20
3200	Obligated balance, end of year .....	29	20	23

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	153	157	179
Outlays, gross:				
4010	Outlays from new discretionary authority .....	132	136	155
4011	Outlays from discretionary balances .....	14	30	21
4020	Outlays, gross (total) .....	146	166	176
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-53	-52	-56
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1	.....	.....
4070	Budget authority, net (discretionary) .....	101	105	123
4080	Outlays, net (discretionary) .....	93	114	120
4180	Budget authority, net (total) .....	101	105	123
4190	Outlays, net (total) .....	93	114	120

This account provides for the Federal administration of Employment and Training Administration programs.

*Training and Employment services.*— Training and Employment services provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults, youth and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

*Workforce security.*—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

*Office of Apprenticeship.*—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs. In 2016, the office will continue its emphasis on marketing apprenticeship to employers and individuals and broadening the reach of Registered Apprenticeships across the US.

*Executive direction.*—Provides leadership and policy direction for all training and employment services programs and activities and provides for

## PROGRAM ADMINISTRATION—Continued

related program operations support, including research, evaluations, and demonstrations.

## Object Classification (in millions of dollars)

Identification code 016-0172-0-1-504	2014 actual	2015 est.	2016 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	72	78	83
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	73	79	84
12.1 Civilian personnel benefits .....	21	23	25
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	2	5	5
25.2 Other services from non-Federal sources .....	5	2	2
25.3 Other goods and services from Federal sources .....	19	19	22
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....	15	13	23
26.0 Supplies and materials .....	1		1
31.0 Equipment .....	1		1
99.0 Direct obligations .....	150	155	177
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations .....	152	157	179

## Employment Summary

Identification code 016-0172-0-1-504	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment .....	726	765	806
2001 Reimbursable civilian full-time equivalent employment .....	7	13	13

## WORKERS COMPENSATION PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 016-0170-0-1-806	2014 actual	2015 est.	2016 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	14	6
3020 Outlays (gross) .....	-6	-8	-5
3050 Unpaid obligations, end of year .....	14	6	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	20	14	6
3200 Obligated balance, end of year .....	14	6	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	6	8	5
4190 Outlays, net (total) .....	6	8	5

*Workers Compensation Programs.*—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

## STATE PAID LEAVE FUND

*For grants and contracts to assist in the start-up of paid leave programs in the States, \$35,000,000.*

## Program and Financing (in millions of dollars)

Identification code 016-0185-0-1-505	2014 actual	2015 est.	2016 est.
<b>Obligations by program activity:</b>			
0001 States paid leave fund .....			35
0900 Total new obligations (object class 41.0) .....			35
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			35
1160 Appropriation, discretionary (total) .....			35
1930 Total budgetary resources available .....			35
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 Obligations incurred, unexpired accounts .....			35
3020 Outlays (gross) .....			-8
3050 Unpaid obligations, end of year .....			27
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			27
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			35
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			8
4180 Budget authority, net (total) .....			35
4190 Outlays, net (total) .....			8

The 2016 Budget requests \$35 million for a State Paid Leave Fund to assist States in setting up paid leave programs by providing technical assistance and other support. This proposal is paired with a mandatory proposal that would provide as many as five States with funds for the initial set-up and benefit costs for three years.

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE  
UNEMPLOYMENT TRUST FUND

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

## Trust Funds

## UNEMPLOYMENT TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 016-8042-0-7-999	2014 actual	2015 est.	2016 est.
0100 Balance, start of year .....	24,810	32,190	43,395
<b>Receipts:</b>			
0200 General Taxes, FUTA, Unemployment Trust Fund .....	8,471	8,490	8,192
0201 General Taxes, FUTA, Unemployment Trust Fund .....			1,385
0202 Unemployment Trust Fund, State Accounts, Deposits by States .....	46,450	47,786	46,482
0203 Unemployment Trust Fund, State Accounts, Deposits by States .....			65
0204 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
0205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	36	75	129
0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	441	236	128
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	998	850	805
0241 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	5,015		

