



Federal Financial Accountability & Transparency Act (FFATA)

- Subaward & Executive Compensation Requirements and Reporting

Agenda

- What is FFATA?
- Review reporting requirements
 - Who?
 - What?
 - When?
 - Exclusions?
- The Reporting System – FSRS
 - Database registrations
 - FSRS and system overview

What is FFATA?

- Federal Funding Accountability and Transparency Act
- Legislation enacted September 26, 2006
- Requires
 - Prime recipients (receiving Federal funding through Federal awards)
 - threshold of \$25,000
 - reporting of subawards
 - reporting of executive compensation
 - Establishment of a single, searchable website

FSRS

- Federal Subrecipient Reporting System
- Separate reports
 - Subawards
 - Executive Compensation
- System operated and managed by GSA
 - NOT an ETA reporting system
 - Data uploaded into USASpending.gov

Guidance

- OMB
 - 2 CFR Part 170 – September 14, 2010
 - Federal guidance –
 - Memorandum to Agencies
 - August 27th and October 28th
- ETA
 - TEGL
 - FFATA mailbox
 - specific questions

Who is Responsible for Reporting?

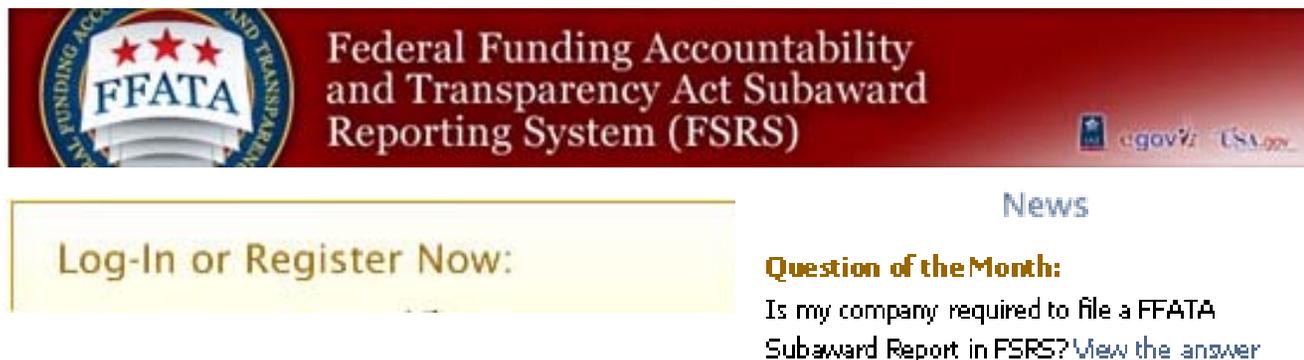
- Federal prime recipients
 - awards October 1, 2010, or later
 - report subaward and executive compensation information into FSRS (www.fsrs.gov)
- ETA reports prime recipient award information to pre-populate certain information

No Report Required

- Recovery Act/ARRA funded awards
- Awards less than \$25,000
- New awards with October 1 or later effective date
 - Subject to requirements
 - Additional funding increments do not trigger requirement
 - OMB guidance on incremental funding

When Did Reporting Begin?

- The FSRS started accepting subaward reports on October 29, 2010
- Federal prime recipients must register at www.fsrs.gov



The screenshot shows the top section of the Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS) website. On the left is the FFATA logo, which includes three stars and the text 'FFATA' and 'FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT'. To the right of the logo, the text reads 'Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)'. In the bottom right corner of the header, there are logos for 'eGov' and 'USA.gov'. Below the header, there is a yellow box on the left with the text 'Log-In or Register Now:'. To the right of this box, the word 'News' is displayed. Below 'News', there is a section titled 'Question of the Month:' followed by the text 'Is my company required to file a FFATA Subaward Report in FSRS?' and a link that says 'View the answer'.

Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

Log-In or Register Now:

News

Question of the Month:
Is my company required to file a FFATA Subaward Report in FSRS? [View the answer](#)

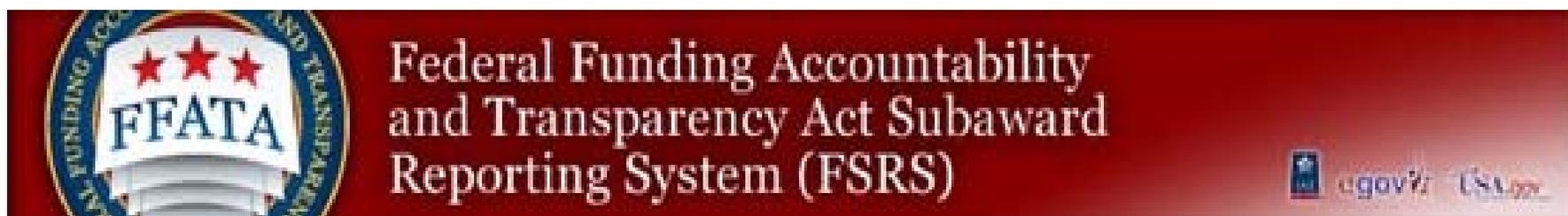
When Must You Report?

- By the end of the month following the month after the subaward or obligation was made
- Example:
 - For a grant awarded on October 2, 2010, the prime recipient would have until November 30, 2010, to report the sub-award and executive compensation information
 - for a grant awarded on October 31, 2010, the prime recipient would have until November 30, 2010, to report the sub-award and executive compensation information

Where Else Must You Register?

- BEFORE you submit a report
- Dun and Bradstreet, Data Universal Numbering System (DUNS)
 - (www.dnb.com)
- Central Contractor Registration (CCR)
 - (www.ccr.gov)

What is FSRS? (www.fsrs.gov)



- FSRS is an electronic database
- Used by prime recipients to input subaward and executive compensation information.
- Maintained by GPO

Log-In New Users



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)



Log-In or Register Now:



[FEDERAL GOVERNMENT](#)



[AWARDEES](#)

Please use Internet Explorer 6.0+, Mozilla Firefox 2.0+, or Apple Safari 3.0+ to access FSRS.

[About FSRS](#)

[FAQs](#)

Documents

User Guides

» [FSRS Awardee Guide](#)

Training Materials

» [FSRS Awardee User Demonstration](#)

News

FSRS Registration



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)



 login

Monday, October 25, 2010 | 5:31 pm

 returning awardees: login

 new awardees: register

Email:

Password:

Login   **Forgot Password**

Register for a new account

Register 

 [Registration instructions for Awardees](#)

 [Awardee User Guide](#)

[Not an awardee user? Click here.](#)

Resources Page

Resources

Quick Reference Guides

Managing CONTRACT Subaward Reporting in FSRs:

- [Add a Contract Award to the Awardee Worklist](#)
- [Delete a Contract Award from the Awardee Worklist](#)
- [Creating and Submitting a Contracts FFATA Subaward Report in FSRs](#)
- [Edit a Report from the FFATA Reports List](#)
- [Review, Access and Retrieve Reports from the FFATA Reports List](#)

Managing GRANT Subaward Reporting in FSRs:

- [Add a Grant Award to the Awardee Worklist](#)
- [Delete a Grant Award from the Awardee Worklist](#)
- [Creating and Submitting a Grants FFATA Subaward Report in FSRs](#)
- [Edit a Report from the FFATA Reports List](#)
- [Review, Access and Retrieve Reports from the FFATA Reports List](#)

User Guides

For Awardee Users:

- [Awardee User Guide](#)

For Government Users:

- [FSRS Agency Coordinator Guide](#)

Demonstration Videos

- [FSRS Awardee User Demonstration](#)

Webinar Archives

Launch of Grants on 10.29.2010

For Awardee Users:

- [Awardee Overview](#)

For Government Users:

- [Government User Overview](#)
- [Government User Training](#)

Technical Documentation

- [Data Definitions for Contracts](#)
- [Data Definitions for Grants](#)

FAQs

- [FAQs](#)

FSRS Home Page



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

powered by simplicity



[Home](#) | [Profile](#) | [Worklist](#) | [Create / Review Reports](#)

home

Monday, October 25, 2010 | 7:04 pm | [test-123@simplicity.com](#) | [Log-in to eSRS](#) | [Logout](#)

add award to worklist

alerts

▸ No current alerts

awardee user guide

create FFATA report

announcements

▸ **This is a sample Announcement!**
Look for notifications from the FSRS system administrators here.

