Trade Adjustment Assistance (TAA) Overview:
Based on Data Reported for the Quarter Ending 3/31/2016
(except where otherwise noted)

This TAA overview is designed to provide American Job Center partners, employers, case managers, participants, and the public with up-to-date program information and to facilitate the sharing of best practices to ensure participants achieve success.

The following info graphics show recent trends at different stages of TAA program participation; the TAA petition and investigations process; and TAA participant training and employment results that are tracked within the first nine months after participants exit the program.

In addition, key program features are highlighted to show how specific benefits and services improve employment outcomes for TAA participants.

To obtain TAA reemployment services and benefits a petition must be filed by a group of three or more workers; an employer of a group of workers; a Union; a State Workforce Official; an American Job Center Operator/Partner; or another Duly Authorized Representative. The U.S. Department of Labor’s Office of Trade Adjustment Assistance (OTAA) will initiate an investigation when a complete petition is filed by any of these parties.

The average petition processing time this quarter was: 254.1 days

This is the trend of TAA petitions filed during the year ending with the current quarter:

To learn more about the TAA petition process, click here: http://www.doleta.gov/tradeact/petitions.cfm
and here: http://www.doleta.gov/tradeact/FAQ.cfm

Petitions can be filed online at https://www.etareports.doleta.gov/petition/ or downloaded at http://www.doleta.gov/tradeact/DownloadPetitions.cfm

Average Petition Processing Days
The average processing time for investigations has increased significantly. The retroactive provisions afforded to petitioners under the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA) created a backlog of investigations. TAARA 2015 required automatic reconsideration of denied petitions as well as pending investigations to be reconsidered under the new broader statutory eligibility determination criteria. OTAA has implemented procedures that will work to reduce the backlog. We appreciate your patience in this matter. For more information on the reauthorized TAA program, please click here: http://www.doleta.gov/tradeact/2015_amend_benefits.cfm

Average Investigation Days
MIS Data for Quarter ending 3/31/2016

Average Investigation Days
MIS Data for 4/1/2015 through 3/31/2016

Average Investigation Days
MIS Data for 4/1/2015 through 3/31/2016

<table>
<thead>
<tr>
<th></th>
<th>Apr</th>
<th>May</th>
<th>Jun</th>
<th>Jul</th>
<th>Aug</th>
<th>Sep</th>
<th>Oct</th>
<th>Nov</th>
<th>Dec</th>
<th>Jan</th>
<th>Feb</th>
<th>Mar</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>64.6</td>
<td>63.6</td>
<td>41.5</td>
<td>83.7</td>
<td>283.7</td>
<td>221.4</td>
<td>137.7</td>
<td>146.8</td>
<td>171.1</td>
<td>148.5</td>
<td>244.9</td>
<td>312.9</td>
<td>198.8</td>
</tr>
<tr>
<td>2016</td>
<td></td>
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</tr>
</tbody>
</table>

Average Investigation Days
MIS Data for 4/1/2015 through 3/31/2016

Grand Total | 198.8
A petition may be filed by:

- Three or more workers in the same firm or subdivision
- The workers’ employer
- A union official or other duly authorized representative of such workers
- American Job Center operators or partners (including state workforce agencies and dislocated worker units).

The majority of petitions are filed by a state or local workforce representative.

After accepting a complete petition, OTAA will initiate an investigation to determine whether the group of workers covered by the petition meets the group eligibility requirements of the Trade Act.

Upon completing an investigation, OTAA will issue either an affirmative determination certifying the worker group as eligible to apply for TAA services and benefits if the workers are found to meet the statutory group eligibility criteria, or a negative determination denying the worker group certification if those criteria have not been met. OTAA may also terminate an investigation if it does not require a final determination.°

The percentages of petitions certified, denied and terminated in the most recent quarter are as follows:

<table>
<thead>
<tr>
<th>Petitions Filed by Petitioner Type</th>
<th>Determinations by Determination Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Company</td>
<td>Certified</td>
</tr>
<tr>
<td>State/One-Stop</td>
<td>Denied</td>
</tr>
<tr>
<td>Union</td>
<td>Terminated</td>
</tr>
<tr>
<td>Workers</td>
<td></td>
</tr>
</tbody>
</table>

°Investigations may be terminated because the petitioner requests withdrawal, the petition is invalid, there is an existing certification covering the group of workers, there is another investigation in progress, or a negative determination has been issued.
States are responsible for identifying the adversely affected workers covered by a certification, informing them of suitable training opportunities, reviewing such opportunities with the workers, providing additional information including time limits for applying for benefits and services, and advising and assisting workers as is required by the Trade Act, regulations, and operating instructions issued by the Department. “Trade certified” workers, or workers covered by a certified petition, may apply for individual eligibility for benefits and services. TAA offers a variety of benefits and services to support workers in their search for reemployment. This includes Trade Readjustment Allowance (TRA), training, Alternative/Reemployment Trade Adjustment Assistance (A/RTAA), and job search and relocation allowances.

This program seeks to provide adversely affected workers with opportunities to obtain the skills, credentials, resources, and support necessary to become reemployed. OTAA tracks two key measures to ensure that TAA participants are getting the most out of the program. The Office of Management and Budget (OMB) developed uniform evaluation metrics, called “common measures,” for job training and employment programs and other crosscutting programs. The common measures institute uniform definitions for performance.