0242	Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	712	1,009	1,203
0299	Total receipts and collections .....	62,123	58,446	58,396
0400	Total: Balances and collections .....	86,933	90,636	101,791
<b>Appropriations:</b>				
0500	Unemployment Trust Fund .....	-3,969	-3,868	-4,392
0501	Unemployment Trust Fund .....	-58,111	-56,810	-56,939
0502	Unemployment Trust Fund .....	-49		
0503	Unemployment Trust Fund .....	61		
0504	Unemployment Trust Fund .....	7,427	13,544	16,243
0505	Unemployment Trust Fund .....			34
0506	Unemployment Trust Fund .....			-5,340
0507	Railroad Unemployment Insurance Trust Fund .....	-15	-29	-29
0508	Railroad Unemployment Insurance Trust Fund .....		14	13
0509	Railroad Unemployment Insurance Trust Fund .....	-31	-48	-108
0510	Railroad Unemployment Insurance Trust Fund .....	-56	-44	
0599	Total appropriations .....	-54,743	-47,241	-50,518
0799	Balance, end of year .....	32,190	43,395	51,273

**Program and Financing** (in millions of dollars)

Identification code 016-8042-0-7-999	2014 actual	2015 est.	2016 est.	
<b>Obligations by program activity:</b>				
0001	Benefit payments by States .....	40,067	34,965	34,669
0002	Federal employees' unemployment compensation .....	916	828	797
0003	State administrative expenses .....	3,556	3,454	3,961
0010	Direct expenses .....	183	183	198
0011	Reimbursements to the Department of the Treasury .....	67	72	79
0020	Veterans employment and training .....	232	232	233
0021	Interest on FUTA refunds .....	1	1	1
0022	Interest on General Fund Advances .....	740	410	250
0900	Total new obligations .....	45,762	40,145	40,188
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	3,969	3,868	4,392
1160	Appropriation, discretionary (total) .....	3,969	3,868	4,392
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	58,111	56,810	56,939
1203	Appropriation (previously unavailable) .....	49		
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-61		
1234	Appropriations precluded from obligation .....	-7,427	-13,544	-16,243
1236	Appropriations applied to repay debt .....	-11,579	-6,989	-4,900
1260	Appropriations, mandatory (total) .....	39,093	36,277	35,796
Borrowing authority, mandatory:				
1400	Borrowing authority .....	2,700		
1440	Borrowing authority, mandatory (total) .....	2,700		
1900	Budget authority (total) .....	45,762	40,145	40,188
1930	Total budgetary resources available .....	45,762	40,145	40,188
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5,040	3,695	1,190
3010	Obligations incurred, unexpired accounts .....	45,762	40,145	40,188
3020	Outlays (gross) .....	-47,107	-42,650	-39,856
3050	Unpaid obligations, end of year .....	3,695	1,190	1,522
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5,040	3,695	1,190
3200	Obligated balance, end of year .....	3,695	1,190	1,522
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,969	3,868	4,392
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,367	2,899	3,090
4011	Outlays from discretionary balances .....	749	1,596	970
4020	Outlays, gross (total) .....	4,116	4,495	4,060
Mandatory:				
4090	Budget authority, gross .....	41,793	36,277	35,796
Outlays, gross:				
4100	Outlays from new mandatory authority .....	41,767	36,282	35,796
4101	Outlays from mandatory balances .....	1,224	1,873	
4110	Outlays, gross (total) .....	42,991	38,155	35,796
4180	Budget authority, net (total) .....	45,762	40,145	40,188

4190	Outlays, net (total) .....	47,107	42,650	39,856
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	29,478	35,919	40,600
5001	Total investments, EOY: Federal securities: Par value .....	35,919	40,600	35,800
5080	Outstanding debt, SOY .....	-29,646	-20,767	-13,778
5081	Outstanding debt, EOY .....	-20,767	-13,778	-8,878
5082	Borrowing .....	-2,700		