awardee user demonstration

The Worklist

Home | Profile | **Worklist** | Create / Review Reports

awardee worklist Tuesday, October 26, 2010 | 9:30 am | Test-11@symplicity.com | Log-in to eSRS | Logo

My Worklist | Contracts Not Added | Grant Awards Not Added

Note

The "Worklist" is an organizational tool for convenience. It allows the prime awardee to organize the awards for which they have reporting responsibility. They can search for and add awards (both contracts and grants awarded to their registered DUNS number) to their work list from contracts reported in FPDS-NG and grants reported through the Agency's FAADS+ submissions to USA pending. They can create new FFATA subaward reports against those prime awards. They can view unassigned prime contracts and/or grants awarded to their registered DUNS number and add them to their worklist. There is no deviation in workflow between managing prime contracts and grants in the Awardee Worklist.

Click on the [Contracts Not Added](#) or [Grants Not Added](#) tab to view any contracts/awards in the system that match your DUNS # and have not been added to your worklist.

> Search Filters

[+ Search For And Add Award](#)
[+ Create New Report](#)
[Report List](#)
Items 1-3 of 3 | < Previous | Jump 1 | Next >

Award Number	Top Level Agency	Agency	Type	Options
01000000	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	grant	Remove
000000	NATIONAL SCIENCE FOUNDATION	NATIONAL SCIENCE FOUNDATION	grant	Remove Existing Reports
GSI000000000	NATIONAL SCIENCE FOUNDATION	PUBLIC BUILDINGS SERVICE	prime contract	Remove Existing Reports

[+ Search For And Add Award](#)
[+ Create New Report](#)
[Report List](#)
Items 1-3 of 3 | < Previous | Jump 1 | Next >

Award Details

The screenshot displays the 'Award Details' page in the eSRS system. At the top, there is a navigation bar with links for 'Home', 'Profile', 'Worklist', and 'Create / Review Reports'. The current page title is 'awardee worklist'. The user is logged in as 'test-123@symplicity.com' on Tuesday, October 26, 2010, at 9:59 am. The main content area shows the 'Award Details' tab selected, with a 'Cancel' button and a 'Grant Information' section. The grant information includes the type of award, agency name, and total federal funding amount. A certification statement is also present at the bottom of the details section.

Act Subaward Reporting System (eSRS)

Home | Profile | **Worklist** | Create / Review Reports

awardee worklist Tuesday, October 26, 2010 | 9:59 am | test-123@symplicity.com | Log-in to eSRS | Logout

FAIN: 100711021107

[+ BACK TO LIST](#)

Award Details Existing Reports

Cancel

Grant Information

Type of Award: Grant Award

Details:

- Agency: ADMINISTRATION FOR CHILDREN AND FAMILIES (7590)
- Total Federal Funding Amount: 23944786.00

Certification: I verify that I am the Prime Awardee for this grant

Existing Reports Tab



Home | Profile | **Worklist** | Create / Review Reports

awardee worklist

Tuesday, October 26, 2010 | 3:49 pm | test-123@symplicity.com | Log-in to eSRS | Logou

GS0001 / GSBOA4834384

[+ BACK TO LIST](#)

