



U.S. Department of Labor Employment and Training Administration

Trade Act Participant Report (TAPR)

**Trade and Globalization Adjustment
Assistance Act of 2009**

Current Report Model

- Aggregate data on most recent reporting quarter (“real time”)
 - ETA-563
 - ATAAAR
- Individual participant records on participant activities and outcomes 5 and ½ quarters after exit
 - TAPR

New Statutory TAA Reporting Provisions

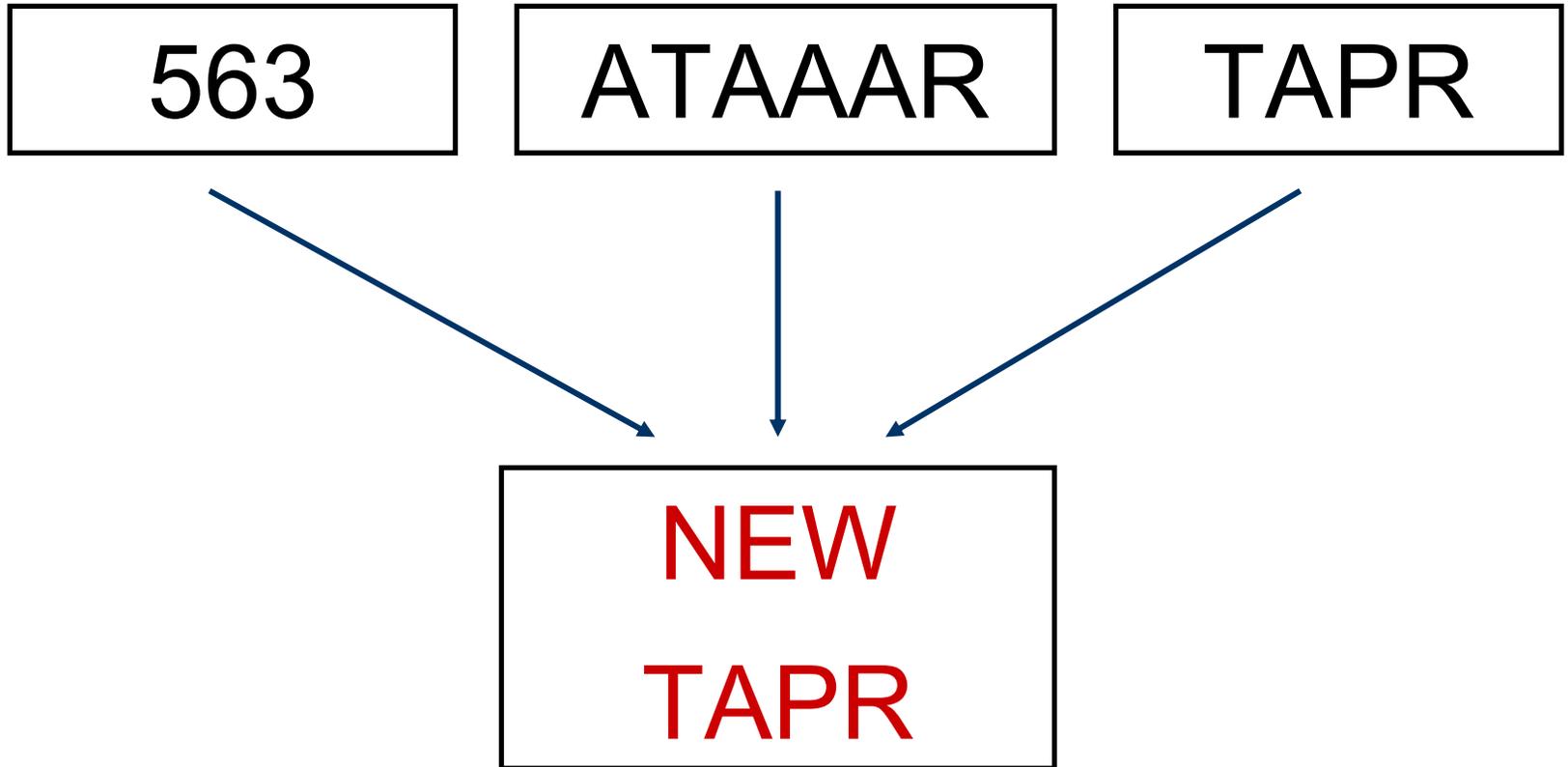
Amendments to Sections 239 and 249B of the Trade Act mandate several reporting requirements, including:

1. Individualized data elements
 - counts and durations of benefits and services
2. Redefined performance measures and other core measures at the discretion of the Secretary.
3. Information made available quarterly to Congress and on public website via searchable format, with filters for industry, state and national totals.
4. Accountability for states to provide specifics "on efforts made to improve outcomes for... (TAA participants)" , and
5. Standards and control measures that states must implement to ensure data reported are valid and reliable.