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, temporarily made EB 100 percent federally financed. Temporary Federal EB programs, including the recently expired Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds appropriation.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

*Legislative proposals to strengthen the unemployment insurance safety net.*—The recent economic downturn continues to severely test the adequacy of States' unemployment insurance (UI) financial systems, forcing some States to borrow to continue paying benefits. Because States are struggling to improve the financial status of their UTF accounts, the 2016 Budget will include a solvency proposal that will increase the FUTA taxable wage base to \$40,000 in 2017 and index it to average wages thereafter. States with lower wage bases will need to adjust their UI tax structures. The effective FUTA tax rate will be returned to 0.8 percent in calendar year 2016, to strengthen the solvency of the Federal trust fund accounts, then lowered to 0.165 percent in 2017 when the wage base is increased. Beginning in 2017, States would be required to have a minimum tax equivalent to 0.175 percent of the FUTA wage base. This legislative package will help put State UI systems on a firmer financial footing for the future. The proposal would also replace the current EB program with a more responsive one and require EB claimants to receive Reemployment Services and Eligibility Assessments (REA/RES). For States paying up to 26 weeks of regular benefits, the EB program would be 100 percent federally funded. Other States would pay 50 percent of the EB benefit costs. A UI Modernization component of the proposal would incentivize States to improve claimant connections to work and expand eligibility provisions in a manner similar to UI Modernization that

UNEMPLOYMENT TRUST FUND—Continued

was part of the American Recovery and Reinvestment Act. Additional proposals designed to assist in the reemployment of laid-off workers, reduce program costs and improper payments, and avoid overlapping payments of UI and Social Security Disability Insurance (SSDI) will be submitted. To address and prevent long-term unemployment, a permanent REA/RES program for claimants of the regular UI program is being proposed. State participation in the REA/RES program funded in the 2015 Omnibus is voluntary. Another proposal would mandate States' use of the State Information Data Exchange System (SIDES), an electronic tool for requesting and receiving information from employers regarding a claimant's separation which is needed to determine eligibility. Use of SIDES will allow State agencies, employers, and third-party administrators to reduce costs, improve the timeliness and accuracy of UI claims processing, and reduce improper payments. Another proposal designed to reduce improper payments to incarcerated individuals would require States to cross-match UI claimants with the Prisoner Update Processing System database housed at the Social Security Administration. To avoid overlapping payments of UI and SSDI, it is proposed that an individual's SSDI benefits would be reduced dollar for dollar in any month in which that person also receives a State or Federal UI benefit. This proposal would eliminate duplicative payments covering the same period a beneficiary is out of the work, while still providing a base level of support. These proposals will impact several receipt accounts that feed into the UTF, including FUTA deposits and deposits of State unemployment taxes into the UTF.

Status of Funds (in millions of dollars)

Identification code 016-8042-0-7-999	2014 actual	2015 est.	2016 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	238	15,151	30,840
0199 Total balance, start of year .....	238	15,151	30,840
Cash income during the year:			
Current law:			
Receipts:			
1200 General Taxes, FUTA, Unemployment Trust Fund .....	8,471	8,490	8,192
1200 Unemployment Trust Fund, State Accounts, Deposits by States .....	46,450	47,786	46,482
1200 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	36	75	129
Offsetting receipts (proprietary):			
1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	441	236	128
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	998	850	805
1240 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	5,015		
1240 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	712	1,009	1,203
Offsetting collections:			
1280 Railroad Unemployment Insurance Trust Fund .....	16	18	21
1299 Income under present law .....	62,139	58,464	56,960
Proposed legislation:			
Receipts:			
2200 General Taxes, FUTA, Unemployment Trust Fund .....			1,385
2200 Unemployment Trust Fund, State Accounts, Deposits by States .....			7
2200 Unemployment Trust Fund, State Accounts, Deposits by States .....			65
2299 Income under proposed legislation .....			1,457
3299 Total cash income .....	62,139	58,464	58,417
Cash outgo during year:			
Current law:			
4500 Unemployment Trust Fund .....	-47,107	-42,650	-39,856
4500 Railroad Unemployment Insurance Trust Fund .....	-120	-125	-145
4599 Outgo under current law (-) .....	-47,227	-42,775	-40,001
Proposed legislation:			
5500 Unemployment Trust Fund .....			-5,340
5500 Unemployment Trust Fund .....			34
5599 Outgo under proposed legislation (-) .....			-5,306