Award Details Existing Reports

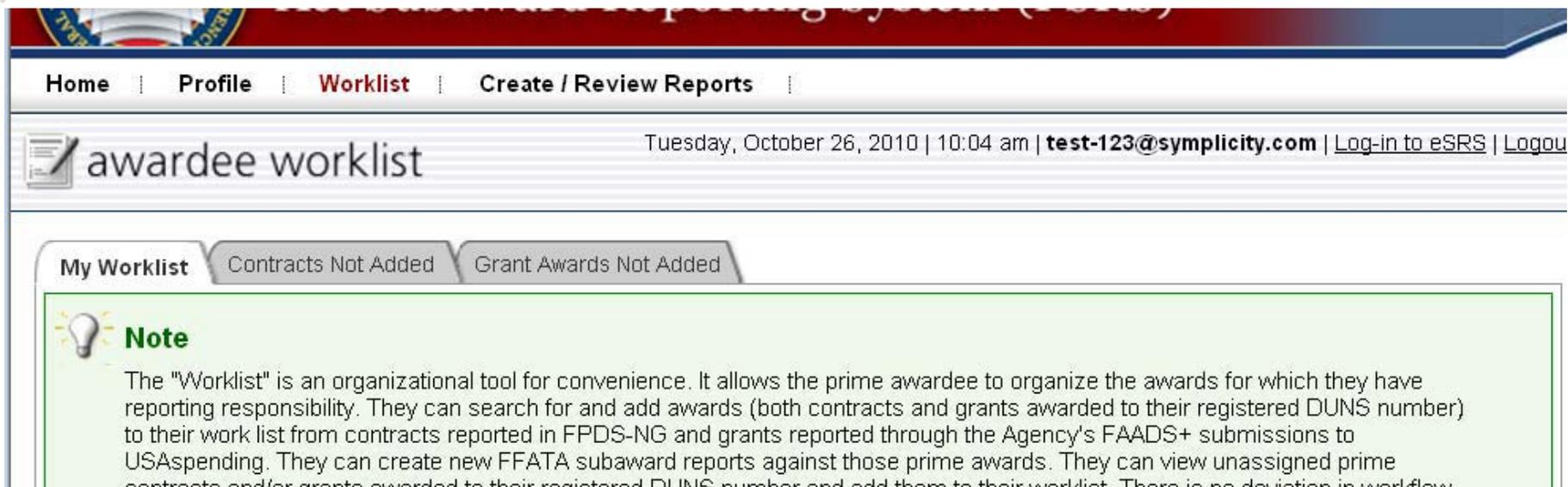
Search Filters

Items 1-4 of 4

Previous | Jump 1 | Next

	Contract / Award Number ▼	Agency ID ▼	Agency Name ▼	Status	Source ▼	Report Month ▼	Options
	GS0001 / GSBOA4834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	-	Delete Copy Report
	GS0001 / GSBOA4834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Dec 2009	Delete Copy Report

Finding Awards Not Added to the Worklist



Home | Profile | **Worklist** | Create / Review Reports

awardee worklist Tuesday, October 26, 2010 | 10:04 am | test-123@symplicity.com | [Log-in to eSRS](#) | [Logout](#)

My Worklist Contracts Not Added Grant Awards Not Added

 **Note**

The "Worklist" is an organizational tool for convenience. It allows the prime awardee to organize the awards for which they have reporting responsibility. They can search for and add awards (both contracts and grants awarded to their registered DUNS number) to their work list from contracts reported in FPDS-NG and grants reported through the Agency's FAADS+ submissions to USAspending. They can create new FFATA subaward reports against those prime awards. They can view unassigned prime contracts and/or grants awarded to their registered DUNS number and add them to their worklist. There is no deviation in workflow.

Add All Records Tab

awardee worklist

My Worklist

Contracts Not Added

Grant Awards Not Added



Note

The following grants were found that match your registered DUNS # and have not been added to your worklist. You may add a specific grant to your worklist or click the "add all grants to my worklist" button to add them all.

Search Filters

Add All Grants To My Worklist

Search For Other Grants

Create New Report

Report List

Items 1-7 of 7

Previous | Jump 1 | Next

Award ID (FAIN)	Top Level Agency	Agency	DUNS Number	Options
100	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	807850730	Add To Worklist
100	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	807850730	Add To Worklist
100	HEALTH AND HUMAN SERVICES,	ADMINISTRATION FOR CHILDREN AND	807850730	Add To Worklist

Search For and Add Award Tab

Home | Profile | **Worklist** | Create / Review Reports

awardee worklist Tuesday, October 26, 2010 | 9:30 am | Test: 1@symplicity.com | Log in to eSRS | Logo

MyWorklist | **Contracts Not Added** | Grant Awards Not Added

Note

The "Worklist" is an organizational tool for convenience. It allows the prime awardee to organize the awards for which they have reporting responsibility. They can search for and add awards (both contracts and grants awarded to their registered DUNS number) to their worklist from contracts reported in FPDS-NG and grants reported through the Agency's FAADS+ submissions to USA Spending. They can create new FFATA subaward reports against those prime awards. They can view unassigned prime contracts and/or grants awarded to their registered DUNS number and add them to their worklist. There is no deviation in workflow between managing prime contracts and grants in the Awardee Worklist.

Click on the [Contracts Not Added](#) or [Grants Not Added](#) tab to view any contracts/awards in the system that match your DUNS # and have not been added to your worklist.