- **Entered Employment Rate (EER)** is the percentage of TAA participants who are employed in the first quarter (three months) after they exit the program. This measure tells us how many people are getting the services and skills they need to quickly find a new job. This does not include those who found employment after the first quarter following their exit.

- **Employment Retention Rate (ERR)** is the percentage of TAA participants who are employed in the first quarter (three months) after they exit the program and throughout the second and third quarters (six months after they exit the program). This measure tells us the percentage of TAA participants who exited the program with the skills to both find and keep a job.

<table>
<thead>
<tr>
<th>Entered Employment Rate (EER)*</th>
<th>Employment Retention Rate (ERR)**</th>
</tr>
</thead>
<tbody>
<tr>
<td>73.68%</td>
<td>92.00%</td>
</tr>
</tbody>
</table>

But that only tells part of the story...

*EER is calculated with participants who exited between three and seven quarters before the current report quarter.

**ERR is calculated with participants who exited between five and nine quarters before the current report quarter.
**Trade Adjustment Assistance (TAA) Overview:**
Based on Data Reported for the Quarter Ending 3/31/2016
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**Observation 1: Get Trained**

TAA participants must work with their case managers to make the most of benefits and services provided under the TAA program. After all, the purpose of employment and case management services is to provide workers the necessary information and support for them to achieve sustainable reemployment. For example, skill assessments must be geared towards evaluating whether the worker meets the TAA training criteria or matches up to specific career opportunities in the community. The individual employment plan must use and be guided by the results of the skill assessments. The employment plan should, in turn, lead to support for finding suitable employment and/or development of a training plan that addresses any skill gaps made evident by the assessments, including remedial or prerequisite training where appropriate.

More can be done to ensure participants use the benefits and services available to them and become reemployed.

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**Individual Employment Plan Participation**
TAPR Data for None through 3/31/2016

- **Individual Employment Plan:** 90.39%
- **No Individual Employment Plan:** 9.61%

Most TAA participants develop an Individual Employment Plan which establishes a "road map" to reach occupational and employment goals. These goals are based on an individual’s skills and interests in combination with employer needs in the local labor market.
Trade Adjustment Assistance (TAA) Overview:
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Data shows that TAA participants who received training were more likely to be reemployed than those who did not.

And, training participants who completed their training were more likely to be reemployed than those who did not.
Trade Adjustment Assistance (TAA) Overview:
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**Observation 2: Get a Credential**

**Entered Employment Rate (EER)**
TAPR Data for 4/1/2014 through 3/31/2016

<table>
<thead>
<tr>
<th>No Credential</th>
<th>Obtained Credential</th>
</tr>
</thead>
<tbody>
<tr>
<td>70.73%</td>
<td>77.50%</td>
</tr>
</tbody>
</table>

More TAA participants could receive training and complete training.

TAA participants who earned a certificate, degree, or other industry recognized credential during their participation were more likely to get reemployed within three months of exiting the program than those who did not.

**TAA Training Results**
TAPR Data for 4/1/2014 through 3/31/2016

- Training: Enrolled But Not Completed: 14.91%
- Training: Completed, No Credential: 9.36%
- Participants Not Enrolled in Training: 32.14%
- Training: Received Credential: 43.60%
Trade Adjustment Assistance (TAA) Overview:
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Observation 3: TAA Participants are Achieving Greater Success

Entered Employment Rate (EER)
TAPR Data for
April 01, 2011 through 3/31/2016

Entered Employment Rate (EER)
TAPR Data for
April 01, 2011 through 3/31/2016

<table>
<thead>
<tr>
<th></th>
<th>FY 2013</th>
<th>FY 2014</th>
<th>FY 2015</th>
<th>FY 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>October</td>
<td>72.68%</td>
<td>75.73%</td>
<td>75.62%</td>
<td></td>
</tr>
<tr>
<td>November</td>
<td>71.34%</td>
<td>72.52%</td>
<td>77.93%</td>
<td></td>
</tr>
<tr>
<td>December</td>
<td>73.65%</td>
<td>76.07%</td>
<td>75.31%</td>
<td></td>
</tr>
<tr>
<td>January</td>
<td>73.57%</td>
<td>74.76%</td>
<td>75.46%</td>
<td></td>
</tr>
<tr>
<td>February</td>
<td>70.33%</td>
<td>71.05%</td>
<td>72.17%</td>
<td></td>
</tr>
<tr>
<td>March</td>
<td>72.47%</td>
<td>74.19%</td>
<td>72.08%</td>
<td></td>
</tr>
<tr>
<td>April</td>
<td>70.66%</td>
<td>74.13%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>May</td>
<td>73.17%</td>
<td>74.25%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>June</td>
<td>74.76%</td>
<td>76.61%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>July</td>
<td>72.83%</td>
<td>74.64%</td>
<td>74.23%</td>
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</tr>
<tr>
<td>August</td>
<td>71.01%</td>
<td>70.89%</td>
<td>76.80%</td>
<td></td>
</tr>
<tr>
<td>September</td>
<td>70.23%</td>
<td>70.17%</td>
<td>71.93%</td>
<td></td>
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</tbody>
</table>