6599 Total cash outgo (-) .....	-47,227	-42,775	-45,307
Manual Adjustments:			
7692 Rounding adjustment .....	1		
7699 Total adjustments .....	1		
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year .....	-20,768	-9,760	12,071
8701 Unemployment Trust Fund .....			-3,955
8701 Unemployment Trust Fund .....			34
8701 Unemployment Trust Fund .....	35,919	40,600	35,800
8799 Total balance, end of year .....	15,151	30,840	43,950

Object Classification (in millions of dollars)

Identification code 016-8042-0-7-999	2014 actual	2015 est.	2016 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury .....	67	72	79
42.0 FECA (Federal Employee) Benefits .....	916	828	797
42.0 State unemployment benefits .....	40,204	34,965	34,669
43.0 Interest and dividends .....	604	410	251
94.0 ETA-PA, BLS, FLC .....	177	183	192
94.0 Veterans employment and training .....	232	232	233
94.0 Payments to States for administrative expenses .....	3,556	3,449	3,961
94.0 Departmental management .....	6	6	6
99.9 Total new obligations .....	45,762	40,145	40,188

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-2-7-999	2014 actual	2015 est.	2016 est.
Obligations by program activity:			
0001 Benefit payments by States .....			-34
0900 Total new obligations (object class 42.0) .....			-34
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-34
1260 Appropriations, mandatory (total) .....			-34
1930 Total budgetary resources available .....			-34
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts .....			-34
3020 Outlays (gross) .....			34
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....			-34
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-34
4180 Budget authority, net (total) .....			-34
4190 Outlays, net (total) .....			-34
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value .....			34

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-4-7-999	2014 actual	2015 est.	2016 est.
Obligations by program activity:			
0001 Benefit Payments by States .....			5,340
0900 Total new obligations (object class 42.0) .....			5,340
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			5,340
1260 Appropriations, mandatory (total) .....			5,340

1930	Total budgetary resources available .....	5,340
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	Obligations incurred, unexpired accounts .....	5,340
3020	Outlays (gross) .....	-5,340
<b>Budget authority and outlays, net:</b>		
Mandatory:		
4090	Budget authority, gross .....	5,340
Outlays, gross:		
4100	Outlays from new mandatory authority .....	5,340
4180	Budget authority, net (total) .....	5,340
4190	Outlays, net (total) .....	5,340
<b>Memorandum (non-add) entries:</b>		
5001	Total investments, EOY: Federal securities: Par value .....	-3,955

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	185	190	216
Outlays, gross:				
4010	Outlays from new discretionary authority .....	148	143	162
4011	Outlays from discretionary balances .....	35	57	47
4020	Outlays, gross (total) .....	183	200	209
Offsets against gross budget authority and outlays:				
Offsetting collections (collected from):				
4030	Baseline Program [Reimbursable] .....	-6	-8	-8
4180	Budget authority, net (total) .....	179	182	208
4190	Outlays, net (total) .....	177	192	201

**EMPLOYEE BENEFITS SECURITY ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses for the Employee Benefits Security Administration, **[\$181,000,000] \$207,455,000, of which not less than \$3,000,000 shall be made available through September 30, 2017, for the procurement of expert witnesses for enforcement litigation: Provided, That \$6,500,000 shall be made available through September 30, 2017, to assist in the start-up of retirement savings programs in states: Provided further, That, with respect to the previous proviso, the Secretary is authorized to transfer these amounts to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor for grants to states and is authorized to grant a temporary waiver of the preemption provisions of Section 514 of the Employee Retirement Income Security Act of 1974 until September 30, 2017. (Department of Labor Appropriations Act, 2015.)**