Application of Statute in TAA Reporting

- Statutory requirements cannot be tracked through current report formats
 - Current aggregate data model not viable
 - Petition-level data also not sufficient
- Data integrity and program accountability will be substantially improved through a more detailed data collection
 - Aggregates create confusion
 - Possibility of errors in “rolling up” data
 - Lack of individual picture on range of benefits and services provided severely limits value of data analysis for service design analysis.

Consolidation of Reporting



Case Management

- Case Management
 - Providing integrated information on an individual level requires systematic coordination
- Effective Individual Record Reporting Reflects Coordinated Case Management
- Case Management Options

Span of Reporting Cycle - Participants

For participants TAPR will track:

- Applicants from the quarter of eligibility determination
- Through participation
- And continue through six quarters after exit.

Span of Reporting Cycle – Participants

First quarter of reporting (12/31/09) will include:

1. All TAA applicants whose eligibility is established in quarter ending 12/31/09
2. All participants that are still active through 12/31/09
3. All participants that exited in quarter ending 12/31/09
4. Exiters from quarters ending 9/30/09, 6/30/09, 3/31/09, 12/31/08, 9/30/08 and 6/30/08

Second quarter (3/31/2009) will include

1. Data relevant to 3/31/09 quarter for #1, #2, #3 above
2. Add 12/31/09 quarter of exiters, and drop 6/30/08 quarter of exiters from quarters listed in #4

Span of Reporting Cycle

Non-TAA Participants

- TAA Applicants Determined Ineligible
 - One quarter
- TAA Applicants Determined Eligible But Do Not Receive Benefits or Services
 - Two or Three Quarters, Depending on
 - State Policy

Nature of Data Elements Reported

- (F) Fixed-First Data Entry is constant through end of reporting cycle
- (NF) Non-Fixed –Data *may* change more than once, but will become fixed at some point, and remain fixed through end of reporting cycle
- (Q) Quarterly – Only activity within the report quarter is reported and not repeated beyond report quarter.
- (C) Cumulative – Data aggregates upward, but will become fixed at some point through end of reporting cycle.
- (P) Post Participation Performance Data – Data Will be Pulled Two Quarters Following Exit
- (A) May occur, and be reported, from quarter of participation through end of reporting cycle.

Type of Data Collected

Data Element Details

Identifying Data

EEO Info

Veterans Characteristics

Employment and Education Information

Additional Reportable Characteristics

One-Stop Participation Data

Intensive Services

Training Services

Related Assistance

Employment and Job Retention Information

Wage Record Information

TAPR Data Validation

Element Validation

- 17 Elements Cited in TAA DV 2.0 will continue, with same source documentation cited.
- Additional elements may be added, but will allow time for states to adapt.

Edit Checks in Report Data

- Edits used in report submission are now part of EBSS

Required Fields

All records must have:

- (100) Unique Identifier (F)
- (102) State FIPS Code (F)
- (103) County FIPS Code (F)
- (105) Statewide/County Workforce Board No. (F)
- (920) Petition Number (F)
- (950) TAA Application Date (F)
- (953) Determination of Eligibility (F)
- (954) *Date of Eligibility Determination (F)*

TAPR Element Timing – All Records

- (100) Unique Identifier (F)
- (101) State Code of Residence (F)
- (102) County Code of Residence (F)
- (103) Zip Code of Residence (F)
- (105) ETA-Assigned Local
WorkforceBoard/Statewide Code (F)
- (950) TAA Application Date (F)
- (953) *Date of Eligibility Determination* (F)
- (954) Determined Eligible (F)

Required by Date of Participation

- (200) Date of Birth (F)
- (201) Gender (NF)
- (202) Individual with a Disability (NF)
- (203) Category of Disability (NF)
- (204) Ethnicity Hispanic / Latino (NF)
- (205) American Indian or Alaskan Native (NF)
- (206) Asian (NF)
- (207) Black or African American (NF)
- (208) Native Hawaiian or Other Pacific Islander (NF)
- (209) White (NF)

TAPR Element Timing - Date of Participation

(301) *Eligible Veteran Status (NF)*

- Now matches WIA and Priority of Service Definition (new code value for less than 180 days)

(302) *Campaign Veteran (NF)*

(303) *Disabled Veteran (NF)*

(304) *Date of Actual Military Separation (F)*

(305) *Transitioning Service Member (NF)*

TAPR Element Timing - Date of Participation

Education, UC, Education, LEP and

- (400) Employment Status at Participation (F)
- (401) UC Eligible Status (F)
- (402) Highest School Grade Completed (F)
- (600) TANF (F)
- (601) *Supplemental Security Income (SSI) / Social Security /Disability Insurance (SSDI)* (NF)
- (602) Other Public Assistance Recipient (NF)
- (703) Limited English Language Proficiency (NF)

TAPR Element Timing - Date of Participation

Case Management, Coenrollment and Rapid Response Activity

- (900) Date of Participation/Date of First Case Management and Reemployment Service (F)
- (1227) Date of Most Recent Case Management and Reemployment Service (NF)
- (908) Rapid Response (F)
- (921) Vocational Education (F)
- (922) Vocational Rehabilitation (F)