Search Filters

Items 1-3 of 3

 1

Award Number	Top Level Agency	Agency	Type	Options
05...	HEALTH AND HUMAN SERVICES, DEPARTMENT OF	ADMINISTRATION FOR CHILDREN AND FAMILIES	grant	<input type="button" value="Remove"/>
05...	NATIONAL SCIENCE	NATIONAL SCIENCE	grant	<input type="button" value="Remove"/> <input type="button" value="Fastlan Reports"/>

How to Initiate a Search

Home | Profile | **Worklist** | Create / Review Reports |

 **awardee worklist**

Tuesday, October 26, 2010 | 9:53 am | **Test-11@simplicity.com** | [Log-in to eSRS](#) | [Logout](#)

Enter Contract / Grant #



Note:

Note: If you are unable to find or report against a prime award that should be available through FSRS, please take the following action:

- Prime Contract Awardees -- Contact your cognizant contracting officer or official for help. In order for you to file a FFATA subaward report against your contract, your government contracting authority will have to report your record to Federal Procurement Data System -Next Generation (FPDS-NG). FPDS-NG is the authoritative source of the basic contractual information used to pre-populate many of the FSRS fields when entering your Contract Number. For more information on FPDS-NG please visit <https://www.fpds.gov>.
- Prime Grant Awardees -- Contact your grant making official for help. In order for you to file a FFATA subaward report against your grant, your Federal grant making official must report your prime grant award information through their FAADS+ file submission to USAspending. The Agency's FAADS+ submission is the authoritative source for the basic grant award information used to pre-populate many of the prime award details in your FFATA report. For more information on the FAADS+ format, please read [OMB M-09-19](#).

Submit

Cancel

Contract / Grant Request Form (Step 1)

* indicates a required field

Type of Award: Contract/Order Grant

Award ID (FAIN)*: Please enter the Federal Award ID (FAIN) you would like to add to your worklist as it appears on your grant award notice.

Warning Error for Non-FFATA Awards



ne contractor: In the event that you are unable to find or report against a contract that should be available through FSRS, you must
:racting officer or official for help. In order for you to report against your contract, your government contracting authority will have to report
:urement Data System -Next Generation (FPDS-NG). FPDS-NG is the authoritative source of the basic contractual information used to
SRS fields when entering your Contract Number. For more information on FPDS-NG please visit <https://www.fpds.gov>.

Cancel

Step 1)

* indicates a required field

Prime Recipient Verification

Home | Profile | **Worklist** | Create / Review Reports



awardee worklist

Tuesday, October 26, 2010 | 3:28 pm | [test-44@symplicity.com](#) | [Log-in to eS](#)

Note: This contract was previously on your worklist. Please click submit to add this contract back onto your worklist.

Contract Request Form (Step 2)

* indicates a required field

Type of Award: Contract

Certification*: The FFATA Report can only be submitted by prime contractors. Only continue with this submission if you are the prime contractor for this contract

I verify that I am the Prime Contractor for this contract

Prime Contract Info	
Number:	AG3K15C100020
Agency:	AGRICULTURAL RESEARCH SE
Current Contract Value:	\$ 34457024.00

FFATA Reports Status

Home | Profile | Worklist | **Create / Review Reports** |



FFATA reports

Tuesday, October 26, 2010 | 3:56 pm | test-123@symplicity.com | [Log-in to eSRS](#) | [Logout](#)

All Drafts Submitted Re-opened

Search Filters

+ Create New Report Items 1-10 of 10

Previous | Jump 1 | Next

	Contract / Award Number ▾	Agency ID ▾	Agency Name ▾	Status ▾	Source ▾	Report Month ▾	Options
	1001MD1407	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	-	Delete Copy Report
	1001MD1407	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	-	Delete Copy Report
	1001MD1407	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	-	Delete Copy Report
	1001MD1407	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	Oct, 2010	Delete Copy Report
	1001MD1407	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	Oct, 2010	Delete Copy Report
	1002MNTANF	7590	ADMINISTRATION FOR CHILDREN AND FAMILIES	DRT	Grant	Apr, 2010	Delete Copy Report
	GS0001 / GSBOA4834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	-	Delete Copy Report
	GS0001 / GSBOA4834384	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Dec, 2009	Delete Copy Report