**Program and Financing (in millions of dollars)**

Identification code 016-1700-0-1-601	2014 actual	2015 est.	2016 est.	
<b>Obligations by program activity:</b>				
0001	Enforcement and participant assistance .....	145	147	166
0002	Policy and compliance assistance .....	27	27	34
0003	Executive leadership, program oversight and administration .....	6	7	7
0799	Total direct obligations .....	178	181	207
0801	Salaries and Expenses (Reimbursable) .....	6	8	8
0900	Total new obligations .....	184	189	215
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	179	182	208
1160	Appropriation, discretionary (total) .....	179	182	208
Spending authority from offsetting collections, discretionary:				
1700	Collected: Federal Sources .....	6	8	8
1750	Spending auth from offsetting collections, disc (total) .....	6	8	8
1900	Budget authority (total) .....	185	190	216
1930	Total budgetary resources available .....	185	191	218
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	1	2	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	55	56	45
3010	Obligations incurred, unexpired accounts .....	184	189	215
3011	Obligations incurred, expired accounts .....	1		
3020	Outlays (gross) .....	-183	-200	-209
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	56	45	51
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	55	56	45
3200	Obligated balance, end of year .....	56	45	51

**Enforcement and participant assistance.**—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public.

**Policy and compliance assistance.**—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

	2014 actual	2015 est. <sup>1</sup>	2016 est.
<b>ENFORCEMENT AND PARTICIPANT ASSISTANCE</b>			
Investigations conducted .....	4,293	3,438	N/A <sup>2</sup>
Participant benefit recoveries and plan assets restored (in dollars) ....	561,118,000 <sup>3</sup>	425,200,000	473,200,000
Investigative time for major enforcement cases .....	12.9%	12.0%	15.0%
Civil cases closed or referred for litigation within 30 months .....	N/A	85.0%	85.0% <sup>4</sup>
Criminal cases closed or referred for prosecution within 18 months .....	N/A	75.0%	75.0% <sup>4</sup>
Other civil cases closed or referred for litigation within 18 months .....	N/A	71.0%	71.0% <sup>4</sup>
Inquiries received .....	213,664	250,000	250,000
Reporting compliance reviews .....	4,034	4,330	4,330
<b>POLICY AND COMPLIANCE ASSISTANCE</b>			
Exemptions, determinations, interpretations, and regulations issued .....	3,373	4,767	4,112 <sup>5</sup>
Average days to process exemption requests .....	314	250	250

<sup>1</sup> In 2016, as the agency continues its efforts to improve the quality and impact of its investigations, it will place special emphasis on the timely conduct and referral of cases, as well as the impact of its investigations (e.g., the amounts recovered for plan participants and beneficiaries). While the agency will continue to report the total number of investigations conducted, it will no longer make projections of the raw number of investigations.

<sup>2</sup> Reflects over \$392 million in participant benefit recoveries, nearly \$163 million in plan assets restored, and over \$6 million in participant health plan recoveries.

<sup>3</sup> New enforcement measures that reflect the emphasis shift to timely conduct and referral of cases for litigation or prosecution (excludes Major Cases).

<sup>4</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registration.

<sup>5</sup> Reflects a revision of original 2015 estimates based on enacted amounts pursuant to P.L. 113-235.

**Executive leadership, program oversight, and administration.**—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.

**Object Classification (in millions of dollars)**

Identification code 016-1700-0-1-601	2014 actual	2015 est.	2016 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	88	90	95
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	90	92	97
12.1	Civilian personnel benefits .....	27	28	30
21.0	Travel and transportation of persons .....	2	3	3
23.1	Rental payments to GSA .....	11	11	11
24.0	Printing and reproduction .....		1	1
25.1	Advisory and assistance services .....	1	1	
25.2	Other services from non-Federal sources .....	6	8	17
25.3	Other goods and services from Federal sources .....	18	14	17
25.5	Research and development contracts .....	6	5	5
25.7	Operation and maintenance of equipment .....	14	15	23