Timing - During Participation

Employment Info and Coenrollment

- (707) Most Recent Date of Qualifying Separation (F)
- (708) Tenure with Employer at Separation (F)
- (902) *Adult (local formula) (NF)*
- (903) Dislocated Worker (local formula) (NF)
- (909) *Rapid Response (Additional Assistance) (NF)*

Timing - During Participation

Coenrollment, TAA Participation, and Liable Agent

(910) NEG Project ID (NF)

(911) Second NEG Project ID (NF)

(912) Special ETA Project ID (NF)

(913) *Rapid Response Event Number* (NF)

(918) *Veterans' Programs* (NF)

(951) Date of First TAA Benefit or Service (F)

(952) Liable/Agent State Identifier (F)

TAPR Element Timing - During Participation

Training Information

Up to 3 Phases of Training Tracked (1208-1222):

- Date Entered Training (F)
- Type of Training (F)
- *Occupational Skills Code* (F)
- Completed Training (F)
- Date Completed Training (F)

TAPR Element Timing - During Participation

Training Information

(1233) Distance Learning (Q)

(1234) Part Time Training (Q)

(1235) *Adversely Affected Incumbent
Worker (F)*

TAPR Element Timing - During Participation

Training Waiver Information

(1225) Waiver from Training Requirement-
Type (F)

(1228) Waiver from Training Requirement –
Current Quarter (C)

(1226) *Date Individual Service Plan Created (F)*

TAPR Element Timing - During Participation

Training Expenditures

(1229) Current Quarter Training Expenditures (C)

(1230) Total Training Expenditures (C)

(1231) Training Costs-Amount of Overpayment (NF)

(1232) Training Costs – Overpayment Waiver (NF)

TAPR Element Timing - During Participation

TRA Activity and Costs

Basic, Additional and Remedial TRA – (1420-1433)

- Date Received First Payment h (F)
- Weeks Paid This Quarter (Q)
- Total Weeks Paid (C)
- Amount Paid This Quarter (Q)
- Total Amount Paid (C)
- TRA Overpayment- Yes/No (F)
- Amount of Overpayment (NF) (C)
- Overpayment Waiver (F)

TAPR Element Timing - During Participation

A/RTAA Activity and Costs

- (1443) Date Received First A/RTAA Payment (F)
- (1444) Number of A/RTAA Payments Current Quarter (Q)
- (1445) Current Quarter A/RTAA Payments Costs (Q)
- (1446) Number of A/RTAA Payments Total (C)
- (1447) Total Amount Paid - A/RTAA (C)

TAPR Element Timing - During Participation

A/RTAA Activity and Costs

Basic, Additional and Remedial TRA – (1420-1433)

- Date Received First Payment h (F)
- Weeks Paid This Quarter (Q)
- Total Weeks Paid (C)
- Amount Paid This Quarter (Q)
- Total Amount Paid (C)
- TRA Overpayment- Yes/No (F)
- Amount of Overpayment (NF) (C)
- Overpayment Waiver (F)

TAPR Element Timing – During Participation

Job Search & Relocation

(1436) Job Search Allowance Current
Quarter (Q)

(1437) Job Search Allowance Current Quarter
Costs (Q)

(1438) Job Search Allowance Total Costs (C)

(1439) Relocation Allowance Current Quarter (Q)

(1440) Relocation Allowance Total Costs (C)

TAPR Element Timing - During Participation

A/RTAA Information

- (1443) Date Received First A/RTAA Payment
- (1444) Number of A/RTAA Payments Current Quarter
- (1445) Current Quarter A/RTAA Payments
- (1446) Number of A/RTAA Payments Total
- (1447) Total Amount Paid - A/RTAA

Timing - During Participation

A/RTAA Information

- (1448) Frequency of A/RTAA Payments (F)
- (1449) Maximum A/RTAA Benefit Reached (F)
- (1450) *A/RTAA Overpayment Current Quarter (Q)*
- (1451) *Amount of A/RTAA Overpayment (NF) (C)*
- (1452) A/RTAA Overpayment Waiver (F)

Timing – Post Participation

Employment and Job Retention

(1500/1507/1509/1511) Employed 1st/2nd/3rd/4th
Quarter After Exit (P)

(1501/1507/1509/1511) Match Type 1st/2nd/3rd/4th
Quarter After Exit (P)

Timing – Post Participation

Employment and Job Retention

- (1513) Recalled by Layoff Employer (A)
- (1514) Occupational Code of Employment
2nd Quarter After Exit (P)
- (1515) *Industry Code of Employment 2nd Quarter After Exit (P)*

TAPR Element Timing – Post Participation Wage Record Information

(1600-1602) 1st/2nd/3rd Quarter wages
prior to participation (P)

(1603-1606) 1st/2nd/3rd/4th Quarter wages
after exit (P)

TAPR Element Timing –Participation through Last Reporting Quarter Recognized Credential

- (1700) Recognized Credential (NF)
- (1706) 2nd Recognized Credential (NF)

Questions / Comments / Resources

- www.doleta.gov/tradeact
- www.doleta.gov/performance

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