DRT = Draft SUB = Submitted RPII = Reopened

Filing a New FFATA Report

- 1 [Instructions](#)
- 2 [Enter Award ID \(FAIN\)](#)
- 3 [Grant Award Details](#)
- 4 [Prime Award Details](#)
- 5 [Subawardee Data](#)
- 6 [Review](#)**
- 7 [Submit Report](#)



Edit a FFATA Report

Home | Profile | Worklist | **Create / Review Reports** |



 FFATA reports

Tuesday, October 26, 2010 | 8:00 pm | test-123@simplicity.com | [Log-in to eSRS](#) | [Logout](#)

All Drafts Submitted Re-opened

Search Filters

[+ Create New Report](#) Items 1-10 of 10

Previous | Jump | Next

	Contract / Award Number ▲	Agency ID ▼	Agency Name ▼	Status ▼	Source ▼	Report Month ▼	Options
	G011001 / GSE011000000000000004	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	-	Delete Copy Report
	G011001 / GSE011000000000000004	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Dec, 2009	Delete Copy Report
	G011001 / GSE011000000000000004	4700	GENERAL SERVICES ADMINISTRATION	DRT	Contract	Aug, 2010	Delete Copy Report

Re-open a FFATA Report



FFATA reports

1001ND1407

[BACK TO REPORT LIST](#)

- 1 [Instructions](#)
- 2 [Enter Award ID \(FAIN\)](#)
- 3 [Grant Award Details](#)
- 4 [Prime Award Details](#)
- 5 [Subawardee Data](#)

Prime Award Details



Note: Some fields have been pre-populated from data in FAADS+ and CCR; please review the report to identify and complete required fields that have not been pre-populated. For specific data sources by data element, consult the data dictionary found in the Tips section.

FFATA Report For Grants

- 1. Federal Award Identifier Number (FAIN):
1001ND1407
- 2. Federal Agency ID:

Report History

Oct 26, 2010 7:45 pm
Report Submitted

[Reopen to Edit Report](#)

Progress

- ✓ FFATA Details
 - ✓ Federal Award Identifier Number (FAIN)
 - ✓ Federal Agency ID
 - ✓ Federal Agency Name
 - ✓ Awardee DUNS #
 - ✓ DUNS Number +4

Batch Report Navigation Menu



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

powered by simplicity



Home | Profile | Contract Worklist | File / Review Reports

profile

FFATA Reports

Batch Upload Reports

Thursday, July 8, 2010 | 12:25 pm | [Log-in to eSRS](#) | [Logout](#)

Batch Upload Reports

[Logs](#)


Instructions:

Please choose the report type that you would like to batch upload and choose whether you are using the Excel or XML templates. The data must match the template exactly for the import to process correctly.

Excel: Please specify the field delimiter in your data file. The template provided is in excel format; after populating the template, you **MUST** save the file in Comma Separated (.csv) or Tab Delimited format by clicking "save as" and choosing one of the specified formats.

If your FFATA report contains more than one set of subcontractor/subawardee data, you **MUST** specify the additional subcontractor/subawardees in the immediate rows following the row that contains the report data. In these rows, you must specify the contract number (and reference number if applicable) if filing for contracts OR Award ID (FAIN) if filing for grants AND the relevant reporting period to ensure that the sub data is added to the correct report; you may leave all other columns empty except for the subcontractor/subawardee data specific fields for these rows.

XML: You may also submit one or more FFATA reports using either the contracts or grants XML template below. [Click Here](#) to view the schema.

Note: If a report is uploaded via batch imports, the system will also pull in report data from FPDS-NG and FAADS + data feeds to complete elements of the report. FSRS will draw from FPDS-NG / FAADS+ data feeds first, and then use uploaded data, if not found in the source data feeds.

Templates

- [View FFATA \(Contracts\) Excel Template](#)
- [View FFATA \(Grants\) Excel Template](#)
- [View FFATA \(Contracts\) XML Sample File](#)
- [View FFATA \(Grants\) XML Sample File](#)

[Upload Reports](#)

Questions?

- Office of Grants Management
 - Division of Policy, Review & Resolution
 - ffata.reporting@dol.gov
- OMB's website for Federal Spending Transparency
 - www.whitehouse.gov/omb/open
- FFATA Sub-award Reporting System
 - www.fsr.gov

